# Stock Trading Platform – Quick User Guide

## Contents

Ite	Item					
Α.	Apply for Securities Services	1				
B.	Trade Stock	5				
C.	Check Trade Status & Modify an Order	10				
D.	Check My Transaction History	15				
E.	Check My Stock Holdings	17				
F.	Create & View My Watchlist	20				
G.	IPO	25				
H.	Corporate Actions	28				
Ι.	Regular Savings Plan	30				
J.	Transfer in Stock	33				
ĸ	Stock Alerts	35				
L.	Complete and Submit US Tax Form (Form W-8BEN) with Citi Mobile $^{\circ}$ App	37				

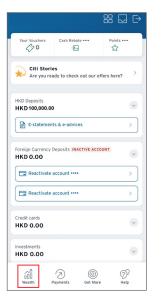


## **Apply for Securities Services**

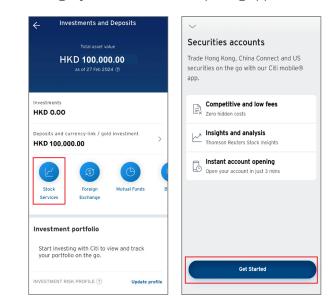
Via Citi Mobile® App



Login to Citi Mobile<sup>®</sup> App, tap **"Wealth"** 

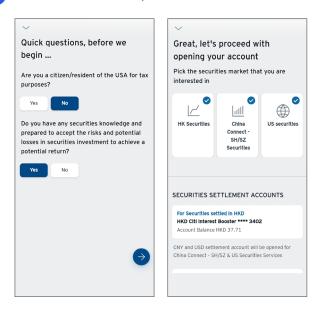


Select "**Stock Services**" and tap "**Get Started**" to begin your stock account opening application



**3** F

### Fill in the details and provide consents





#### Important Disclaimer:

Via Citi Mobile® App



Complete the **questionnaire** to indicate your **investment knowledge and experience** 

nvestment account	
vestment objectives	
Investment Objectives Capital appreciation	~
Investment Experience Less than 1 year	~
o you have knowledge and nderstanding of these derivative roducts?	e
LIIII ETFs Structured products	⊖ ⊈ Neither
ow did you obtain your knowled	lge and

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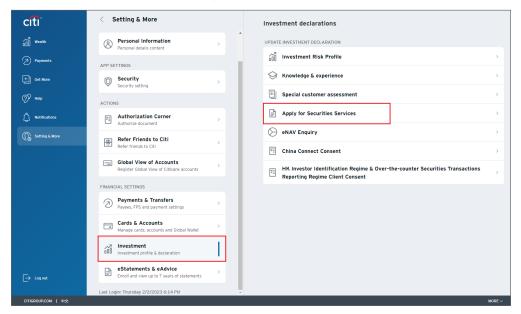
Your stock account opening application has been **submitted** successfully. Please provide the required documents if needed

Your Hong Kong, China Conn	
Securities account opening a been submitted. Please uploa	
Form and other necessary do	
your application to be comple	
your application to be comple	eteo.
Upload your employer consent via	Citibank HK
website "Citi Online Document Upl	
in order to complete your application	
the application will expire in 30 da	ys.
Download application form	POF
Submit W8-BEN form	
Click here	
Back	



Important Disclaimer:

### Click "Investment" under "Setting & More", then click "Apply for Security Services"



Follow the instructions to fill in details

citi	< Wealth Management						
Offers	×						
000 Wealth	INVESTMENT SERVICES						
Payments	MY INVESTMENT CLIENT PROFILE (KNOWLEDGE AND EXPERIENCE)	Apply for Securities Services				I	
+ Get More	MY INVESTMENT RISK E PROFILE	Estimited time to complete the application: 3 minutes					
(?) <sup>2</sup> Help	APPLY FOR SECURITIES SERVICES	US Tax Declaration Provide Details Personal Det	ails / Terms & Conditions /	Verify Details	Confirmation		
ONDIFICATIONS	ENAV	US Tax Reclamics $A$ are you a citizen/resident of the USA for tax purposes? $*$ (7)					
🖓 Setting & More	FX RATES	Yes No	0				
~	MARKET INSIGHT			Next		I	
	CHINA CONNECT CONSENT					I	
	HK INVESTOR IDENTIFICATION REGIME & OVER-THE-COUNTER SEDURITIES TRANSACTIONS REDURITIES TRANSACTIONS REDURING REGIME CLIENT CONSENT						
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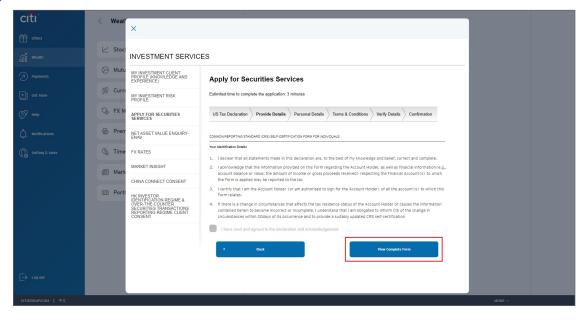


#### Important Disclaimer:

Apply for Securities Services

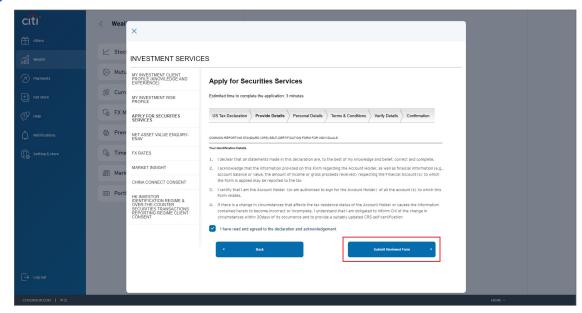
Via Citibank Online

After reading the Terms & Conditions, click "View Complete Form"



4

After verifying all details, click "Submit Reviewed Form"



Your stock account opening application has been submitted successfully!

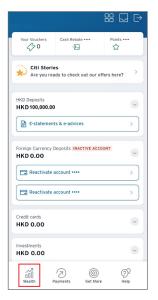


Important Disclaimer:

# Trade Stock



Login to Citi Mobile<sup>®</sup> App, tap "**Wealth**"

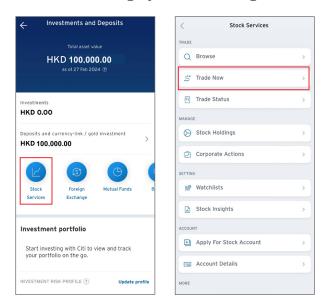


3 Enter your desired stock name/code

< TRADE NOW -	NEW ORDER			
Enter name or code	2			
Please open and read the Terms and Conditions. By order, you confirm you ha agreed and accepted the I Terms and Conditions.	proceeding to place your ve read, understood,			
Buy	Sell			
Order Type 🕕				
Price HKD 0.00				
Quantity O	• •			
Price X Quantity				
	8			
Cancel	Next			

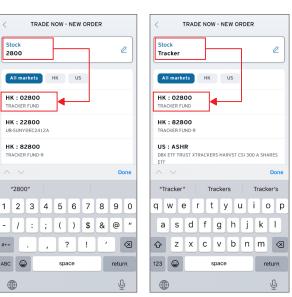


Select "Stock Services" and tap "Trade Now" to begin your stock trading



**4** c

Click the results when you search by **stock code/ stock name** 





#### Important Disclaimer:

**Trade Stock** Via Citi Mobile<sup>®</sup> App Choose Buy/Sell 6 Enter your desired Review the important notice. **Price and Quantity** Then verify your order details and tap "Confirm" TRADE NOW - NEW ORDER TRADE NOW - NEW ORDER Verify Details agreed and accepted the following documents and Terms and Conditions Stock erms and Conditions. HK : 02800 0 HKD 8,008.68 Sell Buy View stock information Good Till 03/01/2024 Order Type () Normal order V YOUR ACCOUNT DETAILS Last price HKD 16.63 -0.05 (-0.30%) Refresh Securities account Price HKD 16 0 .... 16.85 16.60 1.88B Open Prev. Close Volume 16.60 High 16.68 Low Linked to Settlement Account ····· 112.26M Turnover ntity Please check the details of your trade before confirming this transaction 500 - + Bid 16.63 Ask 16.64 Minimum lot size: 500 mportant Notice Please open and read the following documents and Terms and Conditions. By proceeding to place your order, you confirm you have read, understood, agreed and accepted the following documents and HKD 8,000 Citi Third Party Disclaimer This page contains information provided by third parties. The provision of Third party Content is for general informational purposes only and does not constitute a recommendation of Terms and Conditions. Securities Account ·····
Total Buying Power: HKD 100.000 Sell sclaimer - Third Party Content Good Till 03/01/2024 nformation provided by AAStocks ₿ Order Type (i) Normal order Confirm Cancel Cancel

8 You have placed your order successfully!

Received your instruction
You have placed a buy order for 500 shares of TRACKER FUND (02800.HK) at HKD 16.
Order Reference: 2406017250703425
Show order summary 📀
NEXT STEP
Set View Trade Status Status
Em Important Notice
Citi Third Party Disclaimer This page contains information provided by third parties. The provision of Third party Content is for general informational purposes only and does not constitute a recommendation of solicitation to purchase or sell any security.
Disclaimer - Third Party Content
Make another trade
Done



Important Disclaimer:

### 1 Click "Stock Services" under "Wealth"

citi	< Wealth Management		
Offers		_	
GOO Wealth	✓ Stock Services	>	
Payments	🛇 Mutual Fund	>	
+) Get More	🛞 Currency Exchange / Trading	>	
P Help	📎 FX Margin	>	
ON Notifications	😨 Premium account	>	
😡 Setting & More	🕒 Time Deposit	>	Please select service
	🗊 Market Watch	>	
	Portfolio Finance	>	
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600 wealth	🗹 Stock Services		
Payments	⊘ Mutual Fund >	S Trade Now	
+ Get More	⊗ Currency Exchange / Trading >	Trade Status	
 (?) <sup>2</sup> нер	♀ FX Margin >	IANAGE	
D Notifications	Premium account >	Stock Holdings	
Setting & More	G Time Deposit →	🗞 Regular Saving Plan	
20 ·	Market Watch	Corporate Actions	
		🗎 IPOs >	
	Portfolio Finance	SETTING	
		B Watchlists >	
		♦ Stock Alerts	
		Streaming Quote Service >	
→ Log out		ACCOUNT	
		₽     Stock Transfer	
CITIGROUR.COM   中文		MORE ~	



### Important Disclaimer:

Input the **Stock Code/ Name** first, then choose **Buy/Sell**. Then, fill in **transaction details** and click "**Next**"

citi	< Wealth Management		X TRADE NOW - NEW ORDER	
			Stock HK : 02800	0
	12 Stock Services	- I.	TRACKER FUND	-
	🛞 Mutual Fund	*	View stock information	>
	S Currency Exchange / Trading	*	Last price HKD 22.08 (0.10 (0.48%) 02 FEB 2023, 04:08PM	Refresh
	🖏 FX Margin	>	Eroker queue	>
	B Premium account	3	ORDER DETAILS	
	C Time Deposit	*	Buy	Sell
	I Market Watch	>	Order Type Normal order	~
	(2) Portfolio Finance	>	Price	
			HKD 20	2
			Quantity 500 Minimum lot size: 500	• •
			Price X Quantity HKD 10,000 Total Buying Power: HKD 23,000	
			Securities Account XXXXXX1234 Linked to Settlement Account XXXXXX44321	
			Good TIII 03 Feb 2023	0
			Cancel	Next

4 Verify the order details and click "Verify details"

citi	< Wealth Management		Verify Details		*
Image: model       Image: model			Action Buy	Order Type Normal order	
D Payments			Market	Stock Code	
+ Get More	Hutual Fund	>	нк	02800	
(g) <sup>2</sup> нер	Currency Exchange / Trading	>	Stock Name TRACKER FUND		
<ul> <li>Help</li> <li>Motifications</li> <li>Setting &amp; More</li> </ul>		51	Price HKD 20	Ouantity 500	
💮 Setting & More	B Premium account	5	Transaction amount HKD 10,000		
	🕲 Time Deposit	>.	Estimated fees & charges (for reference only)	~	
		>	FRC Transaction Levy:	Transaction Levy:	
	(2) Portfolio Finance	*	HKD 0	HKD 0.02	
			Trading Fee: HKD 0.05	Stamp Duty: HKD 2	
			Investor Compensation Levy: HKD 0	Commission: HKD 0.8	
			Total Order Amount HKD 10,002.87		
			Good Till O3 FEB 2023		
			YOUR ACCOUNT DETAILS		
			Securities account XXXXXX1234 Linked to Settlement Account XXXXXX 4321		
C→ Legiout			Vert	Ify Details	•



#### Important Disclaimer:

### 5 Your stock order has been placed successfully

citi	< Wealth Management	×
Offers		
000 Wealth	🗹 Stock Services	Received your instruction
D Payments	⊘ Mutual Fund →	You have placed a buy order for 500 shares of TRACKER FUND (02800.HK) at HKD 20.
+ Get More	Ourrency Exchange / Trading	Order Reference: 2301316111527023
P Help	🖏 FX Margin >	Show order summary
0 Notifications	G Premium account	NEXT STEPS
Setting & More	₲ Time Deposit >	View Trade Status         >           View Trade Status         >
	📰 Market Watch >	Important Notice:
	(2) Portfolio Finance	1. The Settlement Account is head by the entity (Clibank (Hong Kong) Limited or Clibank, NLA) indicated in your Clibank (blink Account Shummary). 2. Alsourction limit orderarb auccition order many not be placed to the exchange successfully within the pre-opening session/looping auccino resiston do to variour reacencity come are outside the Bank's control. Presence text the transmission of the exchange successfully within the pre-opening session/looping auccino resiston do to be approare reacencity the Bank's control. Presence text the transmission of the exchange successfully within the pre-opening session for advance and the exchange successfully within the pre-opening session for advance and the exchange successfully within the pre-opening session for the exchange successfully within the pre-opening session for advance and exchange rules, I will be converted to a normal limit order and place in the ocrintowas tarking session for suffer advances and exchange rules, I will be converted to a normal limit precision glaring the continuous tarking session for Suffer advances and exchange rules. I will be converted to the session for the order and maintem price for a sail order at the order place to the Successful advance (subject) and place place for the subject advance and the pre-opening session for Suffer advances and place to the subscenter of the suffer set order at a minimum price for a sail order at the order place to the subscentes (Suffer). Advance (Suffer) advances to the pre-opening session for Suffer advances to the suffer starting at a set order advance to the suffer set order advance to the suffer set order advances to the suffer set order set ord
ightarrow Log out		orders; and (b) bay orders with lingst prices lower than the current best bid (or had traded price in the atomce of current best bid, or previous contraingent role in the atomce or both current best bid and satt fadeo (role bayout 39 will be naturated for LLS canvitais tradeon normal order in non-marked within the LLS tradies hourd non-worklassed LLS
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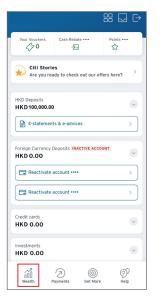
Important Disclaimer:

## **Check Trade Status & Modify an Order**

Via Citi Mobile<sup>®</sup> App



Login to Citi Mobile® App, tap "Wealth"



Tap on the order you

MY TRADES

Transaction History

want to review

ecurities Account

Trade Status

All HK

TRACKER FUND

US Q Enter Stock Name Or Code Tiew by Status ③ More about Order Status and Cha

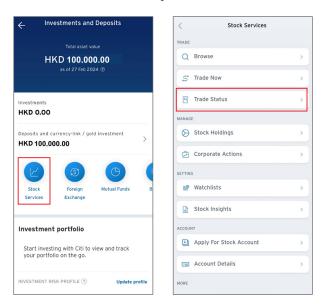
G EXECU

500 UNITS @ HKD 16 FILLED QUANTITY 0 | GOOD TILL 03/20/2024 Buy | ORDER REFERENCE: 240791616259709

Important Notice: 1. You may click the order reference to check the additional order details including stock name, average executed price an change details. 2. You may click "More about order status" hyperlink to vie the explanation of order status.



Select "Stock Services" and tap "Trade Status" to check your order



Tap "Modify Order" to update the price or quantity of your order, or tap "Cancel Order" to cancel the order

Trade	Status Details
Action Buy	Order Type Normal order
Market <b>HK</b>	Stock Code 02800
Stock Name TRACKER FUND	
Order Price HKD 16	Quantity 500
Average Executed Price N.A.	
Filled Quantity O	Unfilled Quantity 500
Order Date 03/20/2024	Good Till 03/20/2024
Order Status ① Pending Executio	n
Cancel Order	Modify Order



#### Important Disclaimer:

## Check Trade Status & Modify an Order

Via Citibank Online

## Click "Stock Services" under "Wealth"

citi	< Wealth Management	
Offers		
00 Wealth	∠ Stock Services	>
Payments	🛇 Mutual Fund	>
(+) Get More	🛞 Currency Exchange / Trading	>
P Help	🖏 FX Margin	>
ON Notifications	🐼 Premium account	>
😡 Setting & More	🚱 Time Deposit	>
	I Market Watch	>
	Portfolio Finance	>
ightarrow Log out		
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2 You can go to "**Trade Status**" tab to check or modify your order

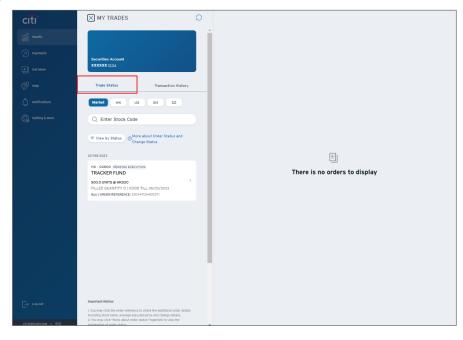
TRADE
jí) weath
⊘ Mutual Fund → S <sup>*</sup> Trade Now →
€ Currency Exchange / Trading > Exchange / Trading >
Ø we Q FX Margin →
↑ restitutations
<sup>Q</sup> / <sub>2</sub> Setting 5 More <sup>Q</sup> / <sub>2</sub> Time Deposit <sup>A</sup>
Corporate Actions
🗎 IPOs 🔿
(2) Portfolio Finance > SETTING
tie Watchlists →
↓ Stock Alerts >>
Streaming Quote Service >
F⇒ Log out



### Important Disclaimer:

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3 Tap "Trade Status" and select the order you want to check



Tap "Modify" to update the price or quantity of the order, or tap "Cancel order" to cancel the order

citi	× MY TRADES	Trade Status Details
Image: Wealth       Image: Payments		Action Order Type Buy Normal order
	Securities Account XXXXXX 1234	Market Stock Code HK 02800
+) Get More	Trade Status Transaction History	Stock Name TRACKER FUND
Q Notifications	Market HK US SH SZ	0rder Price 0uantity HKD 20 500
Setting & More	Q Enter Stock Code	Average Executed Price N.A.
	▼ View by Status	Filed Quantity Unfilled Quantity 0 500
	06 FEB 2023	Order Date Good Till
	TRACKER FUND 500.0 UNITS @ HKD20 FILLED QUANTTY / 0 [GODD TILL 06/02/2023 Bey [GBDER BetFERENCE: 303.417574802271	06 Feb 2023 06 Feb 2023 Order Status O Pending Execution
		Order Reference 2303417254812271
		Insportant Notice: I. If you have not all compared to the outer, you can clock on the "Ordalis" hyperink to view the change summary. The change history and change is the "Outer close" controlling. 2. The "Outer close" called that a deals page refers to the caller placement calle in invox long time for all markets and the Good TII caller refers to order registry date for the respective mander thate day. Thus the Good TII callers for US market represents the US source time.
ightarrow Log out		Cancel order
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#### Important Disclaimer:



citi	× MY TRADES	0	X Modify Order	
Image: Wealth       Image: Payments       Image: Oct More		Î	Order Reference 2303417254812271	
Deyments	Securities Account		ORDER DETAILS	
+ Oet More	<b>XXXXXX 1234</b>		HK : 02800 TRACKER FUND	
P Help	Trade Status Transaction History		E View stock information	>
کې ۲۰۰۹ ل و Notifications و Setting & More	Market HK US SH SZ		Last HKD 0,445 =0.025 (+5.92%)	REFRESH
💮 Setting & More	Q Enter Stock Code		03 FEB 2023, 04:09PM	iter iteori
	∓ View by Status     ⊕More about Order Status and     Change Status		E Real-time Quote	>
	on FEB 2023		Order Type Normal order	
	HK : 02800 PENDING EXECUTION TRACKER FUND 500.0 UNITS @ HKD20		Action Good Till Buy 06 Feb 2023	
	FILLED QUANTITY 0   GOOD TILL 06/02/2023 Byy   ORDER REFERENCE: 230341/254812271		MODIFICATION DETAILS	
			New Price HKD 21	2
		I	New Order Quantity BOD Minimum Ibi Suize: 4000 O'right Quantity: 4.000 Filled Quantity: 0	- +
			YOUR ACCOUNT DETAILS	
			Securities account •••• 1234 Settlement Account •••• 4321	
$\rightarrow$ Log out				
стлаяоця.сом   ФХ		-	Cancel	Next

6 Review the modified order details, then click "Verify Details" to modify the order

citi	× MY TRADES	X Modify Order	
áði weath		please check the details of your trade before con	nfirming this transaction
D Payments		ORDER DETAILS	
(Cet More	<b>XXXXXX</b> 1234	Action Buy	Order Type Normal order
P ttelp		Market HK	Stock Code 02800
On Notifications	Market HK US SH SZ	Stock Name TRACKER FUND	
○ Setting & More	Q Enter Stock Code		
		Price HKD 20	New Price HKD 21
		Original Quantity 500	New Order Quantity 500
	HK : 02800 PENDING EXECUTION TRACKER FUND 500.0 UNITS @ HKD20	Good Till 06 Feb 2023	
	FILLED QUANTITY 0   GOOD TILL 06/02/2023 Buy   ORDER REFERENCE: 2303417254612271	Total Order Amount HKD 10.500	
		YOUR ACCOUNT DETAILS	
		Securities account XXXXXX1234 Linked to Settlement AccountXXXXXX4321	
		Important Notice:	
		to the unfilled quantity only. 2. For at-auction limit order or at-auction order processe Hong Kong trading day, any order modification or cancel closing period 4:08:4:10 µm.) on the previous Hong Kon	ntilly including the filled quartility. The change on price applies do the pro-opening assistion 1900 a.m., + 22dam.) or any tation instruction on the decidear after matchic charde Random problem glass (b. 955 a.m., For advanction limit order processed day, any order modification or cancellation instruction may
→ Log out		Ver	rity Details

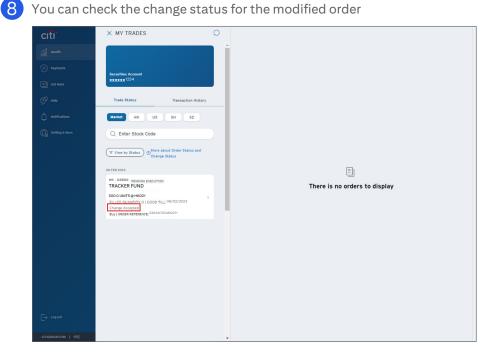


#### Important Disclaimer:

### Your order has been modified

citi	× MY TRADES	
all weath		
<ul> <li>Payments</li> </ul>		Received your instruction
East More	Securities Account XXXXXX1234	You have modified a buy order for 500 shares of 02800 at HKD 21. Order Reference: 2303417254812271
Ø ныр		Show order summary
📩 Notifications	Market HK US SH SZ	NEXT STEPS
○ Setting & More	Q Enter Stock Code	View Trade Status
		Done
	HK - 02800 PENDING EXECUTION TRACKER FUND	
	FILLED QUANTITY 0   GOOD TILL 06/02/2023 Buy   ORDER REFERENCE: 2303417254812271	
→ Log out		
стияхоця.сом   фу		

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Important Disclaimer:

## **Check My Transaction History**

Via Citibank Online

## Click "Stock Services" under "Wealth"

citi	< Wealth Management	
Offers		
000 Wealth	∠ Stock Services	<u> </u>
Payments	🛇 Mutual Fund	>
+ Get More	🛞 Currency Exchange / Trading	>
P Help	🖏 FX Margin	>
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Payments	🛞 Mutual Fund	😂 Trade Now	>	
+ Get More	🛞 Currency Exchange / Trading 🔷	Trade Status	>	
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		📑 Watchlists	>	
		Stock Alerts	>	
		Streaming Quote Service	>	
→ Log out		ACCOUNT		
			>	
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### Important Disclaimer:

### Click "Transaction History" tab

citi	X MY TRADES 🛃 🔾	
Otters		
000 Wealth	Securities Account	
D Payments	XXXXXX 1234	
Get More	Trade Status Transaction History	
P Help	Market HK	
O Notifications	∓ View by Status      ∓ Trade Activity      ∓ All dates      ∓	
R Setting & More	• • • • • • • • • • • • • • • • • • •	Ξ.
	HK : 02800 UNBUCCESSFUL TRACKER FUND	There is no orders to display
	500.0 UNITS @ HKD20 > FILLED QUANTITY 0   GOOD TILL 06/02/2023	
	Buy   ORDER REFERENCE: 2303417254812271	
ightarrow Log out		
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Click the transaction to view respective order details such as price, quantity and trade status

citi	X MY TRADES 🛃 💭	Trade Status Details
Offers		Action Order Type Buy Normal order
000 Wealth	Securities Account	Market Stock Code HK 02800
Payments	<b>XXXXXX</b> 1234	Stock Name
+ Get More	Trade Status Transaction History	TRACKER FUND
P Help	Market HK	Order Price         Ouantity           HKD 21         500
D Netifications	$\equiv$ View by Status $\equiv$ Trade Activity $\equiv$ All dates	Average Executed Price
Setting & More	16 JAN 2023 HK : 0.2800 UNSUCCESSFUL TRACKER FUND	N.A. Filled Quantity 0 500
	500.0 UNITS @ HKD21 FILLED QUANTITY 0   GOOD TILL 05/02/2023 By   ORDER REFERENCE: 230347254812271	Order Date 16 Jan 2023
	BUY TOKOEK KEYEKENCE: 200341/254012211	Order Status ① Unsuccessful
		Order Reference 2303417254812271
		Important Hollow: 1. If you have made changes to the order, you can cick on the "Stealin" hyperinis to view the change summary. The change indury and change induce ar loware accordingly. 2. The "Grant Durin" under take taking adding agar refers to the order placement date to shang king time for all markets and the Good Till date refers to all one regist date that registers and to take the the order placement date to shang king time for all markets and the Good Till date refers to all one regist date that registers and to be dong. This date for US market to prevents the Gild State for the shane to prevent the shane to be dong. This doe Good Till date for US market to prevent the US and these
→ Log out		
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#### Important Disclaimer:

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## **Check My Stock Holdings**

Via Citibank Online



## Click "Stock Services" under "Wealth"

cîtî"	< Wealth Management	
Offers		
000 Wealth	∠ Stock Services	<u> </u>
Payments	🚫 Mutual Fund	>
+) Get More	ැති Currency Exchange / Trading	>
P Help	🖓 FX Margin	>
D Notifications	🐵 Premium account	>
🕼 Setting & More	🐁 Time Deposit	>
	🗊 Market Watch	>
	Portfolio Finance	>
ightarrow Log out		
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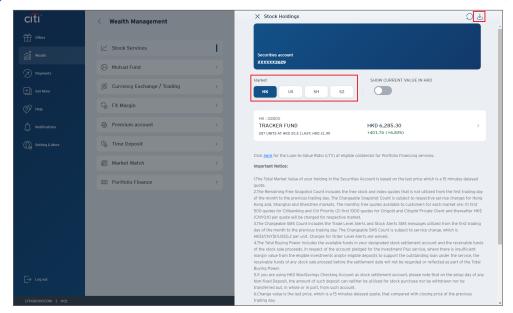
### 2 Go to "Stock Holdings" tab to view your stock holdings

citi	< Wealth Management		Stock Service	
Offers			TRADE	
600 wealth	🖂 Stock Services	1	Q Browse	·
Payments	🔗 Mutual Fund	>	S Trade Now	>
+ Get More	🛞 Currency Exchange / Trading	>	Trade Status	>
(? <sup>р</sup> нетр	🖓 FX Margin	>	MANAGE	
D Notifications	🗟 Premium account	>	Regular Saving Plan	
⊕ Setting & More     ■	🕲 Time Deposit	<b>&gt;</b>	Corporate Actions	
	Market Watch	>	<ul> <li>Colputate Actions</li> <li>IPOs</li> </ul>	
	Ø Portfolio Finance	>	SETTING	
			■ Watchlists	>
			) Stock Alerts	>
			Streaming Quote Service	>
→ Log out			ACCOUNT	
			→ Stock Transfer	> _



#### Important Disclaimer:

3 You may filter the stock holdings by stock market and download your stock holdings details





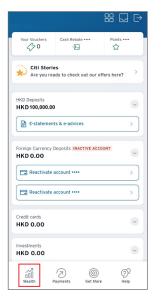
Important Disclaimer:

## **Check My Stock Holdings**

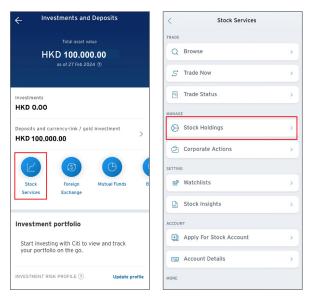
Via Citi Mobile<sup>®</sup> App



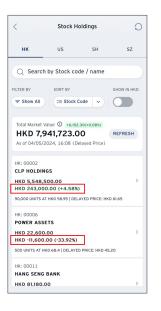
Login to Citi Mobile® App, tap "Wealth"



Select "Stock Services" and tap "Stock Holdings" to check your stock holdings



See your latest profits and losses without manual input



4 Click on your stock holding, and you can instantly trade the same stock again by clicking "Buy" or "Sell"

< Stock Holdings details	< TRADE NOW - NEW ORDER
Stock name CLP HOLDINGS View details	Stock HK : 00002 CLP HOLDINGS
Stock Code Market 00002 HK	View stock information >
Market Value HKD 5,548,500.00	Last price HKD 61.65 -1.00 (-1.60%) 04/05/2024, 04:08 PM
Unrealized Gain/Loss +4.58% HKD 243,000.00	Open         62.80         High         62.85           Prev. Close         62.65         Low         60.80           Volume         3.31M         Turnover         204.14M
Invested Amount HKD 5,305,500.00	Please open and read the following documents and Terms and Conditions. By proceeding to place your order, you confirm you have read, understood,
Delayed Price     Average share cost       61.65     58.95	agreed and accepted the following documents and Terms and Conditions.
Sellable Quantity Currency 90,000 HKD	Buy Sell
Click here for the Leandral/blue Datis (TTU) of aliable Done	Order Type () ~
Trade Now	Cancel Next



#### Important Disclaimer:

Via Citibank Online



## 1 Click "Stock Services" under "Wealth"

citi	< Wealth Management	
Offers		
$\overrightarrow{00}^{7}$ Wealth		
Payments	⊘ Mutual Fund >	
(+) Get More	$\bigotimes$ Currency Exchange / Trading $\rightarrow$	
P Help	$\bigcirc$ FX Margin $\rightarrow$	_
ON Notifications	分 Premium account →	
Setting & More	$^{\mathbb{G}}$ Time Deposit $\rightarrow$	Please select service
	☐ Market Watch >	
	② Portfolio Finance >	
_		
$\rightarrow$ Log out		
CITIGROUP.COM   中文		



### Go to "Watchlists" tab

citi	< Wealth Management		Stock Service	
Offers			TRADE	
000 wealth	∠ Stock Services	1		<u>,</u>
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+ Get More	🛞 Currency Exchange / Trading	>	Trade Status	<b>`</b>
 (?Р нетр	🖓 FX Margin	>	MANAGE	
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Setting & More	🕒 Time Deposit	,	🗞 Regular Saving Plan	<b>`</b>
~@	🗐 Market Watch	,	Corporate Actions	<b>`</b>
	Portfolio Finance		🗎 IPOs	>
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			Stock Alerts	>
			Streaming Quote Service	>
ightarrow Log out			ACCOUNT	
			₽ Stock Transfer	> _



#### Important Disclaimer:

Via Citibank Online

### 3 Click "Create/Manage watchlist"

citi	< Wealth Management		X WATCHLIST SUMMMARY
Offers			= <u>o</u>
000 Wealth	<pre>// Stock Services</pre>	1	Start creating your watchlists
Payments	🚫 Mutual Fund	>	
Get More	🛞 Currency Exchange / Trading	>	E Create/ Manage watchlist
P Help	🖓 FX Margin	>	
L Notifications	🗟 Premium account	>	
C Setting & More	🕲 Time Deposit	>	
	Market Watch	>	
	Portfolio Finance	>	
$\rightarrow$ Log out			
CITIGROUP.COM   中文			

4 Enter a name for your watchlist and add stock(s) to it. Then click "Save"

CTUT ← eners ← eners ← eners ← enerse ← enerse	CREATE WATCHL     Give yoor welchist a name     Watchist     Add stock     @ Enter stock name or     WK     @ Turbover     Trop is proce weytes     HK : 02269	code		ADDED STOCKS 2009 HK: 02800 TRACKI FUND	Remove	
) Wealth Payments +) Cet More	Watchlist       Add stock       Q. Enter stock name or       INK       Image: Top 10 stock Movters			нк : 02800	Remove	
Payments	Q Enter stock name or HK @ Turnover TOP 10 STOCK MOVERS			TRACKER FUND		
+) Get More	HK (9 Turnover ) TOP 10 STOCK MOVERS					
	TOP 10 STOCK MOVERS					
D H400		7499				
	HK: 02269	7699				
Netifications	WUXI BIO	-4.50(-6.09%)	Add			
🔞 Setting & More	HK : 02800 TRACKER FUND	7.488 -0.14(-0.64%)	Add			
	HK : 09988 BABA:SW	7.108 +1.10(+0.97%)	Add			
	HK:02800 TRACKER FUND	437.72M				
	HK:03690 MEITUAN-W	5.638 -0.80(-0.48%)	Add			
	HK : 02828 HSCEI ETF	3.808 -0.42(-0.57%)	Add			
	HK : 02318 PING AN	2.578	Add			
	HK: 03033 CSOP HS TECH	2.238 -0.012(-0.27%)	Add			
	HK : 00388 HKEX	1.968 -4.80(-1.22%)	Add			
	HK : 01299	1,948 -2.35(-2.67%)	Add			
	Cancel	Save				
-> Log eet						



Important Disclaimer:

Via Citibank Online

### 5 Your watchlist has been created

cîti	< Wealth Management		×
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Important Disclaimer:

Via Citi Mobile® App

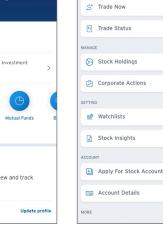


Login to Citi Mobile<sup>®</sup> App, tap "**Wealth**"

			88 🗠	∣⊖
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Investments HKD 0.00				•
000 Wealth	2) Payments	() Get More	(? He	р Р

Select "Stock Services" and tap "Browse"





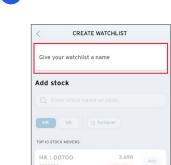
Q Browse

Stock Services

Tap the **flag icon** to create a new watchlist







HK:02800

HK:01299

HK:02015

HK:09988

2.79B

2.38B

2.10B

1.98B

Name the watchlist

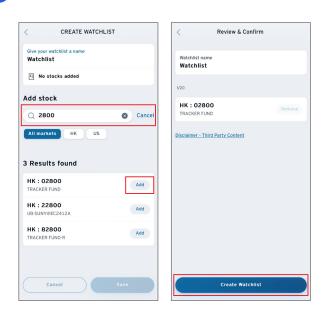


Important Disclaimer:

Via Citi Mobile® App

6

Add stock(s) to the watchlist, then tap "Save" and "Create Watchlist"



Your watchlist has been created!

•	
Watchlist created	
You have successfully created "Watchlist"	
Done	

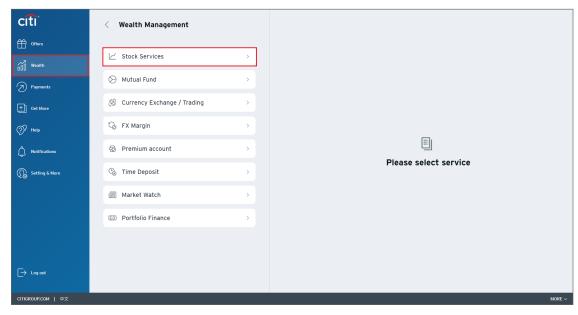
## When ever you want to view your watchlist, just go to "Watchlist" under "Stock Services"

<	Stock Services		
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Q	Browse	>	
\$	Trade Now	>	
72	Trade Status	>	
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### Important Disclaimer:

### 1 Click "Stock Services" under "Wealth"



### Go to "**IPOs**" tab

citi	< Wealth Management		Stock Service	
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000 wealth	∠ Stock Services		Q Browse	
Payments	\bigotimes Mutual Fund	>	S Trade Now	
+) Get More	🛞 Currency Exchange / Trading	>	Trade Status	
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Setting & More	🗞 Time Deposit	>	Corporate Actions	
	Market Watch	>		
	Portfolio Finance	>	SETTING	
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			Stock Alerts	
			Streaming Quote Service	
→ Log out			ACCOUNT	
			₽ Stock Transfer	
CITIGROUP.COM   中文			MORE ~	



### Important Disclaimer:

4

### 3 Click "Apply" on the IPO you would like to apply for

initial Ful	lic Offering					
Market		нк				
CURRENT IPO						
Listing Date	Stock Code	Stock Name	Lot Size	Currency	Offering Price	
	Stock Code 00123	Stock Name ABC LIMITED	Lot Size 8,000	Currency HKD	Offering Price 0.5200 - 0.6800	Apply
Listing Date 01/17/2018 01/18/2018						Apply Apply



Read the relevant documents carefully and check the box to indicate your understanding. Then input the number of shares and click "Next"

I have read and understood the Prospectus and the Applica		
IPO Details		
Market :	нк	
Btock Code :	00123	
Stock Name :	ABC LIMITED	
Number of Offer Shares :	197,000,000	
Number of Public Offer Shares :	19,700,000	
Offer Price :	HKD 2.3500 - 2.9000	
PO Opening Date (MM/DD/YYYY) :	12/29/2017	
Submission Deadline to Citibank (MM/DD/YYYY HH:MM) :	01/05/2018 04:00 P.M.	
PO Closing Date (MM/DD/YYYY) :	01/08/2018	
Price Fixing Date (MM/DD/YYY) :	01/08/2018	
Result Announcement Date (MM/DD/YYYY) :	01/12/2018	
isting Date (MM/DD/YYYY) :	01/15/2018	
Please fill in the IPO application details.		
Securities Account Number:	20000001050	
Settlement Account Available Funds:	HKD 12,005.14	
Share Range:	Select *	
Number of Shares Applied for:	Select	



Important Disclaimer:

5

### Read and agree to the terms and conditions. Click "Next"

	2.	Citbank (Hong Kong) Limited ("the Bank") will be instructing DBS Vickers (Hong Kong) Limited ("Execution Broker") to provide the Citbank Online PO Application Service to you, including but not limited to (a) submitting applications to the issuer of the Public Offer ("the Issuer") / Roceiving Bank appointed by the issuer (b) receiving and handling application movels for onward submission to the Issuer / Roceiving Bank appointed by the issuer (c) receiving and handling securities abited by the issuer and (d) receiving and handling securities abited by the issuer and (d) receiving and handling securities abited by the issuer and (d) receiving and handling securities abited by the issuer and (d) receiving and handling securities abited by the issuer and (d) receiving and handling securities abited by the issuer and (d) receiving and handling securities abited by the issuer and (d) receiving and handling securities abited by the issuer and (d) receiving and handling securities abited by the issuer and (d) received the assume to such risks. The Bank has the discription from time to time to modify, restrict, withdraw, cancel, suspend or discontinue the Citbank Online IPO Application Service prior to the Submission Deadline to Citbank as stated below. Citbank Online PO Application Service prior to the Submission Deadline to Citbank as stated below. Citbank Online PO Application Service is only offered to eligible persons who are qualified to apply for securities in the relevant Public Offer in accordance with the terms and conditions of such Public Offer.
--	----	---

6 Your IPO application has been submitted successfully



Important Disclaimer:

## **Corporate Actions**

Via Citibank Online

## Click "Stock Services" under "Wealth"

citi	< Wealth Management	
Offers		
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Payments	⊘ Mutual Fund >	
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P Help	S FX Margin →	_
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→ Log out				Streaming Quote Service	>	
				ACCOUNT		
					>	



### Important Disclaimer:

3

### Select the desired option, then tap on "Next" to proceed

Corporate Actions -	Dividend With Cash/Scrip Option	Step 1 of 3: Provide Detail
Securities Account:	300000044008	
Market:	нк	
Stock Code:	00005	
Stock Name:	HSBC HOLDINGS	
Trading Currency:	HKD	
Event Description:	Dividend with Cash/Scrip Option	
Event Reference:	1910003044138071	
Current Entitled Quantity:	567	
Record Date:	10/03/2022	
Dividend Rate:	HKD 0.5000000	
Re-Investment Price:	HKD 64.2000	
Current Permanent Instruction:	Accept 100% Scrip Dividend	
Remarks:	Testing	
Instruction:		
Option 1	Accept 100% Scrip Dividend	
Option 2	Accept 100% Cash Dividend	
O Option 3	Accept Shares as Scrip Dividend Remaining shares will be received in cash. Please click here for further instructions.	
Reply Due Date:	Please click here for further instructions. 11/30/2022 05:00 P.M.	



Important Disclaimer:

## **Regular Saving Plan**

Via Citibank Online

### Click "Stock Services" under "Wealth"

citi	< Wealth Management	
Offers		1
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Payments	⊘ Mutual Fund >	
- Get More		
P Help	🖏 FX Margin >	
Notifications		
🕞 Setting & More	$\odot$ Time Deposit $\rightarrow$	Please select service
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CITIGROUP.COM   中文		More ~



### 2 Go to "Regular Saving Plan" tab

citi	< Wealth Management		Stock Service	
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Payments	🛞 Mutual Fund	>	S Trade Now	·
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			Streaming Quote Service	>
$\rightarrow$ Log out			ACCOUNT	
				> _



### Important Disclaimer:

3 Click "Setup Plan", then click "Add Stocks" to proceed

Plan Overview	Setup Plan						
Plan Ove	rview						
Here are your R	SP Plans.						
Securities Acco	unt:	XXXXXX1050					
Market:		нк					
Stock Code	Stock Name	Monthly Contribution Amount	Plan Setup Date	Effective Month	Status	Action	
I There are no	records available fo	r the selected Account/Marke	t.				
							ADD STOCKS



4 Input details below and click "**Next**"

Setup Plan	Step 1 of 4: Provide Details	
Please provide some details for your Regular Saving	s Plan.	
lecurities Account:	0000003960	
/arket:	нк	
ource of Fund:	MaxiSavings Checking Account:XXXXXX3377 HKD 9,291.59	
ttock Code:	Enter Stock Code 🔎	
tock Name:		
Ionthly Investment Contribution Amount:		
:ffective Month:	2018 • 9 •	
		► Cancel NEXT

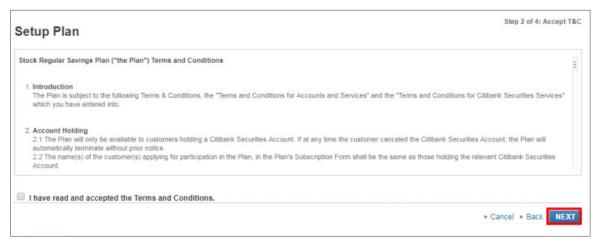


Important Disclaimer:

## **Regular Saving Plan**

Via Citibank Online

Read and agree to the Terms and Conditions. Click "Next"



6

Verify the Regular Savings Plan details and click "**Confirm**". Your regular savings plan has been set up

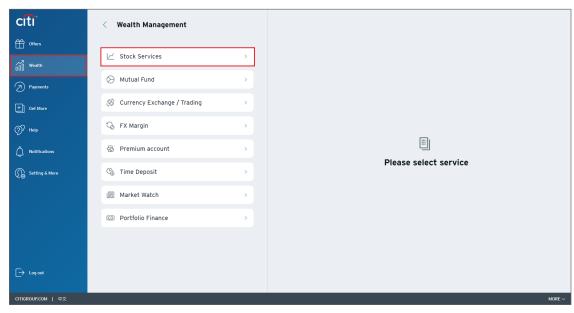


Important Disclaimer:

## **Transfer in Stock**

Via Citibank Online

### 1 Click "Stock Services" under "Wealth"





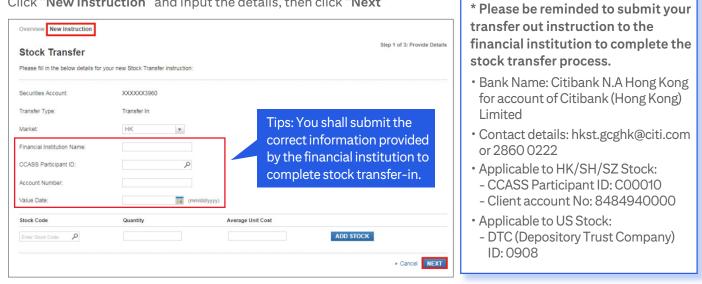
### Go to "Stock Transfer" tab

citi	< Wealth Management		Stock Service	
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	Market Watch	>	2 Corporate Actions	<u> </u>
	Portfolio Finance	>	💾 IPOs	×
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			Stock Alerts	>
			Streaming Quote Service	,
→ Log out			ACCOUNT	
				>



#### Important Disclaimer:

### Click "New Instruction" and input the details, then click "Next"



### Verify the details and click "Confirm".

To complete the process, please also submit a transfer out instruction to the subject Financial Institution

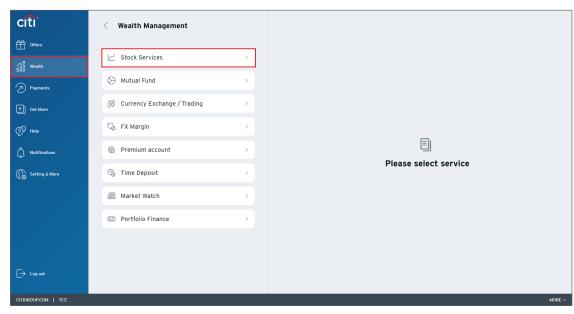
Stock Transfer - Pr	Stock Transfer - Pre-Confirmation					
Please check the details of you	ur stock tansfer before confirming thi	s transaction.				
Securities Account:	XXXXXX2944					
Transfer Type:	Transfer In					
Market:	НК					
Financial Institution Name:	abc bank					
CCASS Participant ID:	A0000					
Account Number:	12345678					
Value Date:	06/21/2019					
Stock Code	Stock Name	Quantity		Average Unit Cost		
02800	TRACKER FUND	1,000	1	1KD 30.0000		
				Back      Cancel     CONFIRM		



Important Disclaimer:

## Stock Alerts Via Citibank Online

### 1 Click "Stock Services" under "Wealth"





citi	< Wealth Management		Stock Service	
Offers			TRADE	Î
000 wealth	🖂 Stock Services	I.	Q Browse	
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	📰 Market Watch	>	Corporate Actions	
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			≅ Watchlists >	
			Stock Alerts	
			Streaming Quote Service >	
→ Log out			ACCOUNT	
			₴ Stock Transfer >>	-
citigRoup.com   中文			MORE ~	



### Important Disclaimer:

### Click "Add New Alert"

Stock Alerts				
► Demo				
Securities Account:	XXXXXX0506			
Market:	нк			
Price Alerts				
Stock/ Index Stock/ Index Nam Code	e Alert Criteria	Alert Channel	Good Till	Delete Alert
No records				
				ADD NEW ALERT
Order/ Trade Execution Alerts				
Stock Alerts				Enrolled ?
SMS alert at order level (Alert is sent	t when the order is fully filled or after market close	on order expiry date)		Yes
SMS alert for each execution (SMS se	ervice fee is charged per each trade execution)			No
Email alert at order level	Yes			
Email alert for each execution				No
				MODIFY

4

### Input details below and click "Confirm" to set up a new alert

Stock Alerts - Add N	New Alert
Securities Account:	XXXXXX3960
Market:	нк
Alert Trigger:	Stock Price 🔹
Alert Criteria	
Stock Code:	Enter Stock Code
Price Below	
Price Above	
Good Till:	07/27/2018 (mm/dd/yyyy)
Alert Channel:	SMS, Mobile Number: XXXXXX1234
	Email Address: chantaiman@citi.com
Language:	English
	Cancel     CONFIRM



### Important Disclaimer:

## Complete and Submit US Tax Form (Form W-8BEN)

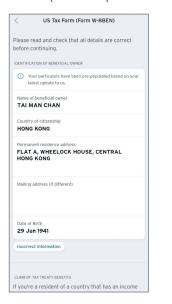
Via Citi Mobile® App



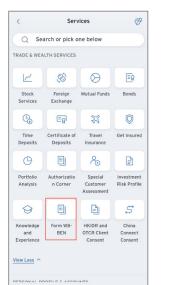
Login to Citi Mobile<sup>®</sup> App, tap "**Services**"

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Your Vouchers	Cash Reba		Points ····	
Are you	ories I ready to chec	k out our off	ers here?	>
HKD Deposits HKD 100,000	0.00			~
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Your details have already been pre-filled. Please review, and amend if necessary, to complete the process

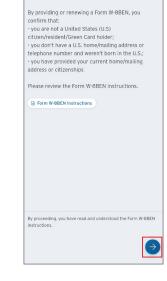






Review and confirm the details on the form, before you tap "**Submit**"



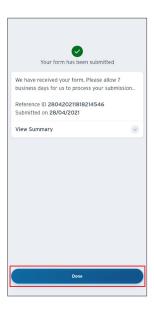


You are advised to read the "Form W-8BEN

Instructions" before you proceed to the next step

3)

6 After submission, please capture the Reference ID for your records





#### Important Disclaimer: