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*Research Articles: Development/Plasticity/Repair*

## Long-term neuroinflammation induced by influenza A virus infection and the impact on hippocampal neuron morphology and function

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DOI: 10.1523/JNEUROSCI.1740-17.2018

Received: 22 June 2017

Revised: 12 January 2018

Accepted: 19 January 2018

Published: 26 February 2018

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**Author contributions:** S.H. performed research; S.H., E.W., and K.M.-P. analyzed data; E.W., K.S., and M.K. designed research; K.M.-P., K.S., and M.K. wrote the paper; I.G., W.B., and R.G. contributed unpublished reagents/analytic tools.

**Conflict of Interest:** The authors declare no competing financial interests.

We thank Christin Kurch for excellent technical assistance and Marta Zagrebelsky and Ab Osterhaus for comments on the paper. This study was in part supported by the Niedersachsen-Research Network on Neuroinfectiology (N-RENNT) of the Ministry of Science and Culture of Lower Saxony (to K.S. and M.K.), the SFB854 (to M.K.), and intra-mural grants from the Helmholtz-Association (Program Infection and Immunity) (to KS).

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**Cite as:** J. Neurosci ; 10.1523/JNEUROSCI.1740-17.2018

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47 **Abstract**

48 Acute influenza infection has been reported to be associated with neurological  
49 symptoms. However, the long-term consequences for the CNS of an infection with  
50 neurotropic but also with non-neurotropic influenza A virus (IAV) variants remain  
51 elusive. We can show that spine loss in the hippocampus after infection with  
52 neurotropic H7N7 (rSC35M) as well as non-neurotropic H3N2 (maHK68) in female  
53 C57BL/6 mice persists well beyond the acute phase of the disease. While spine  
54 number was significantly reduced 30 days post infection (pi) with H7N7 or H3N2, full  
55 recovery could only be observed much later at 120 days pi. Notably, infection with  
56 H1N1 virus which was shown previously to acutely affect spine number and  
57 hippocampus-dependent learning had no significant long-term effects. Spine loss  
58 was associated with an increase in the number of activated microglia, reduced long-  
59 term potentiation in the hippocampus, and an impairment in spatial memory  
60 formation indicating that IAV associated inflammation induced functional and  
61 structural alterations in hippocampal networks. Transcriptome analyses revealed  
62 regulation of many inflammatory as well as neuron- and glia-specific genes in H3N2  
63 and H7N7 infected mice at day 18 and in H7N7 infected mice at day 30 pi that  
64 related to the structural and functional alterations. Our data provide evidence that  
65 neuroinflammation induced by neurotropic H7N7 and infection of the lung with a non-  
66 neurotropic H3N2 IAV result in long-term impairments in the CNS. IAV infection in  
67 humans may therefore not only lead to short-term responses in infected organs but  
68 also trigger neuroinflammation and associated chronic alterations in the CNS.

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71 **Keywords:** dendritic spines, structural plasticity, hippocampus, influenza,  
72 neuroinflammation

73 **Significance statement**

74 In the acute phase of influenza infection, neuroinflammation can lead to alterations in  
75 hippocampal neuronal morphology as well as cognitive deficits. The results of this  
76 study now also provide evidence that neuroinflammation induced by IAV infection  
77 can induce longer lasting virus-specific alterations in neuronal connectivity detectable  
78 still one month after infection which are associated with impairments in spatial  
79 memory formation. IAV infection in humans may therefore not only lead to short-term  
80 responses in infected organs but also trigger neuroinflammation and associated  
81 chronic alterations in the CNS.



**82 Introduction**

83 Influenza is a highly contagious disease caused by RNA viruses affecting birds and  
84 mammals, with a high risk of serious illness and death worldwide. While the primary  
85 target of influenza viruses in mammals is the lung, neurological complications were  
86 also reported (Ekstrand, 2012; Shah et al., 2014). However, the mechanisms and  
87 consequences of neuroinflammation caused by influenza A viruses (IAV) are only  
88 partly understood. Neurotropic IAV strains are indeed able to enter the central  
89 nervous system (CNS) through the blood-brain barrier or microvascular endothelial  
90 cells (Tomonaga, 2004). For instance, the highly pathogenic avian influenza virus  
91 (H5N1) can infect the CNS and subsequently lead to neuronal cell death in the  
92 substantia nigra pars compacta (Kristensson, 2006; Jang et al., 2012) induced by  
93 neuroinflammation through the activation of glial cells and altered pro-  
94 inflammatory/inflammatory cytokine expression (Jang et al., 2009; Jang et al., 2012).  
95 Interestingly, neuropsychiatric complications were not only reported after infection  
96 with neurotropic IAV variants but also after non-neurotropic H1N1 virus infection,  
97 especially in children (Surana et al., 2011). Neurodevelopmental problems were  
98 shown to be a risk factor for a severe outcome following influenza infection including  
99 death in children (CDC, 2012; Ekstrand, 2012). In this scenario activation of the  
100 peripheral innate immune system can induce the production of pro-  
101 inflammatory/inflammatory cytokines such as interleukin-1 $\beta$  (IL-1 $\beta$ ), IL-6 and tumor  
102 necrosis factor- $\alpha$  (TNF- $\alpha$ ) within the brain (Thomson et al., 2014; Riazi et al., 2015),  
103 thereby severely affecting cognition and emotional behavior (Raison et al., 2006;  
104 Camara et al., 2015).

105 The hippocampus, a brain region involved in learning and memory processes (Korte  
106 and Schmitz, 2016) is especially sensitive to neuroinflammation (Vitkovic et al., 2000;  
107 Lynch, 2002; Heneka et al., 2014). Inflammatory cytokines can impair hippocampal  
108 long-term potentiation (LTP) (Pickering and O'Connor, 2007; Riazi et al., 2015) and  
109 inhibit neurotrophic factor signaling thereby negatively influencing synaptic plasticity  
110 and memory formation (Tong et al., 2008; Tong et al., 2012). Furthermore, a  
111 decrease in hippocampal dendritic spine density and impairment in synaptic plasticity  
112 could be observed following activation of peripheral as well as central immune  
113 responses (Jurgens et al., 2012; Vasek et al., 2016). Indeed, it is now well  
114 established that a peripheral immune stimulation can affect the intact CNS (Richwine

115 et al., 2008) via the activation of microglia during a secondary, mirror inflammatory  
116 response in the brain (Riazi et al., 2015). Microglia, CNS-resident macrophages, are  
117 usually the first to be activated in response to brain infections or damage. In contrast  
118 to this non-activated or “resting” microglia perform housekeeping functions within the  
119 healthy CNS including synapse turnover and synaptic plasticity. Thus, long-term  
120 microglial activation might interfere with these processes (Nimmerjahn et al., 2005;  
121 Yirmiya and Goshen, 2011; Hristovska and Pascual, 2015).

122 Whereas a short-term influence of IAV infection on hippocampal neuron morphology  
123 and cognition was recently shown in mice for non-neurotropic PR8 (H1N1) IAV  
124 (Jurgens et al., 2012), long-term effects have not been studied so far. Here, we  
125 investigated the impact of three different IAV variants on hippocampal neuron  
126 morphology and hippocampus-dependent behavior. First, we used the well-  
127 characterized PR8 (A/PuertoRico/8/34) non-neurotropic virus (Majde et al., 2007;  
128 Hodgson et al., 2012). The second non-neurotropic virus belonged to the H3N2  
129 subtype, maHK68 (mouse-adapted A/Hong-Kong/1/68) (Haller et al., 1979). As a  
130 model for neurotropic IAV infection, the polybasic rSC35M (recombinant  
131 A/Seal/Mass/1/80 mouse-adapted, H7N7) was used (Gabriel et al., 2005). The long-  
132 term consequences of infections with these viruses on CNS function and morphology  
133 have not been investigated in detail.

134 Here, we describe impairments in spatial learning associated with alterations in  
135 hippocampal structure and function as well as an increased number of activated  
136 microglia as long-term consequences of IAV infection. These findings point towards  
137 long-term hippocampal neuroinflammation as the cause of the neurological  
138 symptoms that lasted for one month after the infection.

### 139 **Materials and Methods**

140 ***Ethics statement.*** The experiments performed with mice were approved according  
141 to the animal welfare law in Germany. All protocols used in this project have been  
142 reviewed and approved by the local committees at the Helmholtz Centre for Infection  
143 Research and TU Braunschweig and the authorities (LAVES, Oldenburg, Germany;  
144 permit number: 3392 42502-04-13/1234) according to the national guidelines of the  
145 animal welfare law in Germany (‘Tierschutzgesetz in der Fassung der  
146 Bekanntmachung vom 18. Mai 2006 (BGBl. I S. 1206, 1313), das zuletzt durch  
147 Artikel 20 des Gesetzes vom 9. Dezember 2010 (BGBl. I S. 1934) geändert worden

148 ist.'). The virus was prepared by infection of 10-day-old embryonated chicken eggs  
149 obtained from a commercial vendor (Charles River Germany).

150 **Viruses and mice.** Stocks of viruses were obtained from Stefan Ludwig, University  
151 of Münster (PR8M, A/PuertoRico/8/34 (H1N1)), Münster variant (Blazejewska et al.,  
152 2011), Georg Kochs, University of Freiburg (maHK68, mouse-adapted A/Hong-  
153 Kong/1/68 (H3N2)) (Haller et al., 1979), and from Gülsah Gabriel, Heinrich-Pette  
154 Institute, Hamburg (rSC35M, mouse-adapted A/Seal/Mass/1/80 (H7N7)) (Gabriel et  
155 al., 2005). Virus stocks were propagated by infection of 10-day-old embryonated  
156 chicken eggs (PR8M, maHK68) or in MDCK (Madin-Darby Canine Kidney) cell  
157 culture (rSC35M) as described previously (Wilk and Schughart, 2012). Female inbred  
158 mice of the strain C57BL/6J were obtained from Janvier, France and maintained  
159 under specific pathogen-free conditions, according to the German animal welfare  
160 law.

161 **Mouse infections.** Female C57BL/6J mice (Janvier, France) at the age of 8-10  
162 weeks were anaesthetized by intraperitoneal injection of 0.9% (w/v) NaCl (Merck)  
163 ketamine-xylazine solution (85% NaCl (0.9%), 10% ketamine (100 mg/ml), 5%  
164 xylazine (20 mg/ml); 10 ml per 1 kg body weight) and then infected intranasally with a  
165 dose of 10 (H3N2 and H7N7) or  $2 \times 10^3$  (H1N1) FFU (Focus Forming Units) of the  
166 respective virus in 20  $\mu$ l sterile phosphate-buffered saline (PBS). Body weight was  
167 monitored for two weeks after infection. Mice showing more than 30% of body weight  
168 loss were euthanized.

169 **Viral load in the brain.** To detect low amounts of virus in brain of infected mice, egg  
170 infection was used as a more sensitive method to determine viral load. For this  
171 purpose brains were homogenized in PBS with 0.1% bovine serum albumin (BSA)  
172 using the Fast Prep® instrument. Debris was removed by centrifugation for 10 min at  
173 1000 rpm. The samples were stored in aliquots at -70 °C. In a first test, 50  $\mu$ l of  
174 undiluted samples were given per embryonated egg (3 replicates per sample). After  
175 48 h incubation at 37 °C, allantoic fluid was harvested and tested using the  
176 haemagglutination assay (Wilk and Schughart, 2012) for virus positivity. Samples  
177 identified to be positive for the virus were further quantified by the 50% egg infectious  
178 dose assay as described by (Szretter et al., 2006). Titers were calculated using the  
179 Reed-Muench method.

180 **Histology.** Brains from infected mice on indicated days after infection were extracted  
181 in *toto* and immersion-fixed for 24-72 h in 4% buffered formaldehyde solution (pH  
182 7.4). After fixation and before embedding the brains were separated in transverse  
183 sections using a coronal matrix system following the standard guidelines (Morawietz  
184 et al., 2004). In addition to the recommended planes, the nasal part was divided  
185 within the sagittal median to include the olfactory bulbs in the histological analysis.  
186 Sections of brains (0.5  $\mu$ m) were cut with the microtome and stained with  
187 hematoxylin and eosin (H&E) or immune-stained with mouse-anti-Influenza A virus  
188 nucleoprotein (NP), Clone Hb65, mouse IgG2a (Kerafast, Cat# FCG013,  
189 RRID:AB\_2716646). Inflammation in the infected brain was evaluated using a semi-  
190 quantitative scoring system for perivascular mononuclear cuffing (0 = normal, + =  
191 single inflammatory cells, ++ = 2-3 layers of infiltrates, +++ = more than 3 layers of  
192 infiltrates). In addition, immunohistochemistry for the virus was analyzed by using a  
193 semi-quantitative scoring system from 0 = no, 1 = few, 2 = multiple, 3 = numerous  
194 infected cells (Gerhauser et al., 2007). Several brain regions (olfactory bulb, cerebral  
195 cortex, corpus striatum/basal ganglia, thalamus/hypothalamus, hippocampus,  
196 mesencephalon, cerebellum, medulla oblongata) were evaluated separately and a  
197 mean value determined for each animal.

198 **Behavioral assays.** For behavioral evaluation, four groups of 8-10 weeks old female  
199 C57BL/6J mice were treated intranasally with PBS as control, H1N1, H3N2 and  
200 H7N7 influenza A virus strains, then were assigned to two different time points of the  
201 experiment including 30 and 120 days post infection (n=7-10). All behavioral  
202 experiments were performed the same time of day during the light period by a blind  
203 experimenter to all types of treatment groups.

204 **Open field test.** In order to investigate influenza-induced illness behavior and  
205 willingness of the mice to explore, the open field test was performed as previously  
206 described (Walsh and Cummins, 1976). Briefly, mice were placed along one side of a  
207 white PVC open field apparatus (40 cm  $\times$  40 cm  $\times$  40 cm) for 5 min. The central area  
208 of the arena was specified as the center part (30 cm  $\times$  30 cm). Between each session  
209 of experiments, the apparatus was cleaned with Bacillol®. Movement data including  
210 total distance traveled, average speed and percentage of activity in the periphery and  
211 in the center part of the arena were collected by ANY-maze behavioral tracking  
212 software (Stoelting, Dublin, Ireland, RRID:SCR\_014289).

213 **Elevated plus maze test.** In order to investigate influenza-induced anxiety-like  
214 behavior, the elevated plus maze test was performed as previously described (Hogg,  
215 1996). In this test, the apparatus is comprised of a cross with two opposed open  
216 arms (25 cm × 5 cm) and two opposed closed arms (25 cm × 5 cm, surrounded by 20  
217 cm high walls). The arena was made of white PVC and was elevated 50 cm above  
218 the floor. Mice were placed in the central part of the arena (5 cm × 5 cm) facing  
219 toward an open arm and permitted to move freely in the arena for 5 min. Locomotion  
220 data including the percentage of time spent in open and closed arms were collected  
221 by ANY-maze behavioral tracking software (Stoelting, Dublin, Ireland,  
222 RRID:SCR\_014289).

223 **Morris water maze test.** In order to investigate the effects of influenza A virus  
224 infection on cognitive function, spatial learning and memory formation were assessed  
225 using the initial training and the reversal learning phase of the Morris water maze  
226 paradigm (Morris, 1984; Vorhees and Williams, 2006). The maze is comprised of a  
227 circular pool 150 cm in diameter and 19-20 °C water temperature. The platform was  
228 10 cm in diameter and hidden 1 cm underneath the surface of the opaque water  
229 (Titaandioxide, Euro OTC Pharma). Prior to the training a visible platform task was  
230 performed as a pre-training and was used to ensure that swimming ability and visual  
231 acuity were intact in control and treated animals. During this phase, the animals had  
232 two trials (maximum of 60 s each) per day for three consecutive days to reach the  
233 visible platform, the position was altered during the trials (data are not shown).  
234 Subsequently, training in the Morris water maze test was performed for 8 days with  
235 the invisible platform located in the northeast (NE) quadrant. Each day, animals were  
236 placed in the water for four trials, with different starting points (SE, S, W, and NW)  
237 randomly. The animals were permitted to swim freely for 60 s or until the platform  
238 was reached. Otherwise, they were guided to the platform and allowed to sit on it for  
239 20 s. A detailed analysis of the swimming path allows for a qualitative assessment of  
240 learning in mice. Progressively over time, healthy animals switch from egocentric  
241 (hippocampus-independent: chaining, scanning and random swimming) to allocentric  
242 (hippocampus-dependent: directed search) strategies to navigate to the hidden  
243 platform while a spatial map of the maze is formed (Garthe et al., 2009; Garthe and  
244 Kempermann, 2013). The pathway map to find the platform was analyzed as follows:  
245 searching strategies including scanning characterized by <60% and >10% surface



246 coverage, chaining characterized by >80% time in a doughnut-shaped annulus zone  
247 and random swimming characterized by >60% surface coverage of the whole pool  
248 area, directed search characterized by >80% time in Wishaw's corridor (Whishaw,  
249 2004; Garthe et al., 2009; Garthe and Kempermann, 2013).

250 To evaluate memory retrieval, two probe trial tests were performed at the third and at  
251 the sixth day of the acquisition training, prior to starting the four trials of training at  
252 that day. Another reference memory test was performed 24 h after the last day of  
253 acquisition training. During the probe trial, the platform was removed and the animals  
254 were allowed to swim freely for 45 s. After the third probe trial test, the platform was  
255 moved to the opposite quadrant of the pool (SW) to test the ability of the animals to  
256 form a new memory. The task consisted of three training days. On the fourth day,  
257 one single probe trial was performed (D'Hooge and De Deyn, 2001). All data  
258 including the escape latency (time to reach the platform), percentage of searching  
259 time spent in the four quadrants of the pool, percentage time spent in the border  
260 (thigmotaxis), annulus (chaining), central circle (scanning) zones and Wishaw's  
261 corridor (directed search) of the pool, and occupancy plots of the presence in the  
262 quadrants for the animals groups were collected by ANY-maze behavioral tracking  
263 software (Stoelting, Dublin, Ireland, RRID:SCR\_014289).

264 **Electrophysiological experiments.** To investigate the function of CA1 hippocampal  
265 neurons, electrophysiological recording experiments were performed at 30 and 120  
266 days post infection. At 30 days post infection, a total number of 45 and at 120 days  
267 post infection, 38 acute hippocampal slices were prepared from fourteen and twelve  
268 female mice in three groups which were inoculated by PBS as control and H3N2 and  
269 H7N7 influenza virus, 8-10 weeks after birth. Briefly, mice were deeply anesthetized  
270 with 100% CO<sub>2</sub> then sacrificed and brains were quickly removed and transferred into  
271 ice-cold carbogenated (95% O<sub>2</sub> and 5% CO<sub>2</sub>) artificial cerebrospinal fluid (ACSF)  
272 containing: 124 mM NaCl, 4.9 mM KCl, 1.2 mM KH<sub>2</sub>PO<sub>4</sub>, 2.0 mM MgSO<sub>4</sub>, 2.0 mM  
273 CaCl<sub>2</sub>, 24.6 mM NaHCO<sub>3</sub> and 10 mM D-glucose at pH=7.4. Afterwards, the  
274 hippocampus was dissected and transverse hippocampal slices (400 μm) were  
275 obtained by using a manual tissue chopper (whole procedure was done in 2–3 min).  
276 The hippocampal slices were transferred to an interface recording chamber  
277 (Scientific System Design), where they were incubated at 32 °C with a constant flow  
278 rate (0.5 ml/min) of carbogenated ACSF for 2 hours prior to the start of recordings.

279 Field excitatory postsynaptic potentials (fEPSPs) were recorded in the *stratum*  
280 *radiatum* of the CA1 region in hippocampal slices. Responses were evoked by  
281 stimulation of the Schaffer collateral pathway using two monopolar, lacquer coated  
282 stainless-steel electrodes (5 M $\Omega$ ; AM Systems). These stimulation electrodes (S1  
283 and S2) were positioned equidistantly on both sides of the recording electrode and by  
284 this means two independent stimulation pathways could be used for the same CA1  
285 recordings region. For recording fEPSPs (measured as the first slope function), the  
286 recording electrode (5 M $\Omega$ ; AM Systems) was placed in the CA1 apical dendritic layer  
287 (at least 20  $\mu$ m away from the *stratum pyramidale*) and signals were amplified by a  
288 differential amplifier (Model 1700, AM Systems). The signals were digitized using a  
289 CED 1401 analog-to-digital converter (Cambridge Electronic Design). An input-output  
290 curve (afferent stimulation vs. fEPSP slope) for assessment of basal synaptic  
291 transmission was generated after the pre-incubation period. Test stimulation intensity  
292 was modified to be adjusted to extract fEPSP slope as 40% of the maximal fEPSP  
293 response for both synaptic inputs S1 and S2. To investigate short-term plasticity, a  
294 paired-pulse stimulation protocol was used with two consecutive stimuli with equal  
295 intensity from one of the stimulating electrodes in each hippocampal slice. Paired-  
296 pulse facilitation (PPF) could be extracted from the fEPSP slopes as a response to  
297 the second stimulation over the first one at different interpulse intervals of 10, 20, 40,  
298 60, 80, and 100 ms. To investigate long-term potentiation, 20 min after baseline  
299 recording, LTP was induced by Theta-burst stimulation (TBS) including four bursts at  
300 100 Hz repeated 10 times in a 200 ms interval. This stimulation was repeated three  
301 times in a 10 seconds interval. Only healthy sections with a stable baseline were  
302 included in the electrophysiological data analysis. The slope of fEPSPs was  
303 measured over time and normalized to the baseline. Data acquisition and off-line  
304 analysis were performed using IntraCell software (Version 1.5, LIN, Magdeburg,  
305 2000).

306 ***Golgi-Cox staining.*** To investigate the long-term effects of influenza A virus  
307 infection on hippocampal neuron morphology, four groups of 2 months old female  
308 C57BL/6J mice were inoculated intranasally with PBS as control, H1N1, H3N2 and  
309 H7N7 influenza A virus strains. Mice were deeply anaesthetized with CO<sub>2</sub> and  
310 sacrificed via decapitation at 30, 60 and 120 days post infection (N = 4-5). The whole  
311 brain was removed rapidly. The left hemisphere was prepared for further

312 immunohistochemical experiments whereas the right one was incubated in FD rapid  
313 Golgi stain kit (FD NeuroTechnologies, Inc., Columbia, USA) according to the  
314 manufacturer's protocol. Afterwards, hemispheres were blocked in 2% agar and 200  
315  $\mu\text{m}$  thick coronal sections were cut with a vibratome (Leica VT 1000 S) and mounted  
316 on gelatin-coated glass slides. Subsequently, the sections were processed for signal  
317 development before being dehydrated through graded alcohols and mounted using  
318 Permount (Thermo Fisher Scientific).

319 **Immunohistochemistry.** To determine the inflammatory response in the  
320 hippocampus following influenza infection, the left hemisphere was isolated and fixed  
321 in 4% paraformaldehyde (PFA) for 24 hours and then cryoprotected in 30% sucrose  
322 solution in 0.1 M phosphate buffer (PB) for 24 hours and stored in Tissue-Tek®  
323 O.C.T.<sup>TM</sup> compound (A.Hartenstein Laborversand) at  $-70\text{ }^{\circ}\text{C}$ . For fluorescence  
324 immunostaining, 30  $\mu\text{m}$  sections were cut using a freezing microtome (Frigomobil,  
325 R.Jung Heidelberg, Germany). Afterwards, ten successive sections (five for IBA-1  
326 staining and five for GFAP staining) were washed with PBS 1X and blocked in PBS  
327 1X solution containing 0.2% Triton X-100, 10% goat serum and 1% BSA for 1 hour at  
328 room temperature. Sections were incubated overnight at  $4\text{ }^{\circ}\text{C}$  with the following  
329 primary antibodies: anti-ionized calcium-binding adaptor molecule 1 (IBA-1) (1:1000;  
330 rabbit polyclonal, Synaptic Systems, Cat# 234 003, RRID:AB\_10641962) and anti-  
331 glial fibrillary acidic protein (GFAP) (1:1000; mouse monoclonal, Sigma-Aldrich, Cat#  
332 G3893, RRID:AB\_477010) were diluted in PBS 1X, 0.2% Triton X-100 and 10% goat  
333 serum. Secondary antibodies were Cy<sup>TM</sup>3-conjugated AffiPure Goat Anti-Rabbit IgG  
334 (H+L) (1:500; Jackson ImmunoResearch Labs, Cat# 111-165-144,  
335 RRID:AB\_2338006) and Cy<sup>TM</sup>3-conjugated AffiPure Goat Anti-Mouse IgG (H+L)  
336 (1:500; Jackson ImmunoResearch Labs, Cat# 115-165-068, RRID:AB\_2338686)  
337 which were diluted in PBS 1X. Sections were mounted using Fluoro-gel mounting  
338 medium (Electron Microscopy Sciences, Hatfield, PA).

339 **Imaging and image analysis.** To survey the hippocampal neuron morphology,  
340 second- or third-order branches of apical dendrites within the CA1 and CA3 as well  
341 as dendrites within the dentate gyrus (DG) superior and inferior subregions of the  
342 hippocampus (10 cells per animal, 40-50 dendrites per group) were imaged in three-  
343 dimensions (z-stack thickness of  $0.5\text{ }\mu\text{m}$ ) using an Axioplan 2 imaging microscope  
344 (Zeiss) equipped with an ApoTome module (Zeiss) with a 63X (N.A. 1) oil objective



345 accompanied with a digital camera (AxioCam MRm, Zeiss). In all selected neurons,  
346 spine density per micrometer dendrite was calculated using Fiji software (BioVoxel,  
347 RRID:SCR\_015825) on the segments of dendritic branches with a length of more  
348 than 60-70  $\mu\text{m}$  which were located at least 50  $\mu\text{m}$  away from the soma. The total  
349 number of spines along the segments of dendritic branches was counted manually by  
350 an investigator blinded to the type of treatment.

351 The IBA-1- and GFAP-stained images from hippocampal subregions including CA1,  
352 CA3, superior and inferior blade of DG (N=2-5 mice per each group and 5 frame per  
353 each animal, 10-25 frame per each group) were taken in three-dimensions (z-stack  
354 thickness of 1  $\mu\text{m}$ ) using an Axioplan 2 imaging microscope (Zeiss) microscope  
355 equipped with a 20X objective (N.A. 0.8) and a digital camera (AxioCam MRm,  
356 Zeiss). To quantify the density of microglia and astrocytes, a region of interest (ROI)  
357 was drawn in each frame of the hippocampal subregions and the total number of  
358 IBA-1 and GFAP positive cells with clearly visible nuclei by DAPI were counted  
359 manually by Fiji software (BioVoxel, RRID:SCR\_015825) and the sampled volume  
360 was calculated. Results are expressed as the number of cells per  $\text{mm}^3$  tissues and  
361 then normalized to control. For morphometric analysis of IBA-1 positive cells, at least  
362 30 microglial cells (6 cells per each ROI) were randomly selected per hippocampal  
363 subregions of IBA-1 stained images from each animal (N = 4-5 per group, 120-200  
364 microglial cells per each group). The total primary microglial cell processes were  
365 counted using Fiji software (BioVoxel, RRID:SCR\_015825). For the  
366 immunohistochemical experiments, all slides were coded and analysis was  
367 performed blindly.

368 ***Evaluation of blood-brain barrier permeability.*** To determine the blood-brain  
369 barrier (BBB) integrity following influenza A virus infection, spectrophotometry was  
370 used. 8 weeks old female C57BL/6J mice (N = 3-4 per each group) were infected by  
371 H3N2 and H7N7 influenza A virus, then at 4, 8 and 10 days post infection they were  
372 injected with a 2% Evans blue solution (Sigma-Aldrich) in normal saline (4 ml/kg of  
373 body weight) intraperitoneally. The dye was allowed to distribute by the circulatory  
374 system for 5 hours (4 and 8 days post infection) to 20 hours (10 days post infection).  
375 Afterwards, the mice were sacrificed and the brain was isolated and frozen in liquid  
376 nitrogen and stored at  $-70^\circ\text{C}$  until further use. The preparation of tissue for assessing  
377 the presence of Evans blue stain in the brain as an indicator of a compromised BBB

378 was performed as described previously (Manaenko et al., 2011). Evans blue  
379 absorbance was detected at 610 nm using a spectrophotometer (Denovix DS-11 fx,  
380 USA).

381 **Enzyme-linked immune sorbent assay (ELISA).** To quantify the presence of  
382 proinflammatory cytokines including IFN- $\gamma$  and TNF- $\alpha$  in the blood serum and the  
383 supernatant of homogenized hippocampi or brain hemispheres of influenza infected  
384 mice, ELISA experiment was performed. For this purpose, 8 weeks old female  
385 C57BL/6J mice (N = 3-4 per each group) were inoculated with influenza A virus  
386 strains and PBS as a control. Body weight was monitored and the samples were  
387 collected at 8 days post infection (before, the maximum body weight loss was  
388 observed at this time). Mice were deeply anaesthetized with CO<sub>2</sub> and sacrificed via  
389 decapitation. Blood was collected using a pipette and incubated for at least 30  
390 minutes at room temperature. To separate the serum from the cellular blood  
391 components, the samples were centrifuged at 2000 g for 20 min at room  
392 temperature. The supernatant was immediately frozen in liquid nitrogen. For protein  
393 isolation, the tissue was homogenized using an Eppendorf-fitting pestle in 400  $\mu$ l  
394 STKM lysis buffer (250 mM Sucrose, 50 mM Tris-HCl, 25 mM KCl and 5 mM MgCl<sub>2</sub>)  
395 containing a protease inhibitor cocktail (cOmplete™). The samples were centrifuged  
396 for 10 min at 4 °C at 13000 g. The supernatant was collected and stored at -70 °C.  
397 To determine cytokine level, a mouse IFN- $\gamma$  and TNF- $\alpha$  ELISA kits (R&D System,  
398 MN, USA) were used according to the manufacturer's recommendations.  
399 Absorbance at 450 nm was measured with a Tecan Sunrise™- microplate reader  
400 with a wavelength correction at 680 nm connected to Magellan software. Finally, the  
401 measured optical density of the reaction was compared with the optical density of the  
402 known standard samples to determine protein concentration in the samples.

403 **RNA isolation and gene expression analysis by microarray.** On days 18 and 30  
404 post infection mice were euthanized by CO<sub>2</sub> asphyxiation and the hippocampus was  
405 removed. Immediately after dissection, the tissue was placed into RNAlater solution  
406 (Qiagen), incubated overnight at 4 °C and stored at -20 °C. For each group,  
407 hippocampi from at least three mice were prepared as independent biological  
408 replicates. Tissues were homogenized with FastPrep®-24 instrument placing them in  
409 a 2 ml lysing matrix tube (MP Biomedicals) containing 1 ml QIAzol Lysis Reagent.  
410 Homogenization was performed with 6.0 m/s speed for 1 min. Isolation of RNA was

411 performed with the Rneasy Lipid Tissue Mini Kit (Qiagen). The quality and integrity of  
412 the RNA was controlled on the 2100 Bioanalyzer (Agilent Technologies). For DNA  
413 microarray hybridization, 100 ng of total RNA was applied for the Cy3-labelling  
414 reaction using the one color Quick Amp Labeling protocol (Agilent Technologies).  
415 Labeled cRNA was hybridized to Agilent's mouse 4x44k microarrays for 16 h at 68  
416 °C and scanned using the Agilent DNA Microarray Scanner.

417 Microarray data were analyzed using the R software package (R\_Core\_Team,  
418 2013a) (R Project for Statistical Computing, RRID:SCR\_001905). Pre-processing  
419 steps included background correction, quantile normalization and annotation using  
420 the MmAgilentDesign026655.db (Carlson, 2014), LIMMA (Smyth, 2004), and  
421 Agi4x44PreProcess (Gentleman et al., 2004) packages. Multi-group comparisons  
422 and identification of differentially expressed probesets (DEPs) were performed with  
423 the LIMMA package (Smyth, 2004) using Benjamini-Hochberg correction for multiple  
424 testing (Benjamini and Hochberg, 1995). DEPs between two groups were identified  
425 based on an adjusted p-value of  $< 0.1$  and exhibiting more than a 1.4-fold ( $\log_2$   
426 0.5) difference in expression levels. KEGG pathway enrichment analysis and cluster  
427 profiling was performed with the package clusterProfiler (Yu et al., 2012). The raw  
428 data has been deposited in the GEO expression database  
429 (<http://www.ncbi.nlm.nih.gov/geo/>) under the accession number GSE106620.

430 ***Experimental design and statistical analyses.*** All experimental design are  
431 explained in the respective parts of materials and methods section. Data were  
432 analyzed and plotted by GraphPad Prism 6 (GraphPad Software,  
433 RRID:SCR\_002798) and presented as mean $\pm$ SEM. Statistical analysis for each  
434 experiment are indicated in the result section and figure legends, including number of  
435 animals (N), number of brain slices, cells and samples, statistical test used (one-way  
436 and two-way ANOVA and repeated measure ANOVA or unpaired two-tailed t-test) as  
437 well as post hoc analysis (Bonferroni's and Benjamini-Hochberg test). Unless  
438 specified otherwise the minimum significance value was considered as  $p < 0.05$ . All  
439 experiments were analyzed in a strictly blind fashion.

## 440 **Results**

441 **Infection of C57BL/6J mice with different influenza A virus (IAV) variants -**  
442 **establishing mouse models to study the long-term effects of influenza infection**  
443 **on CNS function**

444 Female C57BL/6J mice at the age of 8-10 weeks were infected with influenza A  
445 viruses (IAV) by intranasal instillation with three different mouse-adapted viruses.  
446 Earlier studies demonstrated that female mice are more susceptible and produce  
447 more neutralizing antibodies and higher levels of chemokines and cytokines than  
448 males during infection with influenza viruses (Geurs et al., 2012). Therefore, only  
449 female mice were used in this study. Here, we investigated three different IAV. We  
450 chose the well characterized non-neurotropic mouse-adapted human PR8 (H1N1)  
451 virus (Blazejewska et al., 2011) which is known to enter the olfactory nerves and the  
452 glomerular layer of the olfactory bulb (OB) within 4 hours after intranasal infection but  
453 without further replicating in the brain or inducing neuropathology (Majde et al., 2007;  
454 Hodgson et al., 2012). This specific IAV variant was also used by Jurgens et al.  
455 demonstrating altered hippocampal neuron morphology and impaired cognition  
456 during the acute phase of the infection (7 days post infection) (Jurgens et al., 2012).  
457 In addition, we included another non-neurotropic mouse-adapted human IAV strain,  
458 the maHK68 (H3N2) (Haller et al., 1979) in our studies for which we established a  
459 well-characterized mouse model (Leist et al., 2016). Furthermore, we included the  
460 neurotropic virus rSC35M (H7N7) which was isolated from seals and adapted to  
461 mouse (Gabriel et al., 2005). The advantage of using this virus is that most infected  
462 mice survive the infection. This allowed us to study the long-term effects of a  
463 neurological IAV infection. Such studies cannot be performed with the highly  
464 pathogenic H5N1 virus that is often used as model for neurotropic IAV infections  
465 because all infected mice will die within 5-10 days post infection (pi). Avian H7N7  
466 viruses are able to infect humans and represent a potential future pandemic threat  
467 (Lang et al., 1981; Banks et al., 1998; Fouchier et al., 2004; Shinya et al., 2005).  
468 The infection doses for all three viruses were adjusted to sub-lethal concentrations  
469 allowing us to study long-term effects of IAV infections. Using these doses, 100% of  
470 H1N1 ( $100 \pm 0$  %, N = 37), 84% of H3N2 ( $84.12 \pm 4.60$  %, N = 63) and 76% of H7N7  
471 ( $76.34 \pm 4.40$  %, N = 93) infected mice survived the infection. The infection with all  
472 three viruses resulted in comparable body weight losses (Fig. 1A). The maximum  
473 weight loss in H3N2 (N = 13) and H7N7 infected mice (N = 18) occurred at day 9 pi  
474 and in H1N1 infected animals (N = 11) at day 8 pi.

475 First, the brains of IAV infected mice were analyzed for the presence of infectious  
476 virus particles (Fig. 1B). For this, we used the highly sensitive egg-infectious dose  
477 assay. In line with previous publications, we did not detect any infectious virus  
478 particles in the brains of H1N1 infected mice, whereas infectious particles were found  
479 in different regions of the brain (mesencephalon, thalamus, medulla oblongata,  
480 cerebellum, cortex, olfactory bulb and hippocampus) after H7N7 infection. In the  
481 brain of H3N2 infected mice no or only very low amounts of infectious virus particles  
482 could be detected confirming that it is not able to efficiently replicate in the CNS (Fig.  
483 1B).

484 To investigate in detail the pathological alterations caused by infections with the three  
485 viruses, histological sections of the brain were analyzed. In the brain of H7N7  
486 infected mice many cells stained positive for viral NP (nuclear protein). Also  
487 moderate infiltration of meningeal and perivascular immune cells (B-cell, T-cell and  
488 macrophages) and several foci of gliosis (astrocytes and microglia) were detected  
489 (Fig. 1C-F). However, no lesions and only few single NP positive cells were observed  
490 following H3N2 infection (Fig. 1C, E-F). In the case of H1N1, we did not observe any  
491 pathological changes. Additional analysis of other organs by PCR and  
492 immunohistochemistry verified that H3N2 was detectable but did not replicate in  
493 other organs than the lung.

#### 494 **IAV infection causes cognitive impairment**

495 As influenza infection can be accompanied by neurological symptoms (Ekstrand,  
496 2012) we were interested whether the infection with different virus strains would  
497 affect mouse behavior in a virus-specific manner. We chose the well-established  
498 Morris water maze task as a paradigm to investigate the long-term consequences of  
499 an IAV infection influence on learning and spatial memory formation (Morris, 1984;  
500 Vorhees and Williams, 2006). Prior to the learning paradigm, general locomotor  
501 activity and exploratory behavior were tested in the open field test to exclude that  
502 phenotypes observed in the water maze task would be purely attributable to  
503 hyperactivity in infected individuals. Neither control nor IAV infected mice did show  
504 any sickness behavior or deficits in locomotors activity (Fig. 2A-D). On the other  
505 hand, the outcome of the Morris water maze task can be influenced by anxiety, for  
506 instance, when the animals avoid the open water part of the maze and rather show  
507 thigmotaxis behavior staying close to the pool walls. We, therefore, tested for a



508 potential increase in anxiety-related behavior 30 and 120 days pi using the elevated  
509 plus maze test. Control, as well as infected mice, did not reveal significantly elevated  
510 anxiety levels (Fig. 2E-F).

511 To investigate the effects of IAV infection on cognitive function, training in the Morris  
512 water maze task was performed starting at 30 and 120 days pi (Fig. 3-5). During 8  
513 days of acquisition training, the escape latency reduced significantly in control, as  
514 well as in infected mice (Fig. 3A-B), thereby indicating that all groups showed  
515 hippocampus-dependent spatial learning and memory formation (Repeated measure  
516 one-way ANOVA:  $F_{\text{Ctrl-30dpi}}(7, 49) = 11.21, p = 0.000$  (N = 8),  $F_{\text{H1N1-30dpi}}(7, 63) =$   
517  $12.99, p = 0.000$  (N = 10),  $F_{\text{H3N2-30dpi}}(7, 42) = 9.50, p = 0.000$  (N = 7),  $F_{\text{H7N7-30dpi}}(7,$   
518  $49) = 7.19, p = 0.000$  (N = 8),  $F_{\text{Ctrl-120dpi}}(7, 42) = 18.11, p = 0.000$  (N = 7),  $F_{\text{H3N2-120dpi}}$   
519  $(7, 42) = 5.61, p = 0.000$  (N = 7) and  $F_{\text{H7N7-120dpi}}(7, 49) = 10.11, p = 0.000$  (N = 8)).  
520 Yet, the escape latency in H7N7 infected mice 30 days pi was significantly increased  
521 compared to control, non-neurotropic H1N1 and H3N2 IAV infected mice (Fig. 3A),  
522 pointing out an impairment in memory formation following infection with this  
523 neurotropic virus (two-way ANOVA  $F_{\text{treatment}}(3, 1024) = 57.85, p < 0.0001$  (both  
524 factors fixed)). Three months later, at day 120 pi analysis of the escape latency in  
525 control as well as IAV infected mice during the training did not reveal any significant  
526 differences (Two-way ANOVA  $F_{\text{treatment}}(2, 680) = 0.35, p = 0.70$  (both factors fixed),  
527 Fig. 3B). To assess memory retrieval, reference memory tests (probe trials) were  
528 performed at day 3, 6 and 9 of the training phase (Fig. 3C-D). The results revealed  
529 that the percentage of time spent in the target quadrant by H1N1 and H3N2 IAV  
530 infected mice at day 30 pi increased over the training time similar to control mice  
531 (One-way ANOVA:  $F_{\text{Day 3}}(2, 22) = 2.48, p = 0.10, F_{\text{Day 6}}(2, 22) = 1.04, p = 0.37$  and  
532  $F_{\text{Day 9}}(2, 22) = 3.23, p = 0.058$ ). H7N7 infected mice showed an impairment in  
533 memory retrieval indicated by a significantly reduced preference for the target  
534 quadrant on day 6 and 9 compared to the other groups tested (One-way ANOVA:  
535  $F_{\text{Day 3}}(3, 29) = 3.22, p = 0.03, F_{\text{Day 6}}(3, 29) = 5.78, p = 0.003$  and  $F_{\text{Day 9}}(3, 29) = 3.91,$   
536  $p = 0.01$ , Fig. 3C). At 120 days pi the quadrant preference was comparable between  
537 all groups irrespective of the previous infection ( $F_{\text{Day 3}}(2, 19) = 0.27, p = 0.76, F_{\text{Day 6}}$   
538  $(2, 19) = 1.38, p = 0.274, F_{\text{Day 9}}(2, 19) = 0.20, p = 0.81$ , Fig. 3D).

539 A detailed analysis of the swimming path allows for a qualitative assessment of  
540 learning in mice (Fig. 4). All groups of control and IAV infected mice showed an  
541 augmentation of hippocampus-dependent searching during the training. However,

542 this progression was clearly decreased for H3N2 and H7N7 IAV infected animals 30  
543 days pi (Day 4: Ctrl:  $40.62 \pm 8.09$  %, H1N1:  $42.50 \pm 8.37$  %, H3N2:  $21.42 \pm 6.52$  %  
544 and H7N7:  $18.75 \pm 4.09$  %) (Two-way ANOVA  $F_{\text{treatment}}$  (3, 232) = 11.29,  $p < 0.0001$   
545 (both factors fixed), Fig. 4A). No significant differences in the relative percentage of  
546 strategies used between IAV infected and control mice were observed during the  
547 training 120 days pi (Two-way ANOVA  $F_{\text{treatment}}$  (2, 152) = 1.32,  $p = 0.26$  (both factors  
548 fixed), Fig. 4B).

549 In the reversal Morris water maze paradigm which is dependent on cognitive  
550 flexibility, the hidden platform was moved to the opposite quadrant (SW) (Fig. 5).  
551 During 3 days of training, the escape latency to the new platform position decreased  
552 significantly in control (Repeated measure one-way ANOVA:  $F_{\text{Ctrl-30dpi-R}}$  (2, 14) =  
553 16.15,  $p = 0.000$ ), H1N1 ( $F_{\text{H1N1-30dpi-R}}$  (2, 18) = 42.28,  $p = 0.000$ ) and H7N7 ( $F_{\text{H7N7-30dpi-R}}$   
554 (2, 14) = 8.75,  $p = 0.003$ ) influenza infected mice but not in H3N2 IAV infected  
555 mice at 30 days pi ( $F_{\text{H3N2-30dpi-R}}$  (2, 12) = 2.59,  $p = 0.08$ , Fig. 5A). H7N7 IAV infected  
556 mice showed a significantly increased escape latency compared to control and H1N1  
557 infected mice. Therefore, both H3N2 and H7N7 viruses led to a reduced ability to  
558 memorize the new location of the hidden platform 30 days pi (Two-way ANOVA  
559  $F_{\text{treatment}}$  (3, 384) = 9.30,  $p < 0.0001$  (both factors fixed), Fig. 5A). IAV infected mice  
560 tested 120 days pi revealed no significant differences in escape latency compared to  
561 control animals (Two-way ANOVA  $F_{\text{treatment}}$  (2, 255) = 2.85,  $p = 0.059$  (both factors  
562 fixed), Fig. 5B). Subsequently, a single probe trial test 24 hours after the last day of  
563 reversal training was performed (Fig. 5C-D). 30 days pi, both control and H1N1  
564 infected mice spent significantly more time in the new target quadrant (T) in  
565 comparison with the average time spent in non-target quadrants (Ctrl: unpaired t test  
566  $p < 0.0001$ ,  $df = 30$  and H1N1: Unpaired t test  $p < 0.0001$ ,  $df = 38$ ) whereas H3N2  
567 (Unpaired t test  $p = 0.062$ ,  $df = 26$ ) and H7N7 (Unpaired t test  $p = 0.79$ ,  $df = 30$ )  
568 infected animals showed no preference for the new target quadrant (Fig. 5C). No  
569 differences between tested groups were detected 120 days pi (Ctrl: Unpaired t test  $p$   
570 = 0.004,  $df = 26$ , H3N2: Unpaired t test  $p < 0.0001$ ,  $df = 26$ , H7N7: Unpaired t test  $p =$   
571 0.048,  $df = 30$ , Fig. 5D).

572 **IAV infection leads to long-term alterations in the function and morphology of**  
573 **hippocampal neurons**

574 Given the observed impairment in cognitive function following H3N2 and H7N7  
575 influenza infection, we were interested whether hippocampal network function would  
576 be compromised on a long-term timescale by the IAV infection. For this purpose, we  
577 analyzed synaptic plasticity at the Schaffer collateral pathway connecting the CA3  
578 with the CA1 subfield, one of the most extensively studied synapses in the central  
579 nervous system (Fig. 6). First, the dependence of the field excitatory postsynaptic  
580 potential (fEPSP) slope on stimulation intensity was assessed from input/output  
581 curves. Similar input/output relations of CA1 neurons in hippocampal slices from  
582 control and influenza A virus infected mice were found for both time points following  
583 infection (Two-way ANOVA:  $F_{30\text{dpi}}(2, 27) = 2.53$ ,  $p = 0.09$  and  $F_{120\text{dpi}}(2, 27) = 0.40$ ,  $p$   
584  $= 0.66$  (both factors fixed, number of brain slices in each group = 10), Fig. 6A). In  
585 addition, the potential effects of IAV infection on short-term synaptic potentiation of  
586 CA1 neurons were investigated 30 and 120 days pi (Fig. 6B). IAV infection did not  
587 alter paired-pulse facilitation (PPF) as fEPSP2/fEPSP1 in hippocampal slices at 30  
588 days and 120 days pi were not significantly different between control and infected  
589 animals (Two-way ANOVA:  $F_{30\text{dpi}}(2, 30) = 0.06$ ,  $p = 0.93$  and  $F_{120\text{dpi}}(2, 28) = 1.48$ ,  $p$   
590  $= 0.24$  (both factors fixed, number of brain slices in each group = 9-11), Fig. 6B).  
591 These results indicate that basal synaptic transmission at individual synapses as well  
592 as short-term synaptic plasticity in the CA1 region were not affected by IAV infection.  
593 In addition, long-term synaptic plasticity was investigated. Long-term potentiation  
594 (LTP) at the Schaffer collateral CA3 to CA1 pathway was induced by theta-burst  
595 stimulation (TBS) after 20 min of baseline recording (Fig. 6C-F). 30 days pi the  
596 induction phase of LTP (T 0-5 min after TBS) was significantly reduced only in  
597 hippocampal slices derived from H7N7 infected mice (One-way ANOVA  $F(2, 42) =$   
598  $3.92$ ,  $p = 0.02$ , number of brain slices in each group = 13-17). However, the stable  
599 phase of LTP (T 55-60 min after TBS) was significantly decreased in both slices from  
600 H3N2 and H7N7 IAV infected mice thereby revealing a significant impairment in  
601 synaptic plasticity compared to control hippocampal slices (One-way ANOVA  $F(2,$   
602  $42) = 5.74$ ,  $p = 0.006$ , Fig. 6C-D). 120 days pi the induction (One-way ANOVA  $F(2,$   
603  $35) = 0.30$ ,  $p = 0.74$ , number of brain slices in each group = 11-15) and maintenance  
604 phase of LTP in both H3N2 and H7N7 were comparable to control slices (One-way  
605 ANOVA  $F(2, 35) = 0.84$ ,  $p = 0.43$ , Fig. 6E-F).  
606 As a next step to investigate the potential cellular basis underlying the reduction in  
607 LTP and memory impairment, hippocampal neuronal morphology was analyzed 30,



608 60 and 120 days pi (Fig. 7). Spines are tiny, dendritic protrusions which carry the  
609 majority of excitatory synapses in the hippocampus, and changes in spine density  
610 can provide information about alterations in the connectivity of hippocampal  
611 subregions. Spines were therefore counted separately on apical dendrites of CA1  
612 and CA3 pyramidal neurons as well as on dentate granule cells being located in the  
613 superior and inferior blade of the granule cell layer in the hippocampus (Fig. 7A). A  
614 significant reduction in dendritic spine density was found in H3N2 (CA1:  $\Delta$  17.08%,  
615 CA3:  $\Delta$  19.24%) and H7N7 (CA1:  $\Delta$  22.13%, CA3:  $\Delta$  15.02%) infected mice 30 days  
616 pi, whereas H1N1 infection had no significant effect compared to control (CA1:  $\Delta$   
617 2.28%, CA3:  $\Delta$  3.46%) (One-way ANOVA  $F_{CA1}$  (3, 186) = 18.97,  $p < 0.0001$  and  $F_{CA3}$   
618 (3, 196) = 16.40,  $p < 0.0001$ , Fig. 7A-C). The extent of the phenotype differed  
619 between the hippocampal subregions as both in the superior and inferior dentate  
620 gyrus, only infection with H7N7 IAV led to a significant reduction in dendritic spine  
621 density (DG-superior:  $\Delta$  17.08%, DG-inferior:  $\Delta$  21.95%) (One-way ANOVA  $F_{DG-superior}$   
622 (3, 186) = 18.15,  $p < 0.0001$  and  $F_{DG-inferior}$  (3, 184) = 33.65,  $p < 0.0001$ , Fig. 7D-E).  
623 Further assessment of H3N2 and H7N7 infected animals showed at day 60 pi a  
624 partial recovery of the reduced spine density predominantly for the DG and CA3  
625 subregions and more pronounced for H3N2 infected animals (CA1 - H3N2:  $\Delta$  10.59%  
626 and H7N7:  $\Delta$  15.16%, CA3 - H3N2:  $\Delta$  7.12% and H7N7:  $\Delta$  15.66%, DG-superior -  
627 H7N7:  $\Delta$  3.78%, DG-inferior - H7N7:  $\Delta$  4.88%, Fig. 7B-E). 120 days pi, spine density  
628 in H7N7 and H3N2 infected mice was indistinguishable from control animals in all  
629 subregions of the hippocampus ( $p < 0.0001$  compared to 30 dpi, Fig. 7B-E).

### 630 **IAV infection enhances glial cell density and activation status within the** 631 **hippocampus**

632 As processes of prolonged neuroinflammation following IAV infection might be the  
633 underlying cause for the alterations observed in this study, ranging from single  
634 synapses to neuronal plasticity and eventually affecting mouse behavior, the effects  
635 of influenza A viruses on microglia density and activation status in the hippocampus  
636 were analyzed. For this purpose, IBA-1 staining was performed (Fig. 8A-C). IBA-1  
637 positive cells were counted and analyzed separately in the CA1, CA3 region and DG  
638 superior and inferior blade of the granule cell layer in the hippocampus. Whereas  
639 microglia density was not affected 30 days pi with H1N1 (CA1:  $\Delta$  4.55%, CA3:  $\Delta$   
640 7.67%), microglia density in the CA3 region ( $\Delta$  30.25%) and inferior blade of the

641 dentate gyrus ( $\Delta$  27.40%) was increased after H3N2 infection (Fig. 8B). The  
642 neurotropic H7N7 IAV infection induced a robust increase in microglia density for all  
643 hippocampal subfields analyzed (CA1:  $\Delta$  24.67%, CA3: 32.08%, DG-superior:  
644 49.18%, DG-inferior: 55.96%) (One-way ANOVA  $F_{CA1}$  (3, 76) = 5.13,  $p$  = 0.002,  $F_{CA3}$   
645 (3, 76) = 9.90,  $p$  < 0.0001,  $F_{DG-superior}$  (3, 76) = 17.57,  $p$  < 0.0001 and  $F_{DG-inferior}$  (3, 76)  
646 = 22.16,  $p$  < 0.0001, Fig. 8B).

647 In order to determine the activation status of microglia cells in infected individuals  
648 compared to control animals, the number of primary processes per cell was  
649 quantified (Fig. 8C). For both H3N2 and H7N7 virus types, the number of primary  
650 processes per cell decreased in all subregions of the hippocampus 30 days pi (One-  
651 way ANOVA  $F_{CA1}$  (3, 636) = 178.90,  $p$  < 0.0001,  $F_{CA3}$  (3, 701) = 259.00,  $p$  < 0.0001,  
652  $F_{DG-superior}$  (3, 706) = 155.5,  $p$  < 0.0001 and  $F_{DG-inferior}$  (3, 641) = 203.20,  $p$  < 0.0001,  
653 Fig. 8C) indicating increased activation levels. The strongest reduction was found in  
654 the superior and inferior blade of the dentate gyrus upon H7N7 infection ( $p$  < 0.001  
655 compared to control and H3N2 IAV infected mice). A partial recovery of microglia  
656 density (CA3 - H3N2:  $\Delta$  4.80% and H7N7:  $\Delta$  12.32%, DG-superior - H7N7:  $\Delta$   
657 18.14%, DG-inferior - H3N2:  $\Delta$  10.30% and H7N7:  $\Delta$  24.27%, Fig. 8B), as well as  
658 activation status, occurred in the DG and CA3 subregions 60 days pi, especially in  
659 H3N2 infected animals (Fig. 8C). At day 120 pi microglia cell density and activation  
660 status in infected mice were comparable to control levels ( $p$  < 0.001 compared to 30  
661 dpi, Fig. 8B-C).

662 In addition to the effect of IAV infection on microglia, we investigated the density of  
663 astrocytes in hippocampal subregions using GFAP staining (Fig. 8D-E). Astrocyte  
664 density in all subregions of the hippocampus was increased 30 days after infection  
665 with H7N7 (CA1:  $\Delta$  44.87%, CA3:  $\Delta$  45.78%, DG-superior:  $\Delta$  14.90%, DG-inferior:  $\Delta$   
666 22.60%), whereas only the CA1 ( $\Delta$  29.47%) and CA3 ( $\Delta$  29.29%) subregion were  
667 affected in H3N2 infected animals (Fig. 8E). As was the case for spine density and  
668 microglia, H1N1 did not affect astrocyte number in the hippocampus (CA1:  $\Delta$  2.73%,  
669 CA3:  $\Delta$  -7.42%) (One-way ANOVA  $F_{CA1}$  (3, 36) = 16.07,  $p$  < 0.0001,  $F_{CA3}$  (3, 36) =  
670 14.10,  $p$  < 0.0001,  $F_{DG-superior}$  (3, 35) = 3.31,  $p$  = 0.03 and  $F_{DG-inferior}$  (3, 36) = 3.31,  $p$  =  
671 0.03, Fig. 8E). As was the case for microglia also on the level of astrocytes a partial  
672 and a full recovery comparable to control numbers could be found 60 (H7N7 - CA1:  $\Delta$   
673 8.19%, CA3:  $\Delta$  -7.45%, DG-superior:  $\Delta$  -7.42%, DG-inferior:  $\Delta$  -1.80%) and 120 days  
674 pi, respectively ( $p$  < 0.05 compared to 30 dpi, Fig. 8E).

**675 IAV infection increases blood-brain barrier permeability and cytokine level**

676 As a next step, we examined the integrity of the blood-brain barrier (BBB) following  
677 H3N2 and H7N7 IAV infection (Fig. 9). For this purpose, animals were injected with  
678 2% (w/v) Evans blue intraperitoneally at day 4, 8 and 10 post infection. The results of  
679 spectrophotometry showed that upon infection with H3N2 and H7N7 IAV an  
680 increased Evans blue absorbance and therefore a compromised BBB could be  
681 detected on day 8 post infection with H3N2 and H7N7 (One-way ANOVA  $F(2, 19) =$   
682  $8.67$ ,  $p = 0.002$ , Fig. 9A). On day 10 post infection, only H7N7 infected mice showed  
683 an Evans blue staining well visible already macroscopically in the brain, whereas in  
684 the CNS of H3N2 infected mice it was only weakly visible around the ventricles (Fig.  
685 9B).

686 We were furthermore interested whether cytokines level would be increased as well  
687 in the periphery and especially also in the CNS. Therefore, TNF- $\alpha$  and IFN- $\gamma$  levels  
688 were assessed in the periphery and the CNS via ELISA at 8 days post infection (Fig.  
689 9C-H). An earlier study suggested that IFNs and TNF- $\alpha$  have a significant role in  
690 priming immune cells for higher cytokine and chemokine production during influenza  
691 A virus infection (Veckman et al., 2006). Cytokine levels was quantified in blood  
692 serum, CNS in general and in the hippocampus of IAV infected mice. Our data  
693 revealed that the levels of IFN- $\gamma$  and TNF- $\alpha$  were significantly elevated in the blood  
694 serum, CNS and hippocampus of H7N7 IAV infected mice (Fig. 9C-H). Interestingly,  
695 also infection with the two non-neurotropic influenza virus subtypes led to  
696 significantly increased levels of TNF- $\alpha$  in the hippocampus of infected mice  
697 compared to control (Fig. 9H) (IFN- $\gamma$ : one way ANOVA  $F_{\text{serum}}(3, 10) = 22.37$ ,  $p <$   
698  $0.0001$ ,  $F_{\text{Brain}}(3, 24) = 14.96$ ,  $p < 0.0001$ ,  $F_{\text{Hippocampus}}(3, 23) = 9.76$ ,  $p = 0.0002$  and  
699 TNF- $\alpha$ : one way ANOVA  $F_{\text{serum}}(3, 10) = 5.49$ ,  $p = 0.017$ ,  $F_{\text{Brain}}(3, 26) = 5.07$ ,  $p =$   
700  $0.006$ ,  $F_{\text{Hippocampus}}(3, 22) = 9.67$ ,  $p = 0.0003$ ).

**701 IAV infection differentially affects gene expression in the hippocampus**

702 We performed whole genome expression analysis at 18 and 30 days pi to study  
703 changes in gene expression in the hippocampus after IAV infection. Since we did not  
704 detect long-term alterations in brain morphology, function or cognitive behavior  
705 following H1N1 infection, we performed these studies only in H3N2 and H7N7  
706 infected mice. Furthermore, we focused our analysis on the hippocampus where we  
707 described functional and morphological alterations (see above). Mock-infected mice

708 (PBS), sacrificed at the same days post treatment were used as controls. We  
709 identified 487 differentially expressed probesets (DEPs) in the hippocampus of  
710 animals at day 18 pi with H3N2 virus compared to mock-treated animals. However,  
711 no DEPs were found at 30 days post H3N2 infection. After infection with H7N7, 174  
712 DEPs were observed at 18 days pi and also 250 DEPs at 30 days pi compared to  
713 mock controls (Fig. 10A). Most of the H3N2-induced differentially expressed genes  
714 (DEGs, 342) did not overlap with H7N7-induced DEGs, indicating a virus-specific  
715 response in the hippocampus (Fig. 10B).

716 KEGG (Kyoto Encyclopedia of Genes and Genomes) pathway analysis of DEGs  
717 revealed a significant induction of immune responses and inflammatory processes at  
718 18 days after H7N7 IAV infection which continued until day 30 post infection, and at  
719 18 days after H3N2 IAV (Fig. 10C). Especially, genes involved in antigen processing  
720 and presentation were found amongst the most strongly upregulated genes following  
721 H3N2 and H7N7 IAV infection indicating activation of an immune response in the  
722 CNS. Furthermore, KEGG analysis of DEGs in both H3N2 and H7N7 IAV infected  
723 mice compared to mock-infected controls (Fig. 10C) revealed DEGs belonging to cell  
724 adhesion molecule (CAM) pathways which play a critical role in a wide array of  
725 biological processes that include hemostasis, the immune response, inflammation  
726 and development of neuronal tissue (Joseph-Silverstein and Silverstein, 1998).  
727 Moreover, cell-cell adhesions are important for synaptic function (Bailey et al., 2015).  
728 Our analysis also revealed a significant adverse regulation of microglia-related genes  
729 following IAV infection. For instance, an increased expression of microglia signature  
730 genes such as *Olfml3* (H3N2:  $t = 3.60$ ,  $p = 0.04$  and H7N7:  $t = 5.42$ ,  $p = 0.03$ ) and  
731 *Tmem119* (H3N2:  $t = 2.49$ ,  $p = 0.1$  and H7N7:  $t = 3.44$ ,  $p = 0.09$ ) and downregulation  
732 of the microglia-neuron crosstalk gene (*Cx3cr1*) (H3N2:  $t = -4.39$ ,  $p = 0.02$  and H7N7:  
733  $t = -2.04$ ,  $p = 0.1$ ) were observed following both H3N2 and H7N7 IAV infection (Fig.  
734 10D). Furthermore, the analysis of genes reflecting microglia activation and in  
735 particular the MHC class II family (antigen processing and presentation), microglial-  
736 mediated phagocytosis (*Fcgr4*, *Dap12* and *Ctsz*) (*Fcgr4*:  $t = 4.68$ ,  $p = 0.05$ , *Dap12*:  
737  $4.54$ ,  $p = 0.05$  and *Ctsz*:  $t = 4.73$ ,  $p = 0.04$ ) and complement system genes (*C1qa*,  
738 *C1qb*, *C1qc* and *Vwf*) (*C1qa*:  $t = 6.31$ ,  $p = 0.03$ , *C1qb*:  $5.16$ ,  $p = 0.04$ , *C1qc*:  $t = 3.28$ ,  
739  $p = 0.1$  and *Vwf*:  $t = 4.27$ ,  $p = 0.06$ ) revealed a significant upregulation especially in  
740 the group of H7N7 infected animals (Fig. 10D). In addition to microglia, an increased  
741 expression of astrocyte signature and activation genes such as *Gfap* (H3N2:  $t = 2.94$ ,

742  $p = 0.08$  and H7N7:  $t = 3.16$ ,  $p = 0.1$ ) and *Psemb8* (H3N2:  $t = 3.65$ ,  $p = 0.04$  and  
743 H7N7:  $t = 4.44$ ,  $p = 0.05$ ) (Table 1) was observed in the hippocampus of H3N2 and  
744 H7N7 IAV infected mice.

745 *Rbfox3* (NeuN) (H3N2:  $t = -4.07$ ,  $p = 0.03$  and H7N7:  $t = -3.94$ ,  $p = 0.07$ ), *Nrcam*  
746 (neuronal cell adhesion molecule) (H3N2:  $t = -5.99$ ,  $p = 0.01$  and H7N7:  $t = -4.98$ ,  $p =$   
747  $0.04$ ) and *Cacna1c* (voltage-dependent calcium channel) (H3N2:  $t = -3.75$ ,  $p = 0.04$   
748 and H7N7:  $t = -6.61$ ,  $p = 0.03$ ) were diminished similarly in H3N2 and H7N7 IAV  
749 infected groups 18 days pi (Table 1). Dysfunction of these genes has been identified  
750 in several neurodevelopmental and neuropsychiatric disorders such as autism,  
751 schizophrenia and cognitive impairments (Demyanenko et al., 2014; Lee et al., 2016;  
752 Lin et al., 2016). In addition, *Rbfox3* knockout mice show deficits in synaptic  
753 transmission and plasticity in the dentate gyrus (Wang et al., 2015). Moreover, down-  
754 regulation of the neurotrophic factors *Bdnf* (H3N2:  $t = -3.52$ ,  $p = 0.05$  and H7N7:  $t = -$   
755  $3.66$ ,  $p = 0.08$ ) and *Ntf3* (H3N2:  $t = -6.88$ ,  $p = 0.009$  and H7N7:  $t = -3.91$ ,  $p = 0.07$ ) as  
756 well as a number of important solute carriers such as *Slc4a7* (bicarbonate  
757 cotransporter, H3N2:  $t = -4.87$ ,  $p = 0.02$  and H7N7:  $t = -5.03$ ,  $p = 0.04$ ), *Slc30a5* (zinc  
758 transporter, H3N2:  $t = -4.78$ ,  $p = 0.02$  and H7N7:  $t = -3.56$ ,  $p = 0.09$ ), *Slc2a1* (glucose  
759 transporter, GLUT-1, H3N2:  $t = -3.27$ ,  $p = 0.06$  and H7N7:  $t = -3.69$ ,  $p = 0.08$ ) and  
760 *Slc1a2* (glutamate transporter, H3N2:  $t = -4.70$ ,  $p = 0.02$  and H7N7:  $t = -3.43$ ,  $p =$   
761  $0.05$ ), and synapse-associated protein genes including *Grm5* (glutamate receptor,  
762 H3N2:  $t = -3.87$ ,  $p = 0.03$  and H7N7:  $t = -2.49$ ,  $p = 0.1$ ) and *Dlg3* (SAP102, H3N2:  $t =$   
763  $-4.79$ ,  $p = 0.02$  and H7N7:  $t = -3.67$ ,  $p = 0.08$ ) were observed irrespective of the virus  
764 subtype (Table 1). It is known that SAP102 null mice exhibit impaired spatial learning  
765 along with defects in synaptic plasticity (Cuthbert, 2007). It is also worth noting that  
766 following both H3N2 and H7N7 IAV infection, upregulation of interferon-response  
767 related genes including *Psemb9* (H3N2:  $t = 3.04$ ,  $p = 0.08$  and H7N7:  $t = 4.62$ ,  $p =$   
768  $0.05$ ), *Lgals3bp* (H3N2:  $t = 3.67$ ,  $p = 0.04$  and H7N7:  $t = 4.51$ ,  $p = 0.05$ ), *Oas2*  
769 (H3N2:  $t = 6.24$ ,  $p = 0.01$  and H7N7:  $t = 5.28$ ,  $p = 0.04$ ) and especially *Ccl5* (H3N2:  $t$   
770  $= 4.46$ ,  $p = 0.02$  and H7N7:  $t = 3.93$ ,  $p = 0.07$ ) were observed, the latter one has  
771 been previously associated with cognitive decline (Laurent et al., 2017) (Table 1).

## 772 Discussion

773 Influenza is primarily considered as a respiratory disease. However, evidence  
774 accumulates that an infection with influenza A viruses (IAV) may also be associated



775 with neurological complications in humans (Surana et al., 2011; Ekstrand, 2012;  
776 Shah et al., 2014). As influenza viruses can be either neurotropic or non-neurotropic  
777 it is important to discriminate between alterations directly mediated by the fact that  
778 the virus is actually able to replicate in the brain and, on the other hand, CNS  
779 complications as a result of the host immune response in the periphery. Here, we  
780 investigated the long-term neurological impact of an infection with either neurotropic  
781 or non-neurotropic IAV subtypes. The long-lasting consequences of an influenza  
782 infection on the brain have not been studied before. Our findings provide evidence  
783 that neuroinflammation caused by non-neurotropic and neurotropic influenza viruses  
784 induces long-lasting impairments in hippocampal neuronal morphology and synaptic  
785 properties as well as cognitive function in adult animals.

786 A comparison of the two non-neurotropic viruses H1N1 and H3N2 revealed that  
787 infection with H1N1 did not lead to any long-term alterations in spatial memory  
788 formation and neuron morphology. In a previous study, H1N1 infected mice showed  
789 impairment in the reversal task in the Morris water maze suggesting influenza-  
790 induced cognitive deficits during the acute phase of the infection (Jurgens et al.,  
791 2012). While our results showed that recovery from the infection with this variant  
792 seems to be fast, the infection with the non-neurotropic H3N2 subtype and  
793 neurotropic H7N7 caused long-lasting cognitive deficits in infected animals. We  
794 therefore concentrated on a detailed comparison between infection with H3N2 which  
795 is not able to replicate in the brain and H7N7 where we indeed could detect  
796 replicating virus in the CNS.

797 Infection with both virus subtypes led to a compromised BBB 8 days post infection.  
798 This is in line with an elevation of cytokine levels in the CNS detected here. Although  
799 the concentration of IFN- $\gamma$  and TNF- $\alpha$  was highest in the case of H7N7 infection both  
800 in the serum and in the CNS also infection with H3N2 led to significantly elevated  
801 levels of TNF- $\alpha$  in the hippocampus. Indeed, several studies indicate that after  
802 different types of infection in the periphery or the brain an increased inflammatory  
803 gene expression, e.g. IL-1 $\beta$ , IL-6, TNF- $\alpha$  and IFN- $\gamma$ , can be observed across multiple  
804 brain regions, including the hippocampus (Howe et al., 2012; Elmore et al., 2014;  
805 Heneka et al., 2014; Klein et al., 2017). The cytokines generated during peripheral  
806 inflammation can activate a secondary, mirror inflammatory response (indirect,  
807 immune response-mediated pathways) in the brain that is characterized by activation  
808 of microglia and production of pro-inflammatory cytokines, most importantly, TNF- $\alpha$ ,

809 IL-1 and IL-6 (Riazi et al., 2015). Indeed, we were able to detect an increase in the  
810 number of microglia in the hippocampus of H3N2 and H7N7 infected mice which  
811 could at least in parts be attributable to the leaky BBB following influenza infection.  
812 Although activated microglia are crucial for the host defense against pathogens,  
813 prolonged or aberrant activation can have damaging effects on neurons and can  
814 adversely affect synaptic transmission and structure (Hanisch, 2002; Block et al.,  
815 2007; Pickering and O'Connor, 2007; Riazi et al., 2015). It was shown previously that  
816 microglia can also play a role in synaptic remodeling and plasticity in the healthy  
817 brain (Nimmerjahn et al., 2005; Parkhurst et al., 2013) for instance via neuron-  
818 microglia crosstalk through the *Cx3cr1* signaling axis which we found to be  
819 downregulated independently of the virus subtype. The observed long-term  
820 alterations in spine number, synaptic plasticity and cognitive function following IAV  
821 infection might therefore indeed result in parts from a general virus subtype-  
822 independent hyperactivation of microglia cells in the hippocampus triggered through  
823 the immune response to the influenza virus in the periphery. In this respect, it was  
824 shown previously that pro-inflammatory mediators produced during the infection  
825 affected neuronal morphology, synaptic structure and function (Yirmiya and Goshen,  
826 2011; Estes and McAllister, 2015). In particular, chronic inflammation *in vivo* and  
827 exposure of cultured brain cells to lipopolysaccharide (LPS) *in vitro* led to a loss of  
828 spines reminiscent to changes found in many neurological diseases (Chang et al.,  
829 2015). Furthermore, manipulation of individual cytokines can modulate learning,  
830 memory formation, and synaptic plasticity (Marin and Kipnis, 2013; Donzis and  
831 Tronson, 2014). The increased production of inflammatory mediators from brain  
832 immune cells might indeed disrupt the delicate balance in the neuro-microglia  
833 crosstalk (Tanaka et al., 2006; Yirmiya and Goshen, 2011).

834 The number of astrocytes was increased as well following infection with neurotropic  
835 and non-neurotropic IAV subtypes. We detected a downregulation of astrocyte  
836 specific glutamate transporter *Slc1a2* levels which plays an essential role in the  
837 maintenance of normal excitatory synaptic transmission, protection of neurons from  
838 the excitotoxicity of excessive glutamate, and regulation of glutamate-mediated  
839 neuroplasticity (David et al., 2009). Interestingly, in both H3N2 and H7N7 infected  
840 mice elevated numbers of astrocytes recovered faster than the number and  
841 activation status of microglia cells suggesting that an astrocyte-microglial interaction  
842 might be a key mechanism for the regulation of brain inflammation during influenza

843 infection. In this regard, previous findings suggest that astrocytes can regulate  
844 microglial activity by stimulating their antioxidant gene expression, perhaps providing  
845 a negative feedback response to titrate the inflammatory reaction induced by pro-  
846 inflammatory mechanisms thus modulating microglial resting status versus activation  
847 state (Shih et al., 2006).

848 Besides the striking similarity of the phenotypes on the general level of glial cell and  
849 synapse number as well as the gene expression profiles following infection with non-  
850 neurotropic and neurotropic IAV subtypes pointing towards immune response-  
851 mediated indirect pathways we were able to reveal also characteristic differences in  
852 the long-lasting outcome following infection with these two viruses. Infection with the  
853 neurotropic virus led to synapse loss in all three hippocampal subregions together  
854 with a robust impairment in spatial map formation whereas H3N2 infection was  
855 associated with synapse loss only in the *cornu ammonis* region and a reduced ability  
856 to update the new platform position in the reversal phase but almost normal learning  
857 in the initial water maze task. It was indeed shown previously that the progressive  
858 nature of neurotropic virus-induced damage in the dentate gyrus such for instance  
859 during Borna virus infection is associated with impaired performance in the Morris  
860 water maze (Rubin et al., 1999). Moreover, there is evidence that the plain water  
861 maze task can efficiently be solved despite LTP impairments in either the CA1 or the  
862 CA3 region (Nakazawa et al., 2002; Bannerman et al., 2012). Our data therefore  
863 show that hippocampal subregions might indeed exhibit a different sensitivity to  
864 inflammation induced damage with the DG being more resilient. Moreover, our  
865 results indicate that the neuronal representation of behavioral flexibility to decide  
866 between competing memories in the reversal task may reside more in the CA1 and  
867 CA3 subfield than in the DG.

868 In case of the neurotropic virus H7N7 we observed a much stronger reaction which  
869 was consistent throughout all hippocampal subregions including the dentate gyrus. In  
870 this respect it is interesting to note that the interferon-responsive gene *Ifit3* which is  
871 reported to be involved in cognitive decline in aged mice (Bordner et al., 2011) was  
872 specifically upregulated in the hippocampus of H7N7 IAV infected mice, and is mainly  
873 expressed in granule cells (Cho et al., 2013). This indicates that the direct presence  
874 of the virus in the brain leads to even more detrimental effects (direct, virus-mediated  
875 pathways). The gene expression profile analysis revealed that the increase in  
876 microglia activation markers was even stronger in H7N7 infected individuals



877 compared to H3N2 infected mice. Especially the levels of genes belonging to the  
878 major histocompatibility class 2 family (MHCII) were strongly increased indicating  
879 direct contact of microglia and the IAV.

880 Evidence now accumulates that chronic neuroinflammation may be a central  
881 mechanism contributing to the generation and progression of a number of  
882 neuropsychiatric and neurodegenerative disorders including Alzheimer's disease  
883 (Frank-Cannon et al., 2009; Heneka et al., 2014). Interestingly, the dopamine  
884 neurotransmitter transporter gene *Slc6a3* which has been associated with depression  
885 and other neuropsychiatric disorders (Sinanan and Hillary, 1981; Uddin et al., 2011)  
886 was among the most strongly upregulated genes especially after H7N7 infection.

887 Thus, our findings have significant implications for the clinical consequences of IAV  
888 infections. An influenza infection with neurotropic viruses but also infections with a  
889 non-neurotropic virus can initiate inflammatory cascades via microglia and astrocyte  
890 activation in the brain and therefore increase the likelihood to develop  
891 neuropsychiatric and neurodegenerative disorders. The host immune response  
892 triggered by a lung infection with H3N2 was able to impair hippocampal function. But  
893 more so, replication of an influenza virus in the brain resulted in a stronger and more  
894 prolonged activation of microglia with detrimental outcome for cognitive functions. In  
895 our study, we only worked with younger animals. It will thus be important to study if  
896 similar or even more pronounced impairments were observed in aged mice and if the  
897 developing brains in newborn and juvenile individuals could also be affected.  
898 Approaches to regulate glial cell activity may provide a future strategy to prevent  
899 deleterious long-term effects on the brain, especially in highly vulnerable patient  
900 groups.

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1169 **Figure legends**

1170 **Figure 1. Female C57BL/6J mice were infected intranasally with the indicated**  
1171 **viruses and dosages.** (A) Body weight loss depicted as percentage of the starting  
1172 weight of mice during the acute phase of IAV infection is shown (N = 10-18 in each  
1173 group). (B) Brains of infected mice were tested for the presence of infectious virus in  
1174 embryonated eggs. Positivity in a hemagglutination assay is displayed as number of  
1175 positive samples/number of tested samples. Positive samples from the indicated  
1176 days were titrated by determining EID<sub>50</sub> (egg infectious dose 50)/ml. (C)  
1177 Representative sections from the immunohistochemical analysis, hippocampus (left)  
1178 and medulla oblongata (right) of mice 7 days after intranasal infection with H3N2  
1179 (maHK68) (upper row) and H7N7 (rSC35M) (lower row) IAV subtypes.  
1180 Immunohistochemistry did not reveal influenza nucleoprotein (NP) in the  
1181 hippocampus and medulla oblongata of H3N2 infected mice and hippocampus of  
1182 H7N7 infected mice, whereas high numbers of virus infected cells in the medulla  
1183 oblongata of H7N7 infected mice were detected (Scale bars = 200  $\mu$ m). Sections  
1184 were counterstained with Mayer's hematoxylin. Inserts: Higher magnifications of the  
1185 respective images (Scale bars = 33  $\mu$ m). (D) In the medulla oblongata of a mouse at  
1186 9 days after intranasal H7N7 infection, severe lymphohistiocytic meningitis, few  
1187 numbers of inflammatory cells in the parenchyma, and a moderate gliosis (center of  
1188 the image) were observed (Scale bars = 80  $\mu$ m). Upper insert: Note degenerating  
1189 cells in higher magnification (arrow). Lower insert: Viral antigen was found in one cell  
1190 (arrow) using immunohistochemistry for influenza nucleoprotein and Mayer's  
1191 hematoxylin as counterstaining (Scale bars = 33  $\mu$ m). (E) H&E staining from brains of  
1192 H3N2 and H7N7 infected mice were scored semi-quantitatively for signs of  
1193 inflammation at the respective days (N = 3-5). (F) Immunohistochemistry of viral NP  
1194 was scored semi-quantitatively (N = 4-5). Data are presented as mean  $\pm$  SEM.

1195 **Figure 2. Long-term effect of influenza A virus infection on general locomotion**  
1196 **and willingness to explore in the open field test and anxiety-like behavior in the**  
1197 **elevated plus maze test.** (A), (B) At 30 and 120 days pi a total distance traveled  
1198 (One-way ANOVA - 30 dpi (N = 7-10): F (3, 29) = 2.12, p = 0.11 and 120 dpi (N = 7-  
1199 8): F (2, 19) = 6.19, p = 0.08), average speed (One-way ANOVA - 30 dpi (N = 7-10):  
1200 F (3, 29) = 2.86, p = 0.054 and 120 dpi (N = 7-8): F (2, 19) = 6.24, p = 0.08) and  
1201 representative tracks of movement patterns of mice in an open field box are



1202 presented. There was no significant difference between all tested groups. (C), (D)  
1203 Activity percentage of mice in the periphery (One-way ANOVA - 30 dpi (N = 7-10): F  
1204 (3, 29) = 2.15, p = 0.11 and 120 dpi (N = 7-8): F (2, 19) = 2.73, p = 0.09) and center  
1205 part (One-way ANOVA - 30 dpi (N = 7-10): F (3, 29) = 2.14, p = 0.11 and 120 dpi (N  
1206 = 7-8): F (2, 19) = 2.73, p = 0.09) of open field arena as well did not show any  
1207 significant changes. Therefore, no sickness behavior, locomotors deficiency or  
1208 anxiety-like behavior was detectable in infected mice. (E), (F) The percentage of time  
1209 spent in the open (One-way ANOVA - 30 dpi (N = 7-10): F (3, 29) = 3.80, p = 0.20  
1210 and 120 dpi (N = 7-8): F (2, 19) = 1.00, p = 0.38) and closed arms (One-way ANOVA  
1211 - 30 dpi (N = 7-10): F (3, 29) = 1.61, p = 0.20 and 120 dpi (N = 7-8): F (2, 19) = 0.18,  
1212 p = 0.83) of elevated plus maze were similar in all groups tested at 30 and 120 days  
1213 pi. Mice did not indicate elevated anxiety levels. Data are presented as mean  $\pm$  SEM,  
1214 ordinary one-way ANOVA of data and post hoc Bonferroni's multiple comparisons  
1215 test were performed.

1216 **Figure 3. Long-term effect of influenza A virus infection on hippocampus-**  
1217 **dependent spatial learning.** (A) During 8 days of acquisition training, the escape  
1218 latency reduced significantly in each group of control and infected mice, at 30 days pi  
1219 the escape latency in H7N7 infected mice was significantly increased compared to  
1220 control and non-neurotropic H1N1 and H3N2 IAV infected mice. (B) At 120 days pi  
1221 the escape latency in all control, as well as IAV infected mice, did not reveal any  
1222 significant differences. One single probe trial was performed after day 3, 6 and 9 of  
1223 the training period. (C) The percentage of time spent in the target quadrant (NE) by  
1224 H1N1 and H3N2 IAV infected mice at day 30 pi was increased similarly to control  
1225 mice, whereas H7N7 infected mice showed a significantly reduce target quadrant  
1226 preference on day 6 and 9 compared to the other groups tested. (D) The quadrant  
1227 preference during the probe trials 120 days pi was similar in all groups. Data are  
1228 presented as mean  $\pm$  SEM (N = 7-10), one-way and two-way ANOVA of data and  
1229 post hoc Bonferroni's multiple comparisons test were performed. \* p < 0.05 and \*\*\* p  
1230 < 0.001 compared to control. ++ p < 0.01 and +++ p < 0.001 compared to H1N1. ^ p  
1231 < 0.05, ^^ p < 0.01 and ^^ p < 0.001 compared to H3N2.

1232 **Figure 4. Analysis of learning strategies reveals a spatial learning impairment**  
1233 **for both neurotropic and non-neurotropic virus subtypes.** With regard to the  
1234 different searching strategies to locate the hidden platform during the acquisition

1235 phase of the Morris water maze experiment, hippocampus-independent searching  
1236 strategies including random swimming, chaining and scanning decreased over time  
1237 whereas the hippocampus-dependent strategy directed search increased. The  
1238 searching strategies (directed search, chaining, scanning and random swimming)  
1239 were color coded and the relative contribution of the respective strategy is presented  
1240 for each day of the Morris water maze task. (A) The hippocampus-dependent  
1241 strategy was decreased following H7N7 infection compared to the other groups, also  
1242 H3N2 infected mice showed a reduction in the usage of direct search at 30 days pi.  
1243 (B) No significant differences in searching strategies between IAV infected and  
1244 control mice were observed 120 days pi. Data are presented as mean  $\pm$  SEM (N = 7-  
1245 10), two-way ANOVA of data and post hoc Bonferroni's multiple comparisons test  
1246 were performed. \*  $p < 0.05$  and \*\*  $p < 0.01$  compared to control. +  $p < 0.05$  compared  
1247 to H1N1.

1248 **Figure 5. Infection with neurotropic and non-neurotropic virus subtypes**  
1249 **impairs memory formation for a new platform position.** (A) During 3 days of  
1250 training, the escape latency to a new position of the hidden platform (SW) decreased  
1251 significantly in control, H1N1 and H7N7 influenza infected mice over days, however,  
1252 not in H3N2 influenza infected mice. H7N7 IAV infected mice had a significantly  
1253 elevated escape latency compared to control and H1N1 infected mice. (B) At 120  
1254 days pi, the IAV infected group did not show any significant differences in the escape  
1255 latency compared to the control. A single probe trial test 24 hours after the last day of  
1256 reversal training was performed. (C) Only control and H1N1 infected mice spent  
1257 significantly more time in the new target quadrant (T) in comparison with the average  
1258 time spent in non-target quadrants (NT). (D) All tested groups spent more time in T  
1259 compared to NT 120 days pi. Data are presented as mean  $\pm$  SEM (N = 7-10), in A  
1260 and B repeated measure one-way and two-way ANOVA of data and post hoc  
1261 Bonferroni's multiple comparisons test and in C and D unpaired t test were  
1262 performed. \*\*  $p < 0.01$  compared to control. +  $p < 0.05$  and +++  $p < 0.001$  compared  
1263 to H1N1. #  $p < 0.05$ , ##  $p < 0.01$  and ####  $p < 0.001$  compared to NT.

1264 **Figure 6. Long-term effect of influenza A virus infection on the function of CA1**  
1265 **hippocampal neurons.** (A) input-output curves of field excitatory postsynaptic  
1266 potential (fEPSP) slopes in hippocampal slices (n = 10) of control and infected mice  
1267 at 30 days and 120 days pi did not show any significant differences between groups.

1268 (B) Paired-pulse facilitation (PPF) of fEPSP slopes depicted as response to the 2<sup>nd</sup>  
1269 stimulation over the 1<sup>st</sup> at different interpulse intervals (10, 20, 40, 60, 80, and 100  
1270 ms) in hippocampal slices (n = 9-11) did not show any differences between the  
1271 groups at 30 and 120 days pi. (C) Hippocampal slices from H7N7 infected mice (n =  
1272 15) exhibited significantly lower induction and maintenance of LTP compared to  
1273 control, whereas H3N2 infected mice (n = 13) showed a reduced maintenance of LTP  
1274 compared to control (n = 17) at 30 days pi. (D) At the induction phase of LTP (T 20-  
1275 25), only hippocampal slices from H7N7 infected mice had a significantly reduced  
1276 LTP, however, at the stable phase of LTP (T 75-80) both groups of slices from H3N2  
1277 and H7N7 influenza virus infected mice revealed a significant reduction in LTP  
1278 compared to control hippocampal slices. (E-F) At 120 days pi, the induction and  
1279 maintenance phases of LTP did not show any differences in control and infected  
1280 groups (n = 11-15). Data are presented as mean  $\pm$  SEM (N = 4-5), in A and B, C and  
1281 E two-way ANOVA of data and in D and F one-way ANOVA of data and post hoc  
1282 Bonferroni's multiple comparisons test were performed. \* p < 0.05 and \*\* p < 0.01  
1283 compared to control and ^ p < 0.05 compared to H3N2. N is number of mice and n is  
1284 number of hippocampal slices in each group.

1285 **Figure 7. Long-term effect of influenza A virus infection on dendritic spine**  
1286 **density of hippocampal neurons.** (A) Representative images of Golgi-stained  
1287 hippocampus sections (Scale bar = 200  $\mu$ m, 2.5X), hippocampal neurons (Scale bar  
1288 = 20  $\mu$ m, 20X) and dendritic spines in hippocampal CA1-apical neurons following  
1289 infection with influenza A viruses (Scale bar = 2  $\mu$ m, 63X). (B-E) Following infection  
1290 with H3N2 and H7N7 IAV, the spine density of apical dendrites of CA1 (B) and CA3  
1291 (C) hippocampal neurons decreased at 30 days pi, only H7N7 IAV infection reduced  
1292 dendritic spine density of dentate granule cells located in the superior (D) and inferior  
1293 blade (E) of the granule cell layer. Notably, 60 days pi a partial recovery occurred in  
1294 the DG and CA3 hippocampal subregions of infected animals, and 120 days pi the  
1295 dendritic spine density fully recovered in all regions of the hippocampus. Data are  
1296 presented as mean  $\pm$  SEM (N = 4-5 and number of dendrites in each group = 40-50),  
1297 one-way ANOVA of data and post hoc Bonferroni's multiple comparisons test were  
1298 performed. \* p < 0.05 and \*\*\* p < 0.001 compared to control. ### p < 0.01 and #### p <  
1299 0.001 compared to 30 days pi time point.

1300

1301 **Figure 8. Long-term effect of influenza A virus infection on glial cell density**  
1302 **and activation status within the hippocampal subregions.** (A) Representative  
1303 examples of IBA-1 immunostaining at 30 days pi (Scale bar = 100  $\mu$ m). Inserts:  
1304 Higher magnifications of the respective images (Scale bar = 10  $\mu$ m). (B) Following  
1305 infection with H3N2 IAV, microglia density in the CA3 region and inferior blade of the  
1306 dentate gyrus was increased significantly, whereas the neurotropic H7N7 IAV  
1307 infection induced an increased microglia density in all hippocampal subregions at 30  
1308 days pi. Notably, 60 days pi a partial recovery occurred in the CA3 and DG regions of  
1309 infected mice and 120 days pi microglia density was fully recovered in all subregions  
1310 of the hippocampus (N = 4 and number of ROIs in each group = 20). The activation  
1311 status of microglia was assessed by counting the number of primary processes. (C)  
1312 Following infection with H3N2 and H7N7 IAV, the number of primary processes of  
1313 microglia in all subregions of the hippocampus decreased at 30 days pi, however,  
1314 upon H7N7 infection, the strongest reduction became visible in the superior and  
1315 inferior blade of the granule cell layer. On the other hand, 60 days pi a partial  
1316 recovery occurred in the CA3 and DG regions of infected mice, and 120 days pi  
1317 microglia activation status was fully recovered in all subregions of the hippocampus  
1318 (N = 4 and number of selected microglia in each group = 120-200). (D)  
1319 Representative examples of GFAP immunostaining at 30 days pi (Scale bar = 50  
1320  $\mu$ m). Inserts: Higher magnifications of the respective images (Scale bar = 10  $\mu$ m). (E)  
1321 Astrocyte density in all hippocampal subregions was increased at 30 days pi with  
1322 H7N7 IAV, whereas only CA1 and CA3 were affected after H3N2 IAV infection.  
1323 Interestingly, at 60 days pi and 120 days pi a reduction of GFAP positive cells to the  
1324 level of controls was observed (N = 2-4 and number of ROIs in each group = 5-20).  
1325 Data are presented as mean  $\pm$  SEM, one-way ANOVA of data and post hoc  
1326 Bonferroni's multiple comparisons test were performed. \* p < 0.05 and \*\*\* p < 0.001  
1327 compared to control. ^^^ p < 0.001 compared to H3N2. # p < 0.05, ## p < 0.01 and  
1328 #### p < 0.001 compared to 30 days pi time point.

1329 **Figure 9. Effect of influenza A virus infection on blood-brain barrier (BBB)**  
1330 **permeability and cytokine level.** (A) The injection of Evans blue dye for  
1331 assessment of the BBB integrity upon infection with H3N2 and H7N7 IAV showed an  
1332 increased Evans blue absorbance on day 8 post infection in both H3N2 and H7N7  
1333 infected mice (N = 3-4 and number of samples in each group = 6-8). (B) On day 10

1334 post infection, Evans blue dye was well-visible macroscopically only in H7N7 infected  
1335 mice, whereas in H3N2 infected mice it was only weakly visible around the ventricle  
1336 (black arrow). (C-H) The levels of IFN- $\gamma$  and TNF- $\alpha$  were significantly elevated in the  
1337 blood serum, brain and hippocampus of H7N7 IAV infected mice. (H) H1N1 and  
1338 H3N2 non-neurotropic IAV infection led to significantly increased TNF- $\alpha$  level within  
1339 the hippocampus of infected mice (N = 2-4 and number of samples in each group =  
1340 3-8). Data are presented as mean  $\pm$  SEM, one-way ANOVA of data and post hoc  
1341 Bonferroni's multiple comparisons test were performed. \* p < 0.05, \*\* p < 0.01 and \*\*\*  
1342 p < 0.001 compared to control.

1343 **Figure 10. Whole genome microarray analysis from hippocampus of influenza**  
1344 **infected mice at 18 and 30 days pi.** DEPs (differentially expressed probesets) were  
1345 identified based on an adjusted p-value of < 0.1 and exhibiting more than a 1.4 fold  
1346 (log<sub>2</sub> of 0.5) difference in expression levels. (A) At 18 days pi, 487 and 174 DEPs  
1347 were detected in the hippocampus of H3N2 and H7N7 infected mice respectively.  
1348 However, at 30 days pi, DEPs (250) were only found in H7N7 IAV infected mice. (B)  
1349 Overlap of differentially expressed genes (DEGs) that are represented by the DEPs  
1350 is presented as Venn diagram. (C) KEGG pathway analysis of DEGs following H3N2  
1351 and H7N7 IAV infection revealed significant pathways involved in local immune  
1352 responses and cell adhesion molecules in the hippocampus of H3N2 and H7N7  
1353 infected mice at 18 days pi which are more pronounced and continued until 30 days  
1354 pi for H7N7 IAV infection. The diameter of the dots indicates the gene ratio; range of  
1355 0.05 (smallest dot) to 0.20 (biggest dot), colors show significance of DEG  
1356 representation for each pathway. (D) Relative changes (with reference to mock-  
1357 infected mice) in expression levels of microglia signature and activation genes in the  
1358 hippocampus after IAV infection. Data are presented as LogFC (fold change) mean  
1359 in each groups compared to control group (N = 3-4 ad independent biological  
1360 replicates). P-value is adjusted using Benjamini-Hochberg correction for multiple  
1361 testing.



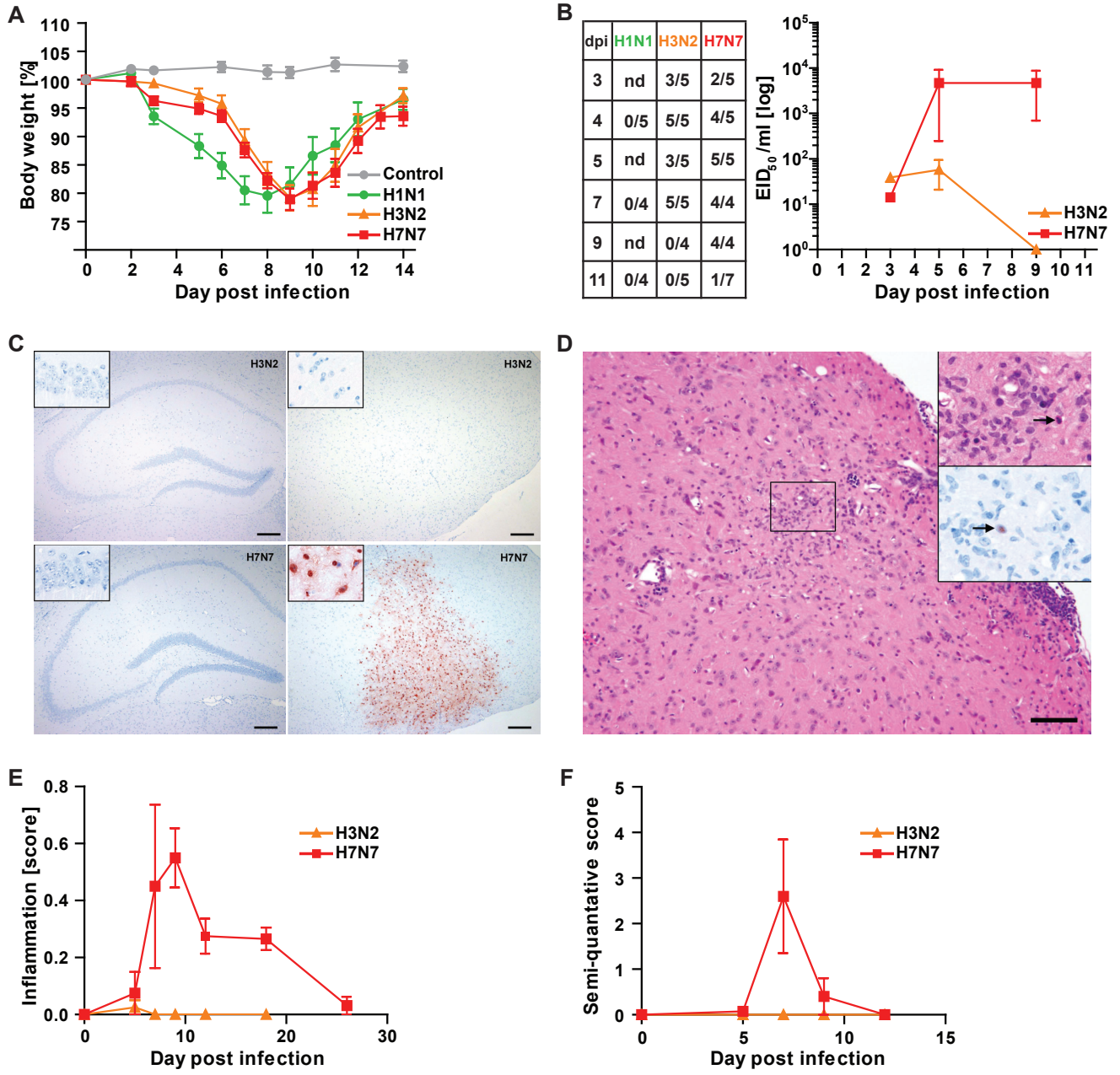


Figure 1. Hosseini et al.



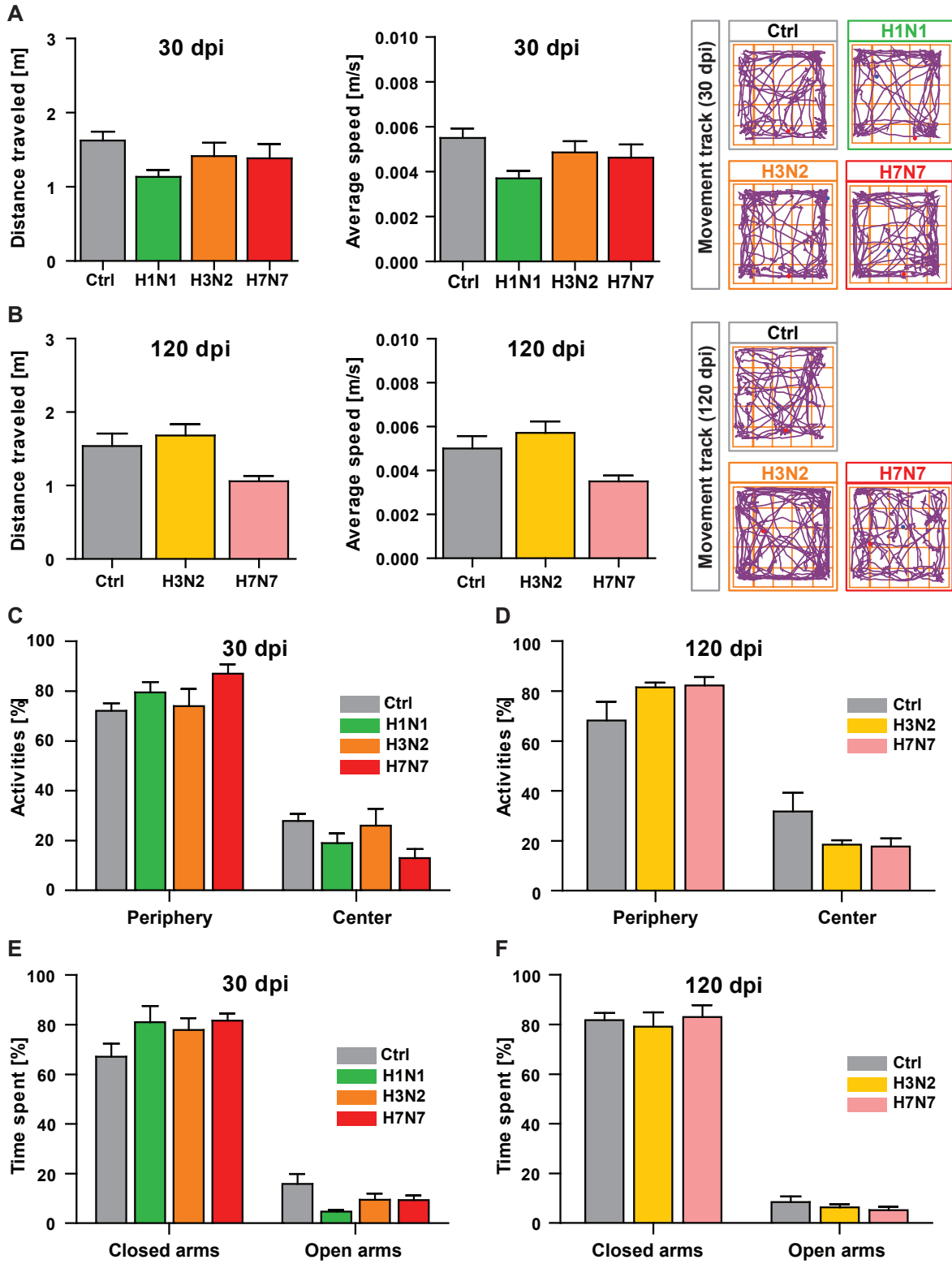


Figure 2. Hosseini et al.

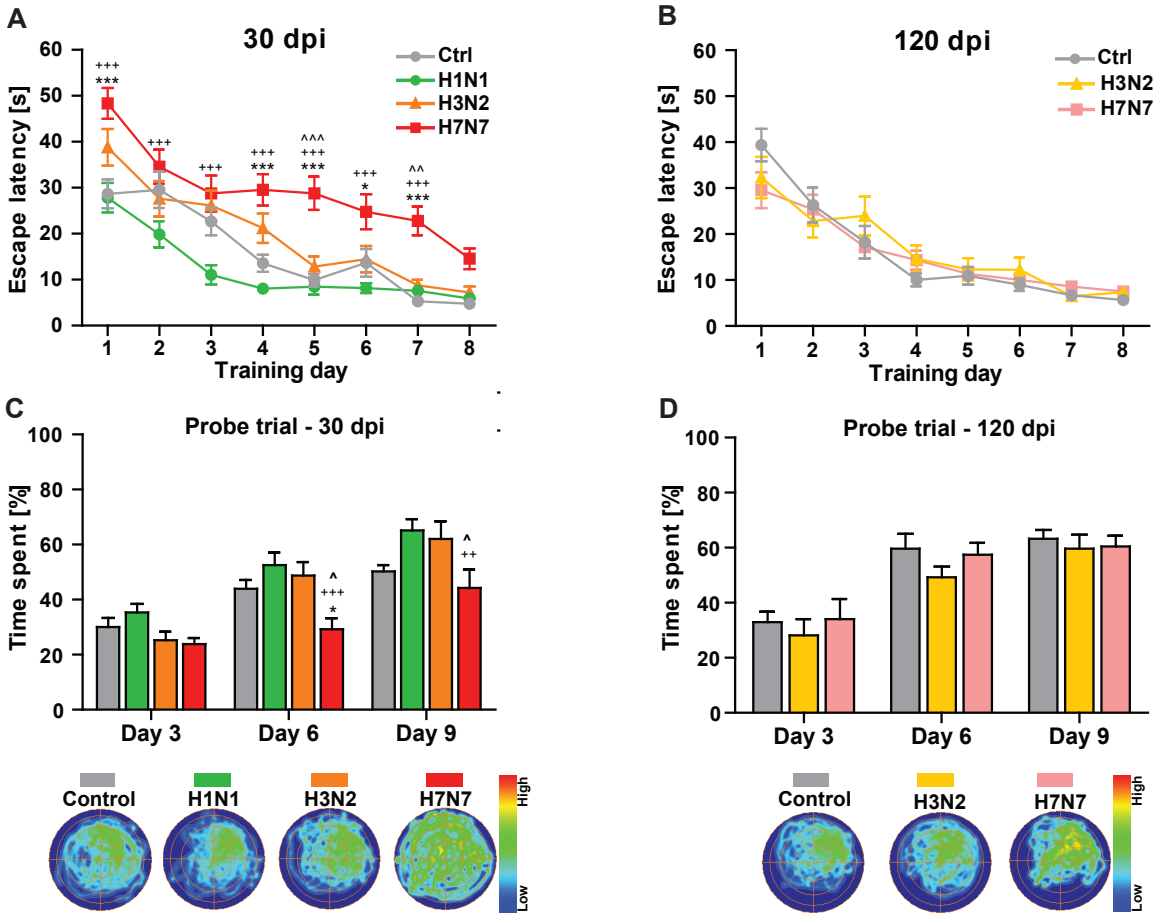


Figure 3. Hosseini et al.

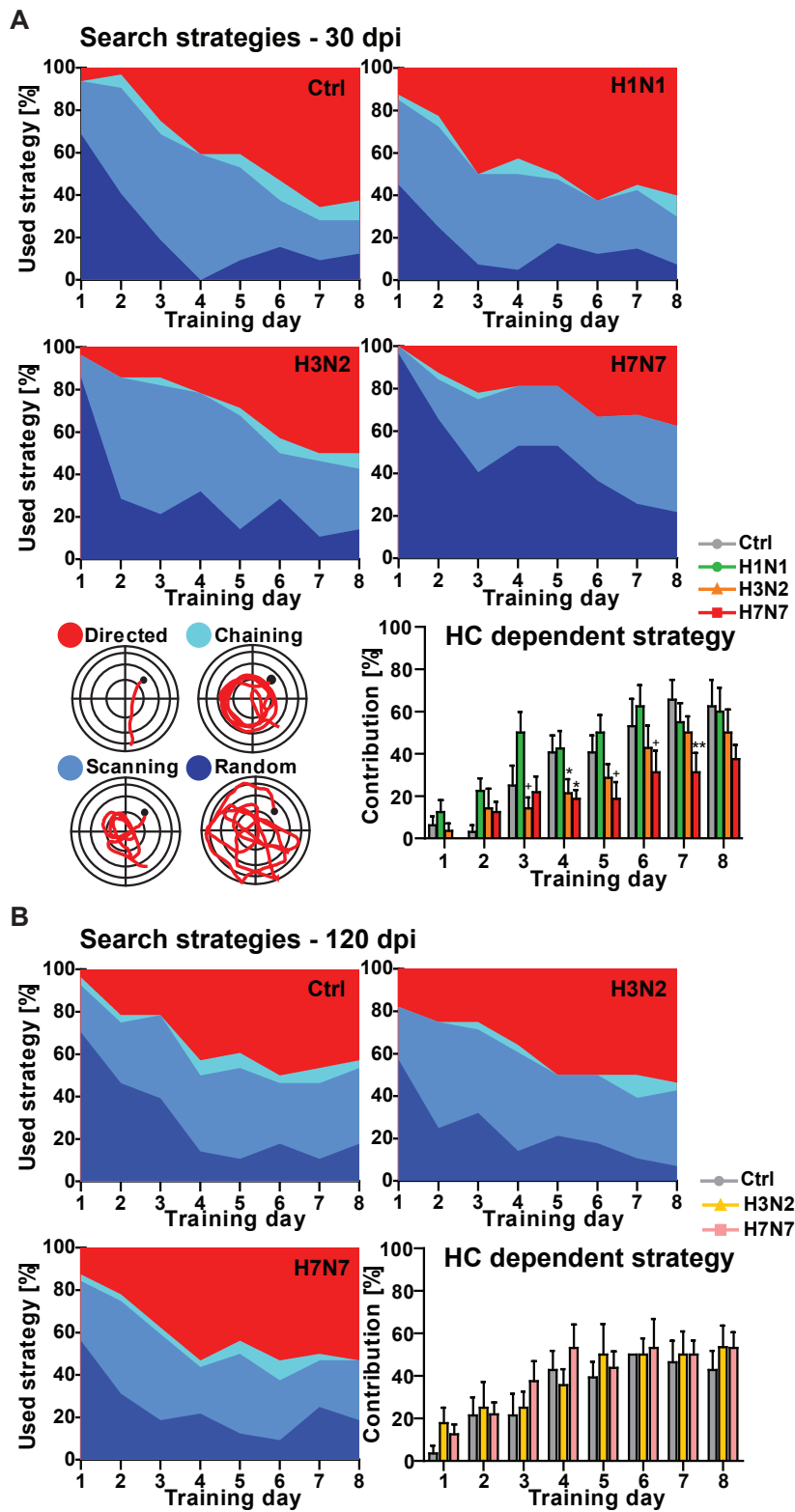


Figure 4. Hosseini et al.

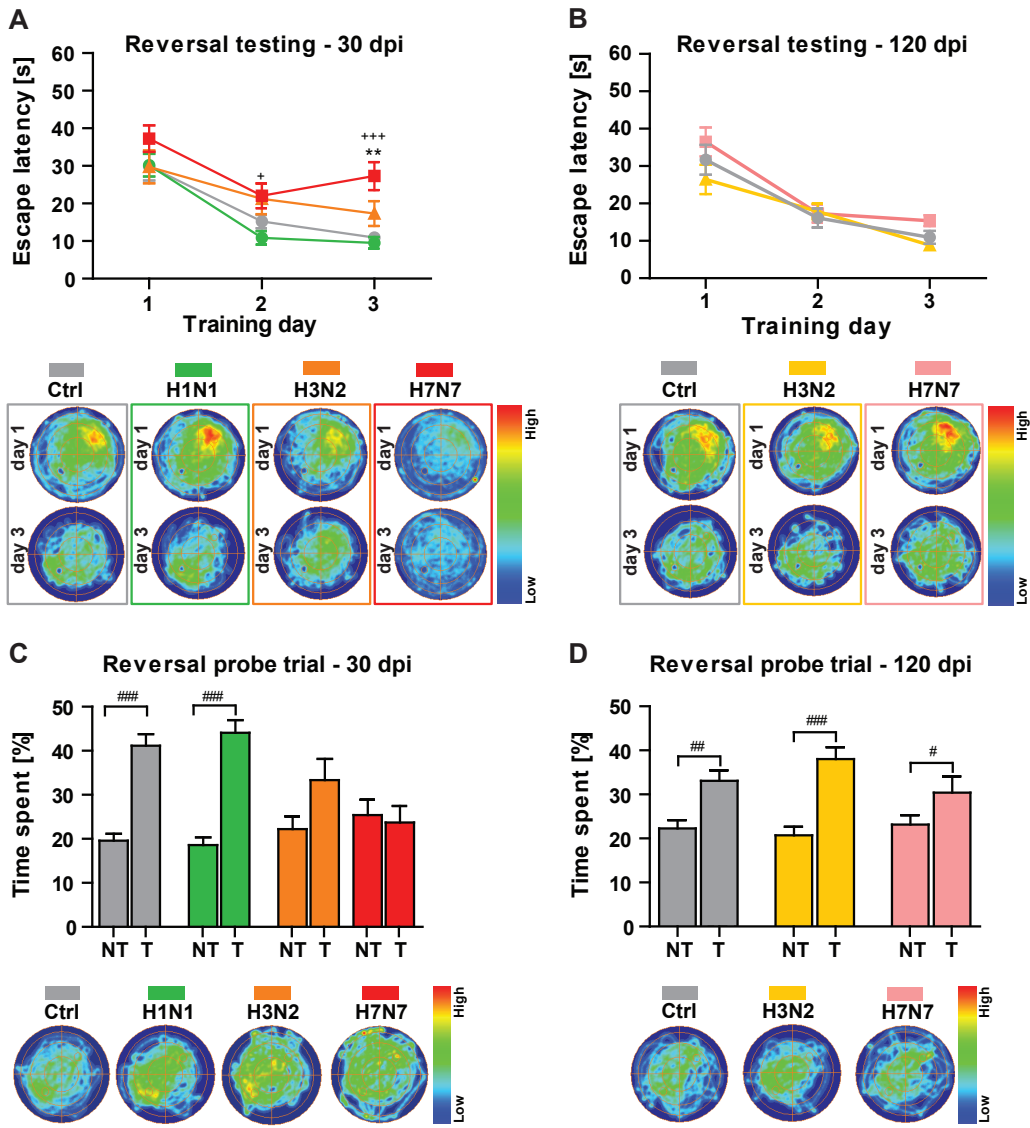


Figure 5. Hosseini et al.

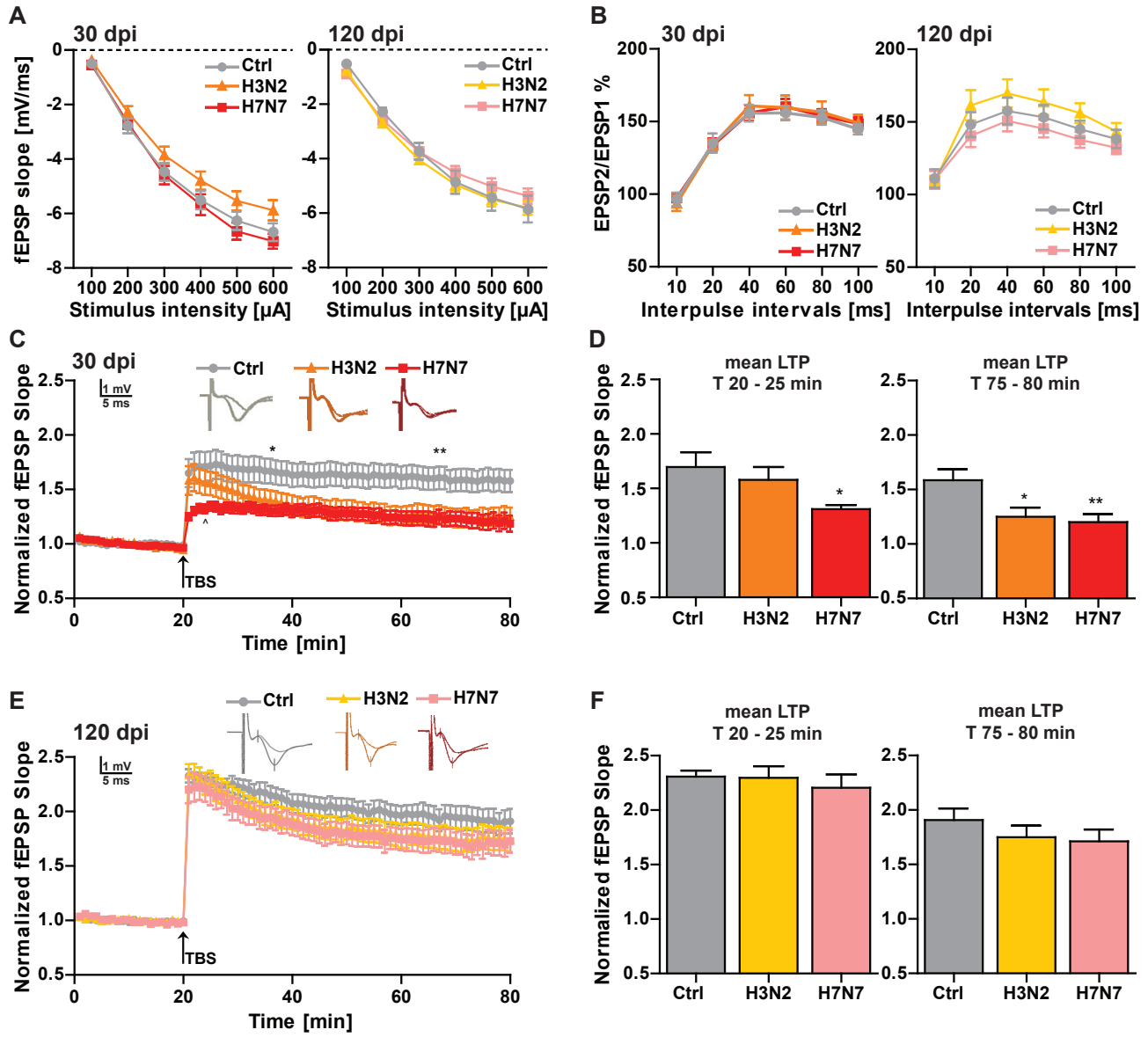


Figure 6. Hosseini et al.



A

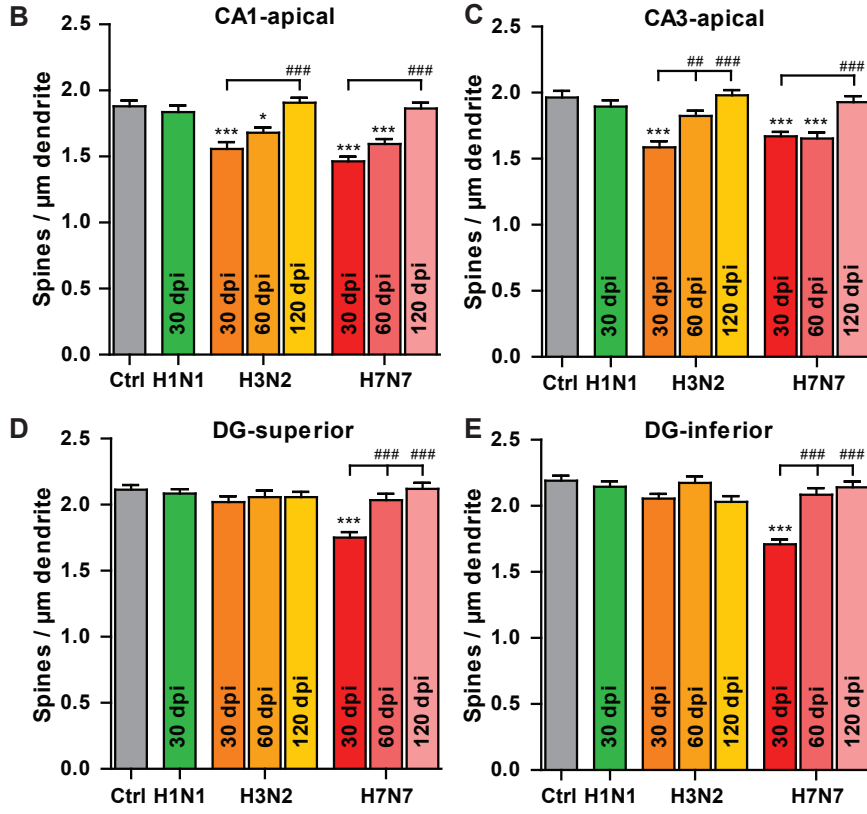
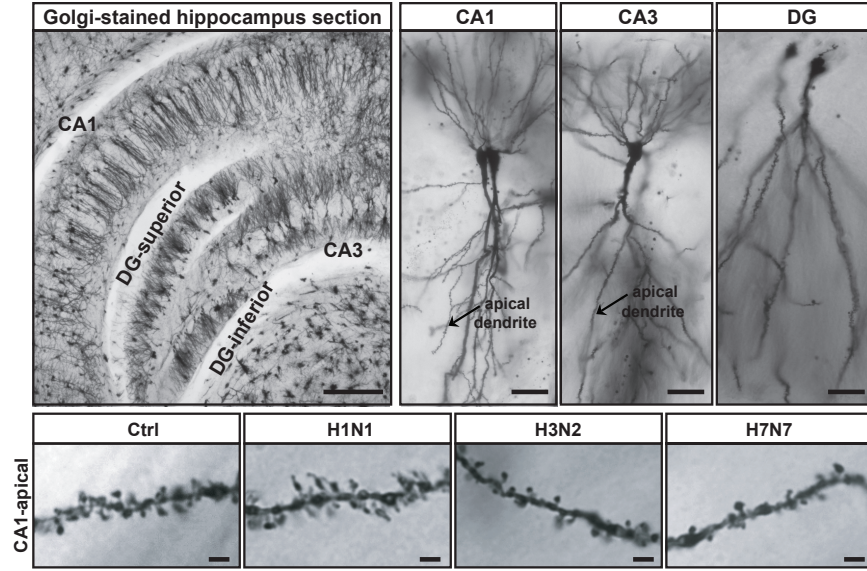


Figure 7. Hosseini et al.

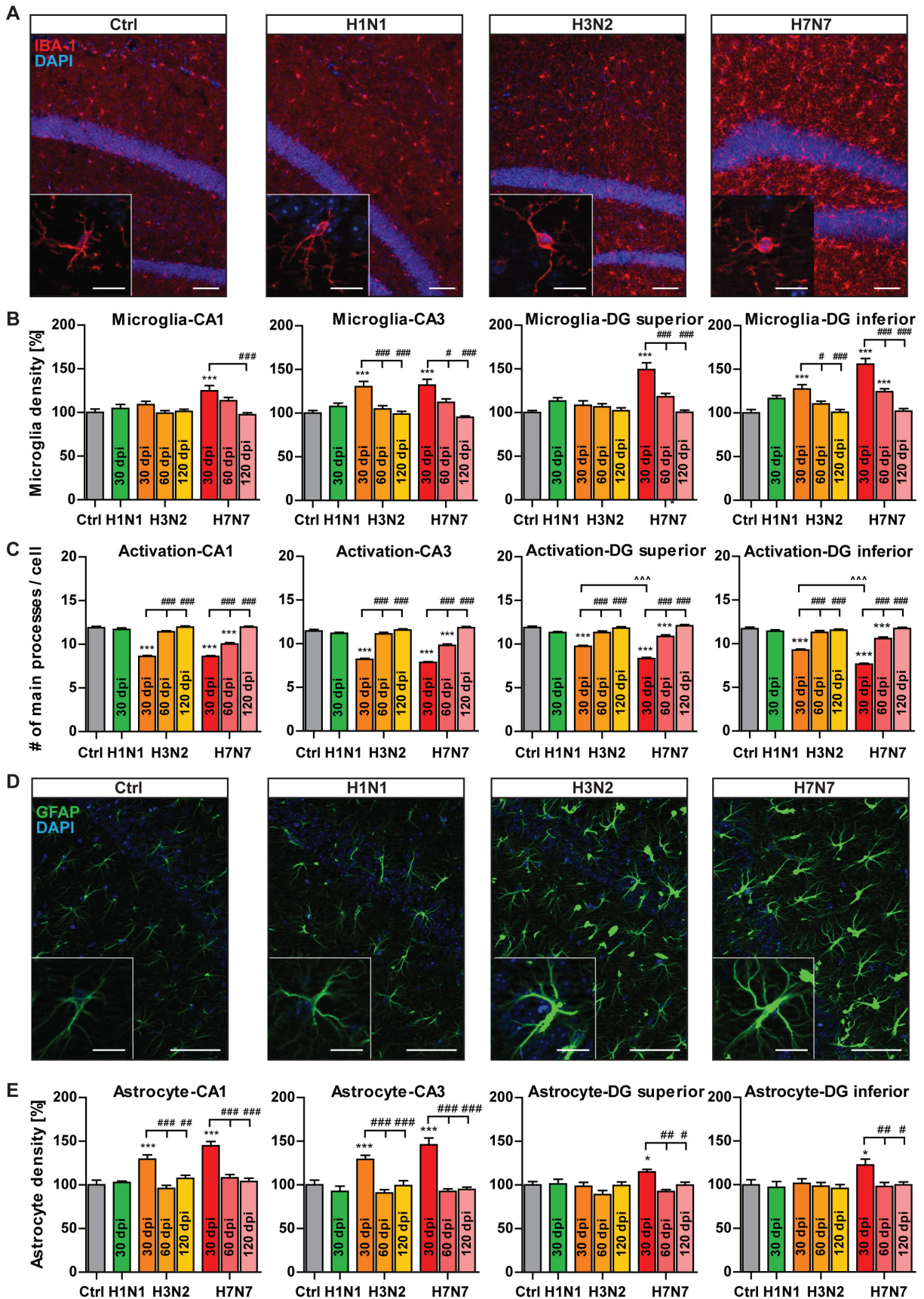


Figure 8. Hosseini et al.

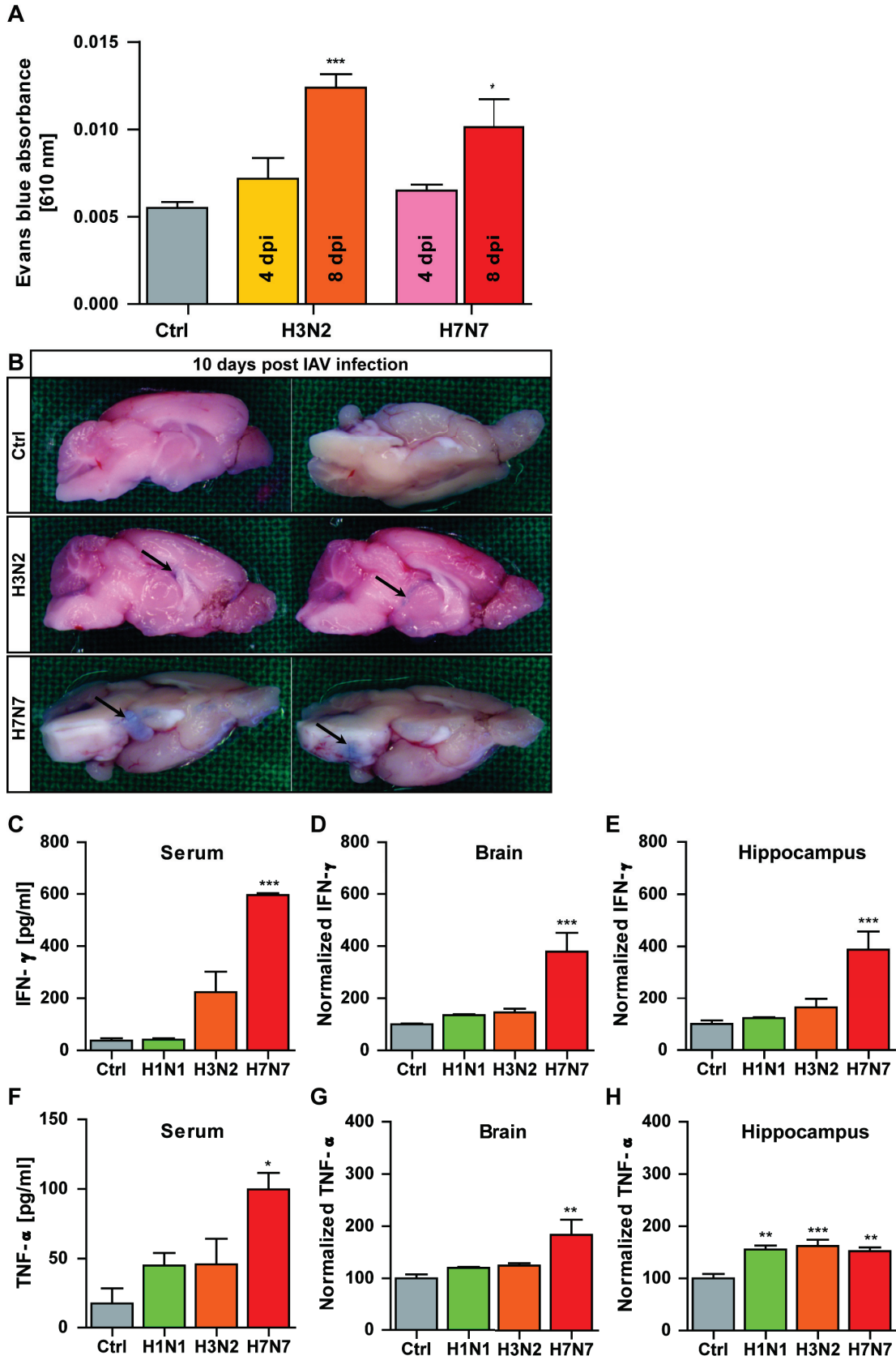


Figure 9. Hosseini et al.



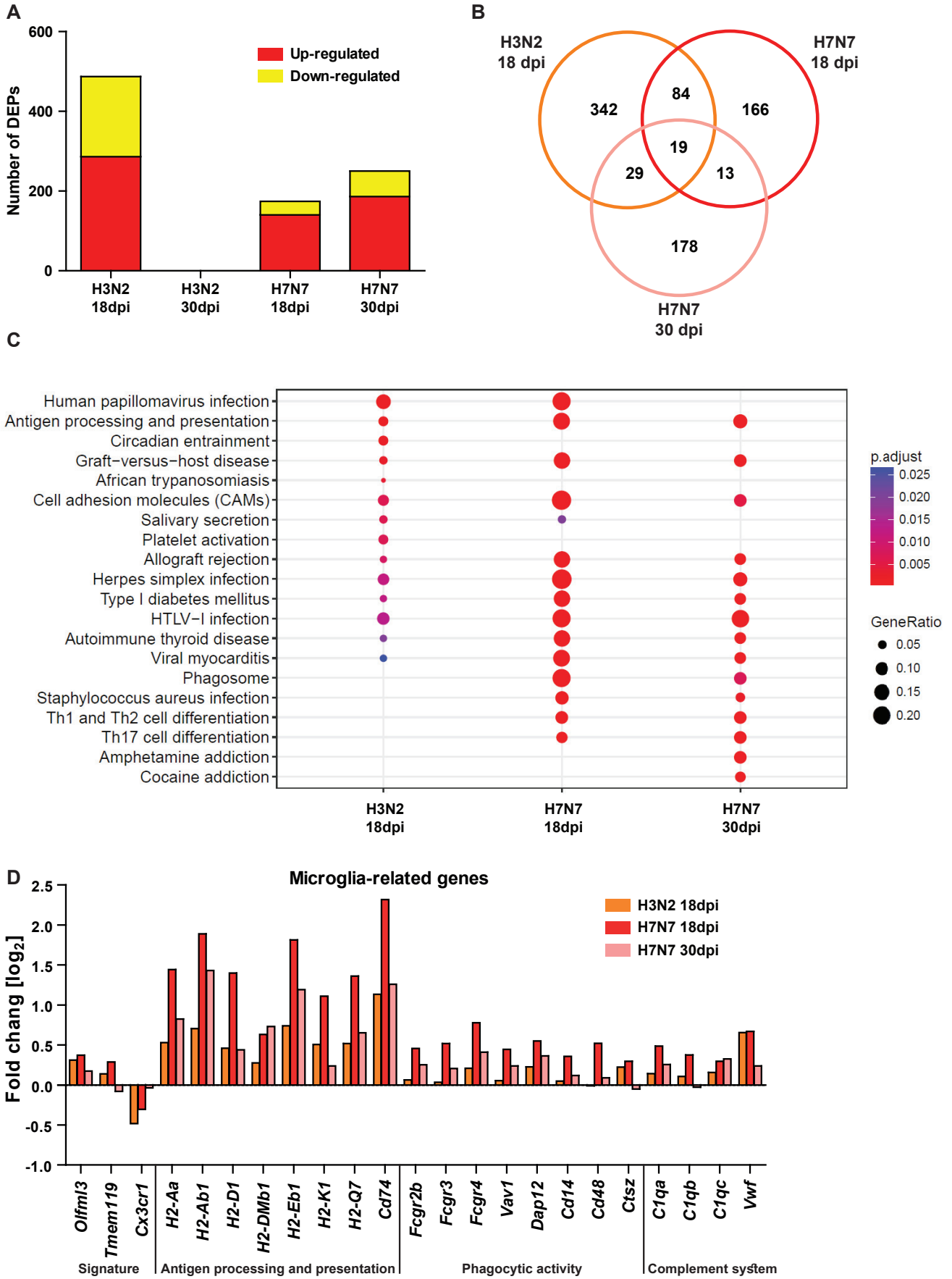


Figure 10. Hosseini et al.

	Symbol	Description	Function	Fold change [log <sub>2</sub> ]			Studies
				H3N2 18 dpi	H7N7 18 dpi	H7N7 30 dpi	
Neuron-related genes and neurotrophic factors	<i>Rbfox3</i>	RNA binding protein, fox-1 homolog ( <i>C. elegans</i> ) 3, (NeuN)	Marker of mature neurons, required for hippocampal circuit balance and function	-0.461 *	-0.504 *	-0.223 *	(Wang et al., 2015)
	<i>Nrcam</i>	neuronal cell adhesion molecule	Regulator of axon growth, schizophrenia and autism candidate gene	-0.472 *	-0.431 *	-0.352 *	(Demyanenko et al., 2014)
	<i>Cacna1c</i>	calcium channel, voltage-dependent, L type, alpha 1C subunit	Neuropsychiatric disease-associated gene, mediates survival of young hippocampal neurons	-0.224 *	-0.390 *	-0.101	(Lee et al., 2016)
	<i>Dlg3</i>	discs, large homolog 3 ( <i>Drosophila</i> )	Synapse-associated protein 102, involved in spatial learning strategy and synaptic plasticity	-0.356 *	-0.337 *	-0.206 *	(Cuthbert, 2007)
	<i>Grm5</i>	glutamate receptor, metabotropic 5	Encodes mGluR5, decreased following viral infection	-0.460 *	-0.320	0.096	(Vasek et al., 2016)
	<i>Slc4a7 (NBCn1)</i>	solute carrier family 4, sodium bicarbonate cotransporter, member 7	Expressed in hippocampal neurons, associated with a Na <sup>+</sup> conductance, some NBCn1 colocalizes with the postsynaptic density marker PSD-95	-0.494 *	-0.534 *	+0.123	(Cooper et al., 2005; Majumdar and Bevensee, 2010)
	<i>Slc6a3</i>	solute carrier family 6 (neurotransmitter transporter, dopamine), member 3	Increased in depression and other psychiatric disorders	+1.460	+3.160	+5.090 *	(Uddin et al., 2011)
	<i>Bdnf</i>	brain derived neurotrophic factor	Required for support the survival of existing neurons, and encourage the growth and differentiation of new neurons and synapses	-0.437 *	-0.515 *	-0.112	(Huang and Reichardt, 2001)
	<i>Ntf3</i>	neurotrophin 3		-0.580 *	-0.460 *	-0.110	
Glial-related genes	<i>Gfap</i>	glial fibrillary acidic protein	Protein in the cytoskeleton of astrocytes, elevated level represents astroglial activation and gliosis during neurodegeneration	+0.620 *	+0.476	+0.108	(Brahmachari et al., 2006)
	<i>Psmb8</i>	proteasome (prosome, macropain) subunit, beta type 8 (large multifunctional peptidase 7)	Astrocytic immunoproteasome related gene, increased in Alzheimer's disease	+0.200 *	+0.820 *	+0.390 *	(Orre et al., 2013)
	<i>Slc1a2</i>	solute carrier family 1 (glial high affinity glutamate transporter), member 2 (EAAT2/GLT-1)	Associated gene with glutamate transport and metabolism, required for proper synaptic activity	-0.492 *	-0.183	-0.185 *	(David et al., 2009)
	<i>Slc2a1</i>	solute carrier family 2 (facilitated glucose transporter), member 1 (GLUT-1)	Responsible for glucose uptake into astrocytes and neurons, decreased in Alzheimer's disease	-0.213 *	-0.311 *	+0.537 *	(Liu et al., 2008)
	<i>Slc30a5</i>	solute carrier family 30 (zinc transporter), member 5	Zinc deficiencies lead to dementia, downregulated during aging and Alzheimer's disease	-0.331 *	-0.240 *	+0.037	(Lovell, 2009; Nuttall and Oteiza, 2014; Crotti and Ransohoff, 2016)
Interferon-response gene	<i>Psmb9 (LMP2)</i>	proteasome (prosome, macropain) subunit, beta type 9 (large multifunctional peptidase 2)	IFN- $\alpha$ -inducible gene, depression-associated gene	+0.221 *	+0.790 *	+0.516 *	(Hoyo-Becerra et al., 2015)
	<i>Lgals3bp</i>	lectin, galactoside-binding, soluble, 3 binding protein	Type I IFN-induced gene, modulation activity of immune cells	+0.415 *	+0.674 *	+0.441 *	(Goffinet, 2016)
	<i>Oas2</i>	2'-5' oligoadenylate synthetase 2	Involved in defense and innate immune response to virus	+0.431 *	+0.759 *	+0.492 *	(Bao et al., 2017)
	<i>Ccl5</i>	chemokine (C-C motif) ligand 5	Type I IFN-induced chemokine, associated with hippocampal T-cell infiltration, promotes cognitive decline	+0.408 *	+2.150 *	+1.523 *	(Laurent et al., 2017)
	<i>Ifit3</i>	interferon-induced protein with tetratricopeptide repeats 3	Stat1 and IFN signaling-dependent gene, expression higher in granule cell neurons	+0.084	+0.690 *	+0.201	(Cho et al., 2013)

Table 1. Relative changes in expression levels of candidate genes in the hippocampus of IAV infected mice



Significant regulation (\*  $p < 0.1$ , BH adjusted) is marked with an asterisk. A partial recovery in the altered genes expression was observed 30 days post H7N7 infection.

**Table 1. Hosseini et al.**