## 3. Maxwell's Equations and Light Waves



Vector fields, vector derivatives and the 3D Wave equation
Derivation of the wave equation from Maxwell's Equations
Why light waves are transverse waves
Why is the B-field so much 'smaller' than the E-field (and what that really means)

## Vector fields

Light is a pair of 3D vector fields.
A 3D vector field $\vec{f}(\vec{r})$ assigns a vector (i.e., an arrow having both direction and length) to each point $\vec{r}=x \hat{x}+y \hat{y}+z \hat{z}$ in 3D space.


Attempts to graphically represent vector fields in 2D and in 3D

A light wave has both electric and magnetic 3D vector fields.


In this illustration, the vectors of the two fields are only shown at a few selected locations, equally spaced along a line. But the fields are defined at every point ( $x, y, z$ ).

## The equations of optics are Maxwell's equations.

(first written down in 1864)

$$
\begin{array}{cc}
\vec{\nabla} \cdot \vec{E}=\rho / \varepsilon & \vec{\nabla} \times \vec{E}=-\frac{\partial \vec{B}}{\partial t} \\
\vec{\nabla} \cdot \vec{B}=0 & \vec{\nabla} \times \vec{B}=\mu \vec{J}+\mu \varepsilon \frac{\partial \vec{E}}{\partial t}
\end{array}
$$



James Clerk Maxwell (1831-1879)
where $\vec{E}$ is the electric field, $\vec{B}$ is the magnetic field, $\rho$ is the charge density, $\vec{J}$ is the current density, $\varepsilon$ is the permittivity, and $\mu$ is the permeability of the medium.

Often, in optics, there are no free charges or currents, so mostly we can assume that $\rho=0$ and $J=0$.

## Historical digression



In Maxwell's original notation, the equations were not nearly so compact and easy to understand.

But, he was able to derive a value for the speed of light in empty space, which was within 5\% of the correct answer.

The modern vector notation was introduced by Oliver Heaviside and Willard Gibbs in 1884. Heaviside is responsible for the currently accepted form of Maxwell's equations.


## Div, Grad, Curl, and all that

Types of 3D derivatives: "vector derivatives" that appear in Maxwell's equations

The "Gradient" of a scalar function $f$ :

$$
\vec{\nabla} f \equiv\left(\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z}\right)
$$

The gradient points in the direction of steepest ascent.
The "Divergence" of a vector function $\vec{G}$ :

$$
\vec{\nabla} \cdot \vec{G} \equiv \frac{\partial G_{x}}{\partial x}+\frac{\partial G_{y}}{\partial y}+\frac{\partial G_{z}}{\partial z}
$$

## Div, Grad, Curl, and more all that

The "Laplacian" of a scalar function :

$$
\begin{aligned}
\nabla^{2} f \equiv \vec{\nabla} \cdot \vec{\nabla} f & =\vec{\nabla} \cdot\left(\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z}\right) \\
& =\frac{\partial^{2} f}{\partial x^{2}}+\frac{\partial^{2} f}{\partial y^{2}}+\frac{\partial^{2} f}{\partial z^{2}}
\end{aligned}
$$

The Laplacian of a vector function is the same, but for each component :

$$
\nabla^{2} \vec{G}=\left(\frac{\partial^{2} G_{x}}{\partial x^{2}}+\frac{\partial^{2} G_{x}}{\partial y^{2}}+\frac{\partial^{2} G_{x}}{\partial z^{2}}, \frac{\partial^{2} G_{y}}{\partial x^{2}}+\frac{\partial^{2} G_{y}}{\partial y^{2}}+\frac{\partial^{2} G_{y}}{\partial z^{2}}, \frac{\partial^{2} G_{z}}{\partial x^{2}}+\frac{\partial^{2} G_{z}}{\partial y^{2}}+\frac{\partial^{2} G_{z}}{\partial z^{2}}\right)
$$

The Laplacian is related to the curvature of a function.

## Div, Grad, Curl, and still more all that

The "Curl" of a vector function $\vec{G}$ :
$\vec{\nabla} \times \vec{G} \equiv\left(\frac{\partial G_{z}}{\partial y}-\frac{\partial G_{y}}{d z}, \frac{\partial G_{x}}{\partial z}-\frac{\partial G_{z}}{d x}, \frac{\partial G_{y}}{\partial x}-\frac{\partial G_{x}}{d y}\right)$
The curl can computed from a matrix determinant :

$$
\vec{\nabla} \times \vec{G}=\operatorname{det}\left[\begin{array}{ccc}
\hat{x} & \hat{y} & \hat{z} \\
\frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\
G_{x} & G_{y} & G_{z}
\end{array}\right]
$$

The curl measures the microscopic circulation of the vector field (which is not the same as the macroscopic circulation).

See http://mathinsight.org/curl idea for more information.

## Generalizing from 1D to 3D: A vector wave equation for the electric field

in one dimension:

$$
\frac{\partial^{2} E}{\partial x^{2}}-\mu \varepsilon \frac{\partial^{2} E}{\partial t^{2}}=0
$$

in three dimensions:


Note the vector symbol over the $E$.

Expanding the Laplacian, we find:

$$
\frac{\partial^{2} \vec{E}}{\partial x^{2}}+\frac{\partial^{2} \vec{E}}{\partial y^{2}}+\frac{\partial^{2} \vec{E}}{\partial z^{2}}-\mu \varepsilon \frac{\partial^{2} \vec{E}}{\partial t^{2}}=0
$$

This is really three independent wave equations, one each for the $x$-, $y$-, and z-components of $E$.

## Derivation of the 3D Wave Equation from Maxwell's Equations

$$
\begin{array}{ll}
\vec{\nabla} \cdot \vec{E}=0 & \vec{\nabla} \times \vec{E}=-\frac{\partial \vec{B}}{\partial t} \\
\vec{\nabla} \cdot \vec{B}=0 & \vec{\nabla} \times \vec{B}=\mu \varepsilon \frac{\partial \vec{E}}{\partial t}
\end{array}
$$

First: take $\vec{\nabla} \times$ of this one: $\vec{\nabla} \times \vec{E}=-\frac{\partial \vec{B}}{\partial t}$

$$
\vec{\nabla} \times[\vec{\nabla} \times \vec{E}]=\vec{\nabla} \times\left[-\frac{\partial \vec{B}}{\partial t}\right]
$$

Next: change the order of differentiation on the right-hand side:

$$
\vec{\nabla} \times[\vec{\nabla} \times \vec{E}]=-\frac{\partial}{\partial t}[\vec{\nabla} \times \vec{B}]
$$

## Derivation of the Wave Equation from Maxwell's Equations (cont'd)

But: $\quad \vec{\nabla} \times \vec{B}=\mu \varepsilon \frac{\partial \vec{E}}{\partial t}$
Next: substituting for $\vec{\nabla} \times \vec{B}$, we have:

$$
\begin{gathered}
\vec{\nabla} \times[\vec{\nabla} \times \vec{E}]=-\frac{\partial}{\partial t}[\vec{\nabla} \times \vec{B}] \Rightarrow \vec{\nabla} \times[\vec{\nabla} \times \vec{E}]=-\frac{\partial}{\partial t}\left[\mu \varepsilon \frac{\partial \vec{E}}{\partial t}\right] \\
\text { Or: } \quad \vec{\nabla} \times[\vec{\nabla} \times \vec{E}]=-\mu \varepsilon \frac{\partial^{2} \vec{E}}{\partial t^{2}} \quad \begin{array}{l}
\text { assuming that } \mu \\
\begin{array}{l}
\text { and } \varepsilon \text { are both } \\
\text { independent of } \\
\text { time. }
\end{array}
\end{array}
\end{gathered}
$$

## Derivation of the Wave Equation from Maxwell's Equations (cont'd)

We are up to here: $\vec{\nabla} \times[\vec{\nabla} \times \vec{E}]=-\mu \varepsilon \frac{\partial^{2} \vec{E}}{\partial t^{2}}$
Now, it can be shown that this: $\vec{\nabla} \times[\vec{\nabla} \times \vec{E}]$
is the same as this: $\quad \vec{\nabla}(\vec{\nabla} \cdot \vec{E})-\nabla^{2} \vec{E}$
For any function at all,

$$
\vec{\nabla}(\vec{\nabla} \cdot \vec{F})-\nabla^{2} \vec{F}=\vec{\nabla} \times[\vec{\nabla} \times \vec{F}]
$$

See: https://www.youtube.com/watch?v=P4edqL1r4DQ
If we now assume zero charge density: $\rho=0$, then

$$
\vec{\nabla} \cdot \vec{E}=0
$$

and we're left with the Wave Equation!

$$
\nabla^{2} \vec{E}=\mu \varepsilon \frac{\partial^{2} \vec{E}}{\partial t^{2}}
$$

## There are really two Wave Equations, one for $E$ and one for $B$

We could also derive a wave equation for the magnetic field, using a very similar approach. The result is the same:

$$
\vec{\nabla}^{2} \vec{B}-\mu \varepsilon \frac{\partial^{2} \vec{B}}{\partial t^{2}}=0
$$

$\vec{E}$ and $\vec{B}$ satisfy the same equation. But that doesn't mean they're equal.

In fact, we will show that $\vec{E}$ and $\vec{B}$ are always perpendicular to each other.


## An interesting aside

We only used 3 out of the 4 Maxwell equations to derive the wave equation for $E$.


Some physicists believe that this $4^{\text {th }}$ equation may need to be modified one day, if anybody ever finds conclusive experimental evidence for the existence of magnetic 'charges'.

Doing so would be a very big deal, although it would not change the wave equation at all.

## Waves in 3 dimensions

We must now allow the complex field $\underset{\sim}{E}$ and its amplitude $\underset{\sim}{E}$ to be vectors.
So our expression from Lecture 2: $\underset{\sim}{E}(x, t)=\underset{\sim}{E}{\underset{0}{ }}^{\exp }[j(k x-\omega t)]$
becomes:

$$
\underset{\sim}{\vec{E}}(\vec{r}, t)={\underset{\sim}{E}}_{0} \exp [j(\vec{k} \cdot \vec{r}-\omega t)]
$$

Note the arrows over the E's!

$$
\text { Notation: } \vec{r} \equiv x \hat{x}+y \hat{y}+z \hat{z}
$$

A complex vector amplitude has six numbers that must be specified to completely determine it!

$$
{\underset{\sim}{E}}_{0}=\left(\operatorname{Re}\left\{E_{x}\right\}+j \operatorname{Im}\left\{E_{x}\right\}, \operatorname{Re}\left\{E_{y}\right\}+j \operatorname{Im}\left\{E_{y}\right\}, \operatorname{Re}\left\{E_{z}\right\}+j \operatorname{Im}\left\{E_{z}\right\}\right)
$$

Note that the quantity $\underset{\sim}{\vec{E}}(\vec{r}, t)$ is now a function of FOUR variable: $\vec{r}$ and t .

## For a 3D wave, which way is it traveling?

Well, for a wave in 1 dimension, it was easy to tell.

$$
E(z, t)=E_{0} \exp [j(k z-\omega t)]
$$

This wave is traveling in the positive $z$ direction.

For a wave in 3 dimensions, we have a vector which specifies the variable:

$$
\text { not just an } x, y \text {, or } z \text {, but an } \vec{r} \text { ! }
$$

So it isn't surprising that $k$ becomes a vector too. And its direction is the direction along which the wave is traveling.

$$
\vec{E}(\vec{r}, t)=\vec{E}_{0} \exp [j(\vec{k} \cdot \vec{r}-\omega t)]=\vec{E}_{0} \exp \left[j\left(k_{x} x+k_{y} y+k_{z} z-\omega t\right)\right]
$$

Note: the length of $\vec{k}$ still has the same meaning

$$
\text { as it did in Lecture 2: } \quad|\vec{k}|=\frac{2 \pi}{\lambda}=\frac{\omega}{c}
$$

## A common mistake

Question: write down an expression for the electric field component of an electromagnetic wave.

$$
\text { Incorrect answer: } \vec{E}(\vec{r}, t)=\vec{E}_{0} \exp [j(k \vec{r}-\omega t)]
$$

Another incorrect answer: $\vec{E}(\vec{r}, t)=\vec{E}_{0} \exp [j(\vec{k} r-\omega t)]$

$$
\text { And another: } \vec{E}(\vec{r}, t)=\vec{E}_{0} \exp [j(k r-\omega t)]
$$

Remember: you cannot have a vector in the exponent!
Correct answer: $\vec{E}(\vec{r}, t)=\vec{E}_{0} \exp [j(\vec{k} \cdot \vec{r}-\omega t)]$

## Longitudinal vs. Transverse waves



Space has 3 dimensions, of which 2 directions are transverse to the propagation direction, so there are 2 different kinds of transverse waves in addition to the longitudinal one.
e.g., sound waves are longitudinal


## Why light waves are transverse

Suppose a wave propagates in the $x$-direction. Then it's a function of $x$ and $t$ (and not $y$ or $z$ ), so all $y$ - and $z$-derivatives are zero:

$$
\frac{\partial E_{y}}{\partial y}=\frac{\partial E_{z}}{\partial z}=\frac{\partial B_{y}}{\partial y}=\frac{\partial B_{z}}{\partial z}=0
$$

Recall, in a charge-free medium, $\vec{\nabla} \cdot \vec{E}=0 \quad \vec{\nabla} \cdot \vec{B}=0$
that is,

$$
\frac{\partial E_{x}}{\partial x}+\frac{\partial F / y}{\partial y}+\frac{\partial F / z}{\partial z}=0 \quad \frac{\partial B_{x}}{\partial x}+\frac{\partial B /}{\partial y}+\frac{\partial B /}{\partial z}=0
$$

We find:

$$
\frac{\partial E_{x}}{\partial x}=0 \quad \text { and } \quad \frac{\partial B_{x}}{\partial x}=0
$$

The component of the wave pointing parallel to the propagation direction does not vary along that direction. Only $\mathrm{E}_{\mathrm{y}}$ and $\mathrm{E}_{\mathrm{z}}$ can vary with x .

## The magnetic-field direction in a light wave

Suppose a wave propagates in the $x$-direction and has its electric field along the $y$-direction [so, by assumption, $E_{\chi}=E_{z}=0$ ].

What is the direction of the magnetic field?

Use:

So:

$$
\begin{aligned}
& \begin{aligned}
&-\frac{\partial \vec{B}}{\partial t}=\vec{\nabla} \times \vec{E}=\left(\frac{\partial E_{z}}{\partial y}-\frac{\partial E_{y}}{\partial z}, \frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x}, \frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y}\right) \\
&-\frac{\partial \vec{B}}{\partial t}=\left(0,0, \frac{\partial E_{y}}{\partial x}\right) \\
& \text { In other words: } \begin{array}{l}
\text { why is this term zero? Because } \\
\text { we've assumed propagation in } \\
\text { the x direction. If the field was } \\
\text { varying along the z direction, } \\
\text { then it wouldn't be propagating } \\
\text { solely along } x .
\end{array} \\
&-\frac{\partial B_{z}}{\partial t}=\frac{\partial E_{y}}{\partial x} \quad
\end{aligned}
\end{aligned}
$$

The only non-zero component of $B$ is the $z$ component.

## The magnetic-field strength in a light wave

Suppose a wave propagates in the $x$-direction and has its electric field in the $y$-direction. What is the strength of the magnetic field?

Start with: $\quad-\frac{\partial B_{z}}{\partial t}=\frac{\partial E_{y}}{\partial x} \quad$ and $\quad E_{y}(\vec{r}, t)={\underset{\sim}{e}}_{E_{0}} \exp [j(k x-\omega t)]$
We can integrate: $\quad B_{z}(x, t)=B_{z}(x, 0)-\int_{0}^{t} \frac{\partial E_{y}}{\partial x} d t$

Take $B_{z}(x, 0)=0$
So: $\quad B_{z}(x, t)=-\frac{j k}{-j \omega} \underset{\sim}{E} \exp [j(k x-\omega t)]$
Differentiating $E_{y}$ with respect to $x$ yields a $j k$, and integrating with respect to $t$ yields a $1 /(-j \omega)$.

But $\omega / k=c$ :

$$
B_{z}(x, t)=\frac{1}{C} E_{y}(x, t)
$$

## An Electromagnetic Wave

To summarize: the electric and magnetic fields are in phase.


The electric field, the magnetic field, and the $k$-vector are all perpendicular:

$$
\vec{E} \times \vec{B} \propto \vec{k}
$$

And the magnitude of $B_{0}$ is smaller than the magnitude of $E_{0}$ by a factor of the wave velocity:

$$
\left|\vec{B}_{0}\right|=\frac{\left|\vec{E}_{0}\right|}{C}
$$

## A note on units

The units of electric field are volts per meter:

$$
[\vec{E}]=V / m
$$

The strength of the magnetic field of an EM wave is related to the strength of the electric field by:

$$
\left|\vec{B}_{0}\right|=\frac{\left|\vec{E}_{0}\right|}{c}
$$

Therefore the units of $B$ field must be:

$$
[\vec{B}]=V \cdot s / m^{2}
$$

This is called a 'Tesla'.


It is not really accurate to say "the B field is much smaller than the E field", since they have different units. But people often say it anyway.

## Free-space impedance

In optics, magnetic materials are not encountered too often.
So people mostly use $E$ and $B$, (rather than $E$ and $H$ ) and

$$
\left|\vec{B}_{0}\right|=\left|\vec{E}_{0}\right| / c
$$

At lower frequencies (i.e., rf and microwaves), electromagnetics engineers often use magnetic materials. It is often more convenient to use $E$ and $H$, where $H$ is defined by

$$
\vec{H}=\vec{B} / \mu \quad \text { which implies } \quad\left|\vec{E}_{0}\right| /\left|\vec{H}_{0}\right|=\mu \cdot c
$$

But, since $\quad c=1 / \sqrt{\mu \varepsilon}$, this means that $\left|\vec{E}_{0}\right| /\left|\vec{H}_{0}\right|=\sqrt{\mu / \varepsilon}$

$$
\text { In empty space: } \left\lvert\, \frac{\left|\vec{E}_{0}\right|}{\left|\vec{H}_{0}\right|}=\sqrt{\frac{\mu_{0}}{\varepsilon_{0}}} \approx 377 \Omega\right.
$$

This quantity is known as the "impedance of empty space."

