

# Stock Trading Platform – Quick User Guide

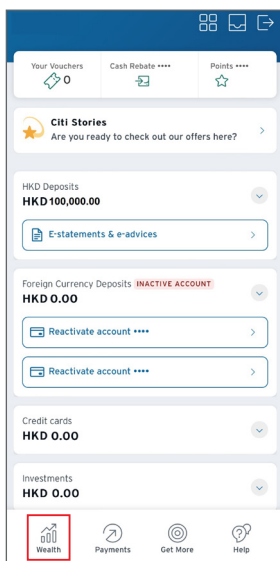
## Contents

Item	Page
A. Apply for Securities Services	1
B. Trade Stock	5
C. Check Trade Status & Modify an Order	10
D. Check My Transaction History	15
E. Check My Stock Holdings	17
F. Create & View My Watchlist	20
G. IPO	25
H. Corporate Actions	28
I. Regular Savings Plan	30
J. Transfer in Stock	33
K. Stock Alerts	35
L. Complete and Submit US Tax Form (Form W-8BEN) with Citi Mobile® App	37

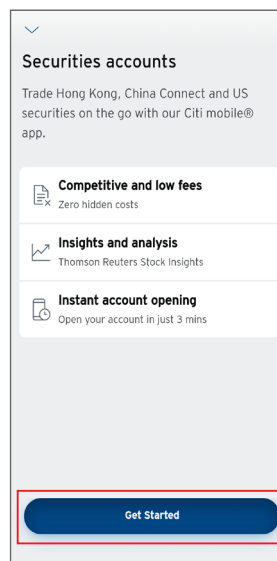
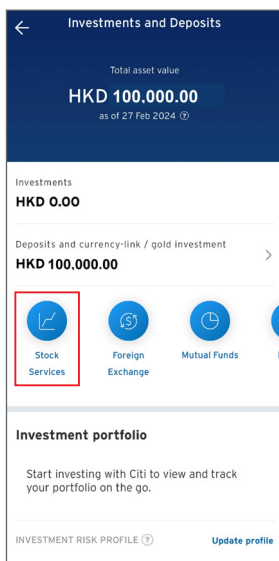
# Apply for Securities Services

Via Citi Mobile® App

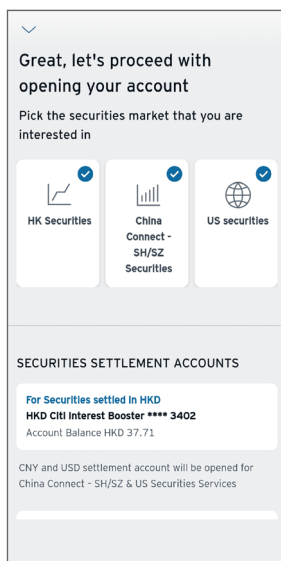
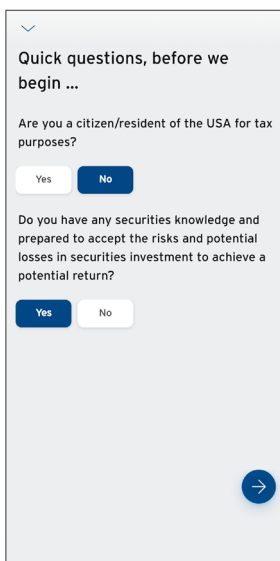
- 1 Login to Citi Mobile® App, tap “Wealth”



- 2 Select “Stock Services” and tap “Get Started” to begin your stock account opening application



- 3 Fill in the details and provide consents



## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# Apply for Securities Services

Via Citi Mobile® App

- 4 Complete the **questionnaire** to indicate your **investment knowledge and experience**

Let's continue with your investment account

Investment objectives

Investment Objectives  
Capital appreciation

Investment Experience  
Less than 1 year

Do you have knowledge and understanding of these derivative products?

ETFs    Structured products    Neither

How did you obtain your knowledge and understanding of these derivative products?



- 5 Your stock account opening application has been **submitted** successfully. Please provide the required documents if needed

**✔ Your account is ready**

Your Hong Kong, China Connect and US Securities account opening application has been submitted. Please upload the W-BBEN Form and other necessary documents for your application to be completed.

Upload your employer consent via Citibank HK website "Citi Online Document Upload Form" page in order to complete your application. Otherwise, the application will expire in 30 days.

**Download application form** PDF

Submit W8-BEN form  
[Click here](#)

[Back](#)



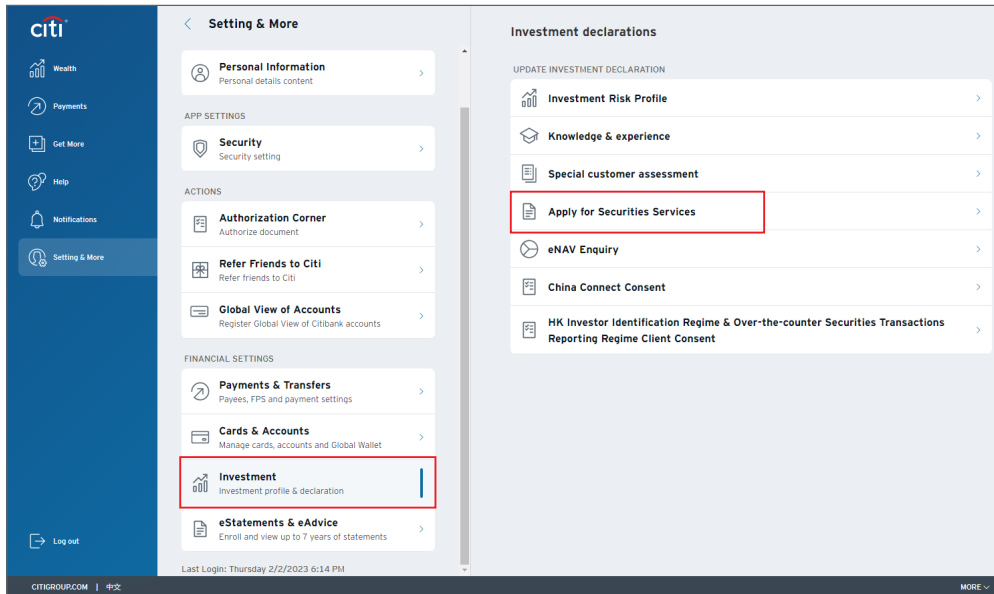
## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

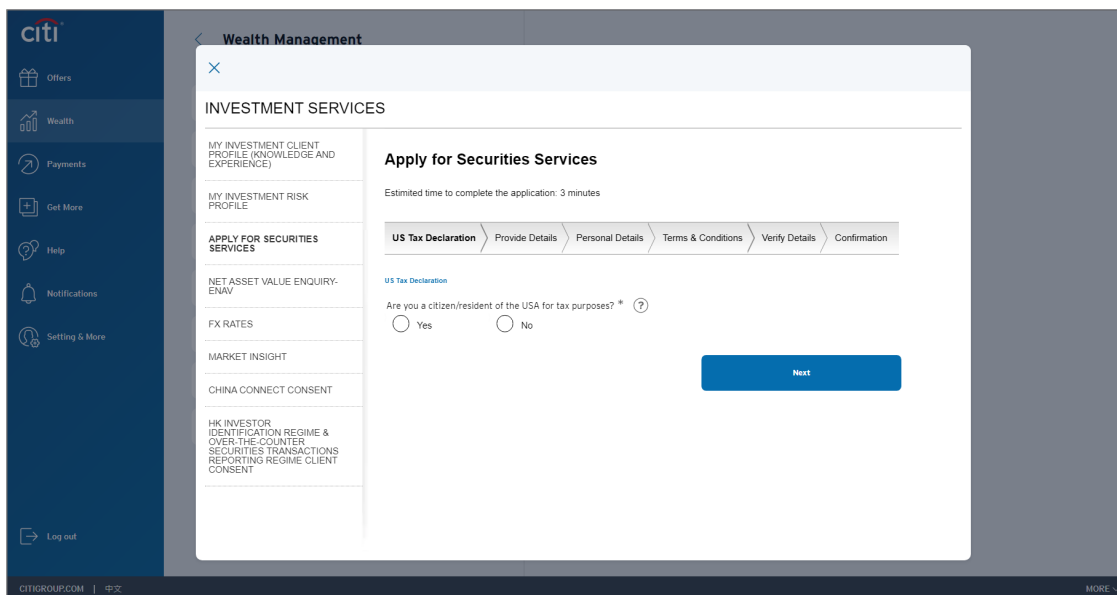
# Apply for Securities Services

Via Citibank Online

- 1 Click “Investment” under “Setting & More”, then click “Apply for Security Services”



- 2 Follow the instructions to fill in details



## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# Apply for Securities Services

Via Citibank Online

3 After reading the Terms & Conditions, click “View Complete Form”

INVESTMENT SERVICES

MY INVESTMENT CLIENT PROFILE (KNOWLEDGE AND EXPERIENCE)

MY INVESTMENT RISK PROFILE

APPLY FOR SECURITIES SERVICES

NET ASSET VALUE ENQUIRY-ENAV

FX RATES

MARKET INSIGHT

CHINA CONNECT CONSENT

HK INVESTOR IDENTIFICATION REGIME & OVER-THE-COUNTER SECURITIES TRANSACTIONS REPORTING REGIME CLIENT CONSENT

### Apply for Securities Services

Estimated time to complete the application: 3 minutes

US Tax Declaration > Provide Details > Personal Details > Terms & Conditions > Verify Details > Confirmation

COMMON REPORTING STANDARD (CRS) SELF-CERTIFICATION FORM FOR INDIVIDUALS

Your Identification Details

1. I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
2. I acknowledge that the information provided on this Form regarding the Account Holder, as well as financial information (e.g., account balance or value, the amount of income or gross proceeds received) respecting the Financial Account(s) to which the Form is applied may be reported to the tax.
3. I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this Form relates.
4. If there is a change in circumstances that affects the tax residence status of the Account Holder or causes the information contained herein to become incorrect or incomplete, I understand that I am obligated to inform Citi of the change in circumstances within 30 days of its occurrence and to provide a suitably updated CRS self-certification.

I have read and agreed to the declaration and acknowledgement.

Back View Complete Form



4 After verifying all details, click “Submit Reviewed Form”

INVESTMENT SERVICES

MY INVESTMENT CLIENT PROFILE (KNOWLEDGE AND EXPERIENCE)

MY INVESTMENT RISK PROFILE

APPLY FOR SECURITIES SERVICES

NET ASSET VALUE ENQUIRY-ENAV

FX RATES

MARKET INSIGHT

CHINA CONNECT CONSENT

HK INVESTOR IDENTIFICATION REGIME & OVER-THE-COUNTER SECURITIES TRANSACTIONS REPORTING REGIME CLIENT CONSENT

### Apply for Securities Services

Estimated time to complete the application: 3 minutes

US Tax Declaration > Provide Details > Personal Details > Terms & Conditions > Verify Details > Confirmation

COMMON REPORTING STANDARD (CRS) SELF-CERTIFICATION FORM FOR INDIVIDUALS

Your Identification Details

1. I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
2. I acknowledge that the information provided on this Form regarding the Account Holder, as well as financial information (e.g., account balance or value, the amount of income or gross proceeds received) respecting the Financial Account(s) to which the Form is applied may be reported to the tax.
3. I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this Form relates.
4. If there is a change in circumstances that affects the tax residence status of the Account Holder or causes the information contained herein to become incorrect or incomplete, I understand that I am obligated to inform Citi of the change in circumstances within 30 days of its occurrence and to provide a suitably updated CRS self-certification.

I have read and agreed to the declaration and acknowledgement.

Back Submit Reviewed Form

5 Your stock account opening application has been submitted successfully!



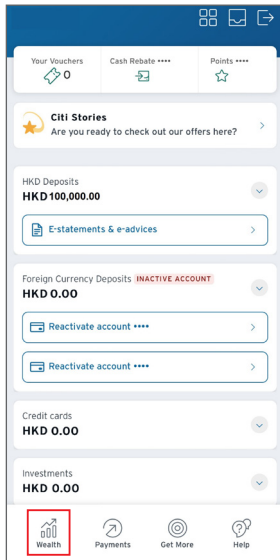
#### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

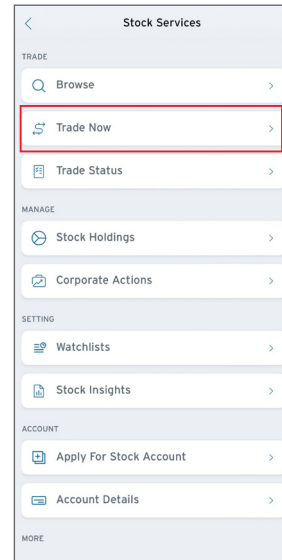
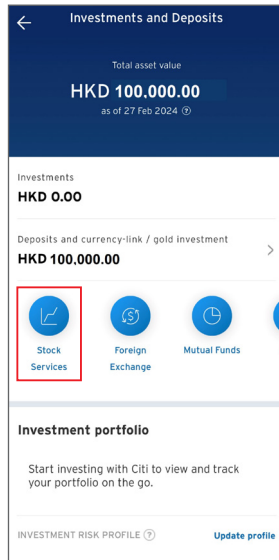
# Trade Stock

Via Citi Mobile® App

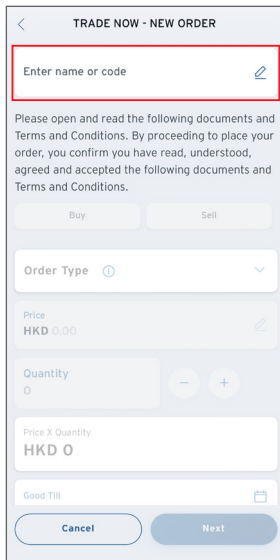
- 1 Login to Citi Mobile® App, tap “Wealth”



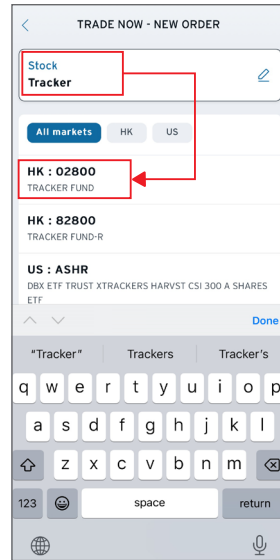
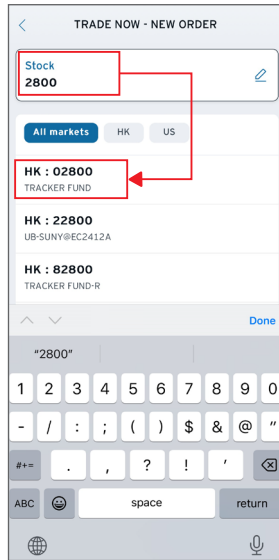
- 2 Select “Stock Services” and tap “Trade Now” to begin your stock trading



- 3 Enter your desired stock name/code



- 4 Click the results when you search by stock code/stock name



## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# Trade Stock

Via Citi Mobile® App

## 5 Choose Buy/Sell

TRADE NOW - NEW ORDER

Stock  
HK : 02800  
TRACKER FUND

View stock information

Last price  
HKD 16.63 -0.05 (-0.30%)  
02/29/2024, 04:08 PM Refresh

Open	16.60	High	16.85
Prev. Close	16.68	Low	16.60
Volume	112.26M	Turnover	1.88B

Bid 16.63 Ask 16.64

Please open and read the following documents and Terms and Conditions. By proceeding to place your order, you confirm you have read, understood, agreed and accepted the following documents and Terms and Conditions.

Buy Sell

Order Type  
Normal order

Cancel Next

## 6 Enter your desired Price and Quantity

TRADE NOW - NEW ORDER

agreed and accepted the following documents and Terms and Conditions.

Buy Sell

Order Type  
Normal order

Price  
HKD 16

Quantity  
500  
Minimum lot size: 500

Price X Quantity  
HKD 8,000

Securities Account \*\*\*\*  
Total Buying Power: HKD 100,000 Switch  
Linked to Settlement Account \*\*\*\*

Good Till  
03/01/2024

Cancel Next

## 7 Review the important notice. Then verify your order details and tap "Confirm"

Verify Details

Total Order Amount  
HKD 8,008.68

Good Till  
03/01/2024

YOUR ACCOUNT DETAILS

Securities account  
\*\*\*\*  
Linked to Settlement Account \*\*\*\*

Please check the details of your trade before confirming this transaction.

Important Notice

Citi Third Party Disclaimer  
This page contains information provided by third parties. The provision of Third party Content is for general informational purposes only and does not constitute a recommendation of solicitation to purchase or sell any security.  
[Disclaimer - Third Party Content](#)

Information provided by AASTocks  
[Disclaimer](#)

Confirm

## 8 You have placed your order successfully!

Received your instruction

You have placed a buy order for 500 shares of TRACKER FUND (02800.HK) at HKD 16.  
Order Reference: 2406017250703425

Show order summary

NEXT STEP

View Trade Status  
View Trade Status

Important Notice

Citi Third Party Disclaimer  
This page contains information provided by third parties. The provision of Third party Content is for general informational purposes only and does not constitute a recommendation of solicitation to purchase or sell any security.  
[Disclaimer - Third Party Content](#)

Information provided by AASTocks

Make another trade

Done



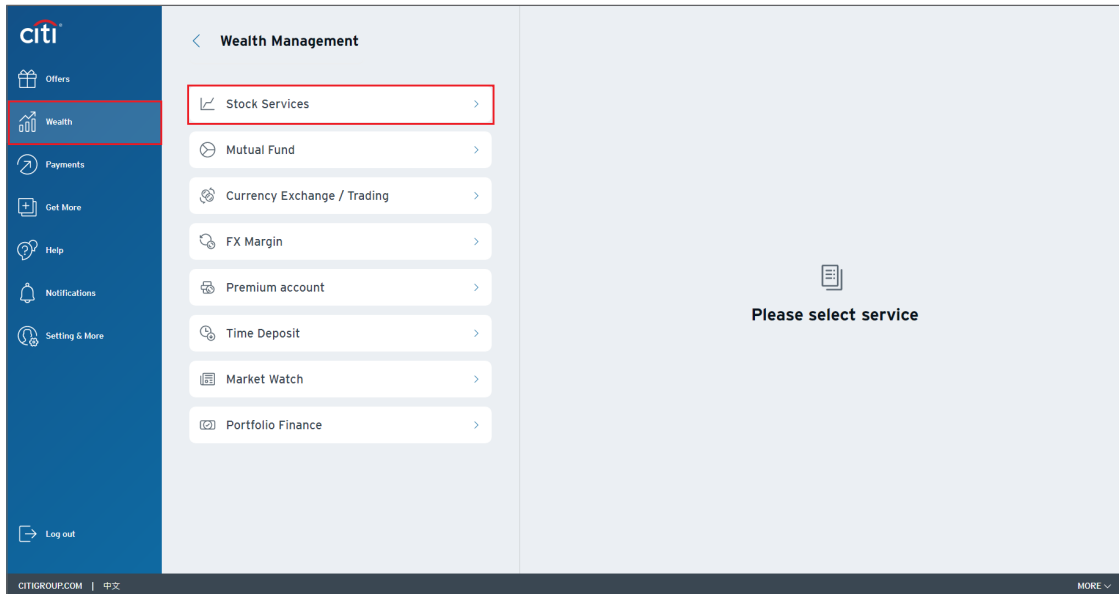
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

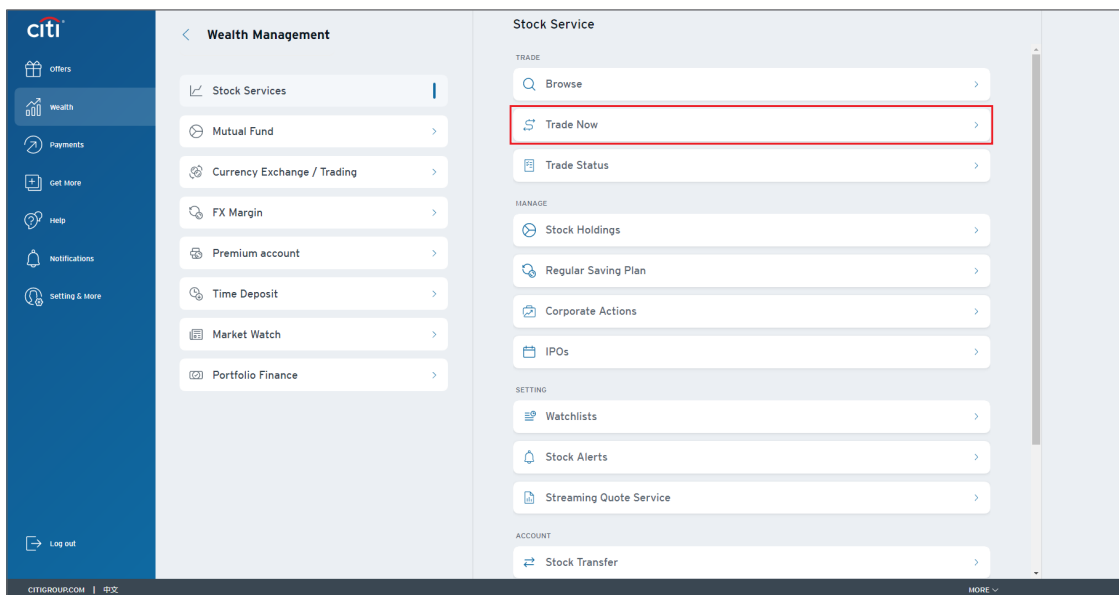
# Trade Stock

Via Citibank Online

## 1 Click “Stock Services” under “Wealth”



## 2 Go to “Trade Now” tab



### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.



# Trade Stock

Via Citibank Online

- 3 Input the **Stock Code/ Name** first, then choose **Buy/Sell**. Then, fill in **transaction details** and click **“Next”**

The screenshot shows the Citibank online trading interface. On the left is a navigation menu with options like Wealth, Payments, Get more, Help, Notifications, and Settings & More. The main area is titled 'Wealth Management' and lists various services. The right-hand panel is titled 'TRADE NOW - NEW ORDER' and contains the following information:

- Stock:** HK : 02800 TRACKER FUND
- Last price:** HKD 22.08 (-0.45%)
- ORDER DETAILS:** Buy/Sell toggle set to Buy, Order Type: Normal order, Price: HKD 20, Quantity: 500 (Minimum lot size: 500)
- Price X Quantity:** HKD 10,000, Total Buying Power: HKD 23,000
- Securities Account:** XXXXXX1234 (Linked to Settlement Account XXXXXX4321)
- Good Till:** 03 Feb 2023

At the bottom, there are 'Cancel' and 'Next' buttons. The 'Next' button is highlighted with a red box.



- 4 Verify the order details and click **“Verify details”**

The screenshot shows the Citibank online trading interface with the 'Verify Details' screen. The left navigation menu and 'Wealth Management' section are visible. The right-hand panel is titled 'Verify Details' and contains the following information:

- ORDER DETAILS:** Action: Buy, Order Type: Normal order, Market: HK, Stock Code: 02800, Stock Name: TRACKER FUND, Price: HKD 20, Quantity: 500
- Transaction amount:** HKD 10,000
- Estimated fees & charges (for reference only):** HKD 2.87
- FRC Transaction Levy:** HKD 0, **Transaction Levy:** HKD 0.02
- Trading Fee:** HKD 0.05, **Stamp Duty:** HKD 2
- Investor Compensation Levy:** HKD 0, **Commission:** HKD 0.8
- Total Order Amount:** HKD 10,002.87
- Good Till:** 03 FEB 2023
- YOUR ACCOUNT DETAILS:** Securities account: XXXXXX1234 (Linked to Settlement Account XXXXXX4321)

At the bottom, there is a 'Verify Details' button highlighted with a red box.



## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

## 5 Your stock order has been placed successfully

The screenshot displays the Citibank online trading interface. On the left is a dark blue sidebar with the Citibank logo and navigation icons for Offers, Wealth, Payments, Get More, Help, Notifications, and Settings & More. The main content area is titled 'Wealth Management' and lists various services: Stock Services, Mutual Fund, Currency Exchange / Trading, FX Margin, Premium account, Time Deposit, Market Watch, and Portfolio Finance. A modal window is open in the center, titled 'Received your instruction' with a green checkmark icon. The message states: 'You have placed a buy order for 500 shares of TRACKER FUND (02800.HK) at HKD 20.' Below this, it shows the order reference: 'Order Reference: 2301316111527023'. There is a 'Show order summary' button and a 'NEXT STEPS' section with a 'View Trade Status' button. An 'Important Notice' section follows, containing several lines of small text regarding settlement accounts, at-auction orders, and trading rules for Hong Kong and Shanghai securities.



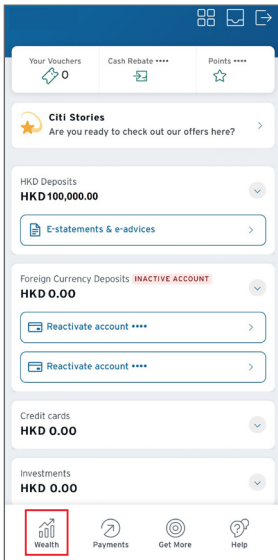
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

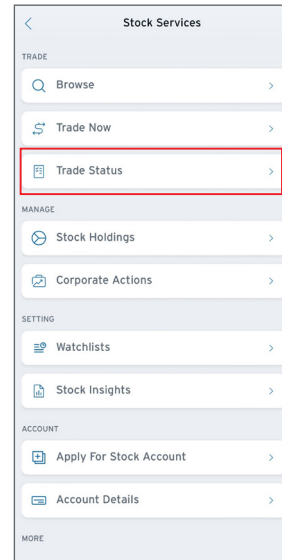
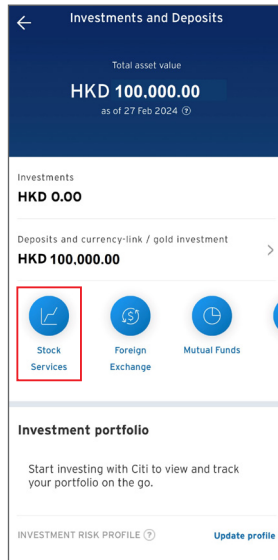
# Check Trade Status & Modify an Order

Via Citi Mobile® App

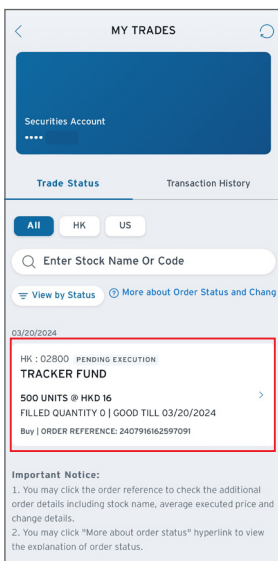
- 1 Login to Citi Mobile® App, tap “Wealth”



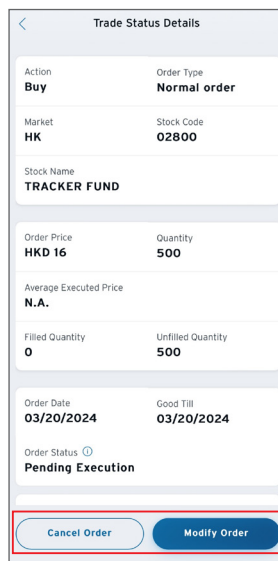
- 2 Select “Stock Services” and tap “Trade Status” to check your order



- 3 Tap on the order you want to review



- 4 Tap “Modify Order” to update the price or quantity of your order, or tap “Cancel Order” to cancel the order



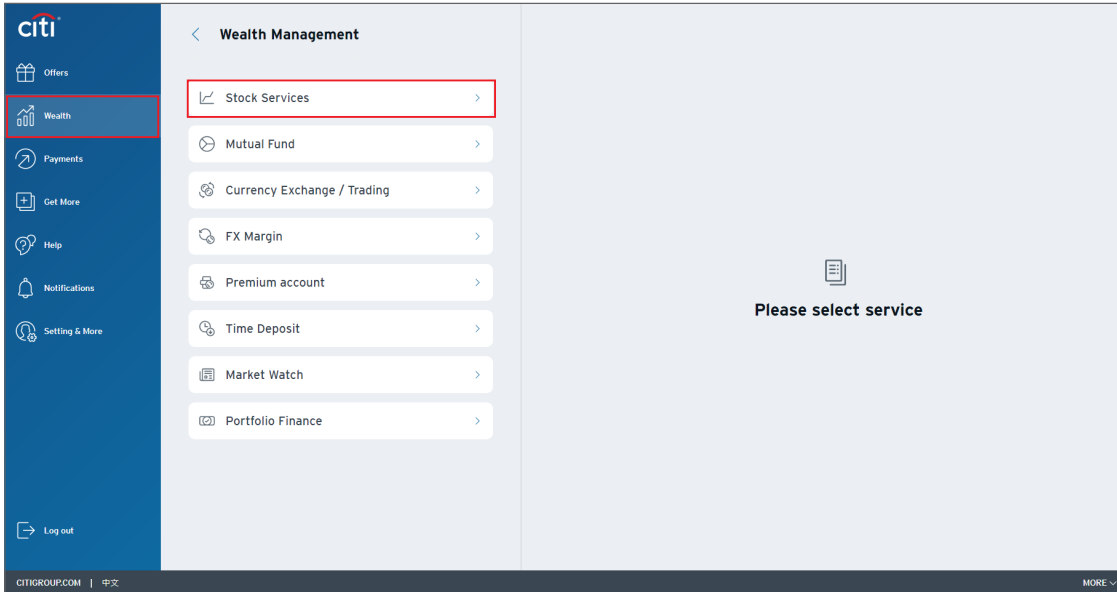
## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

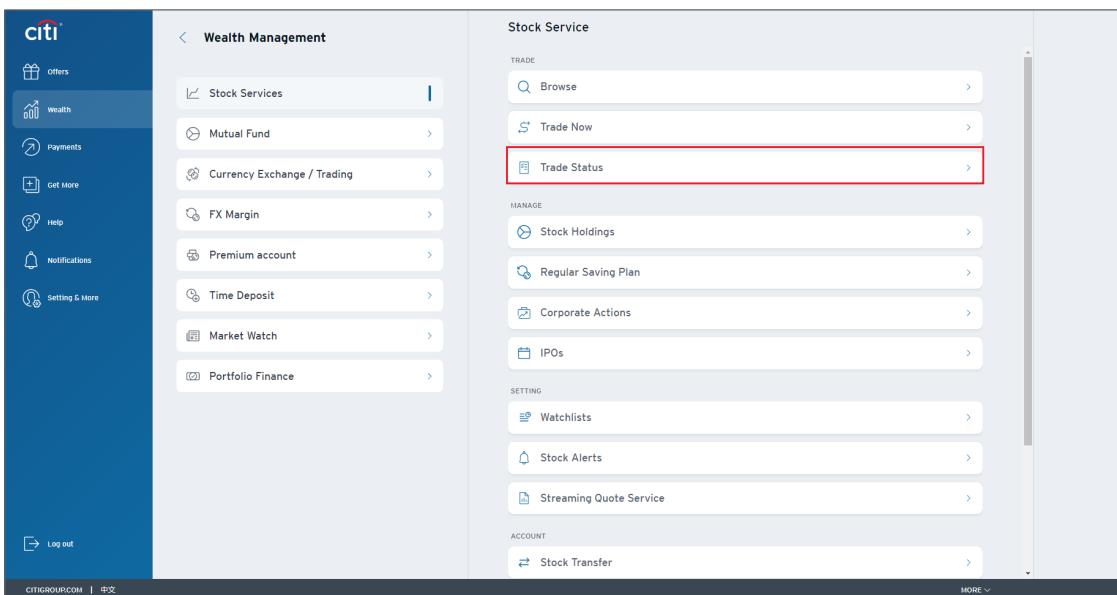
# Check Trade Status & Modify an Order

Via Citibank Online

## 1 Click “Stock Services” under “Wealth”



## 2 You can go to “Trade Status” tab to check or modify your order



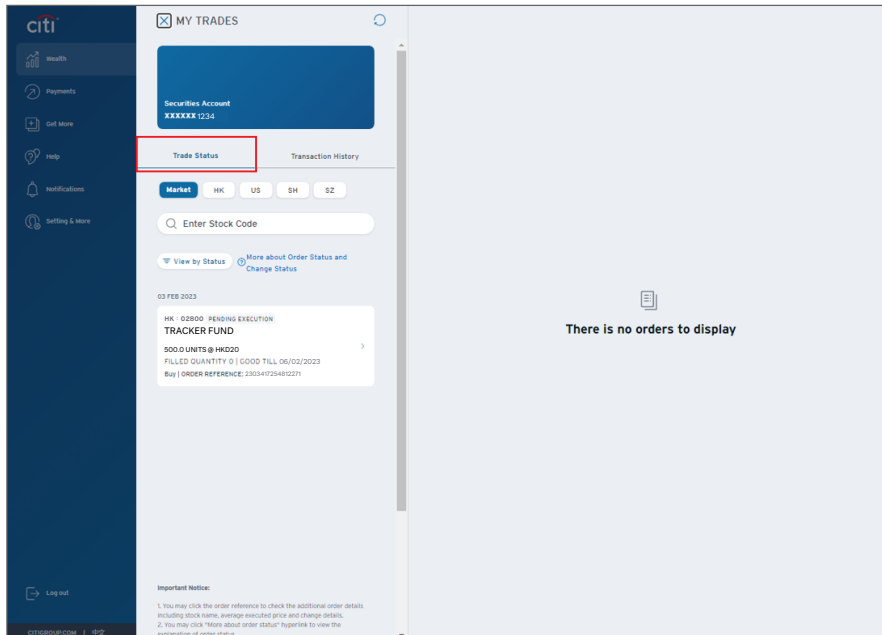
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

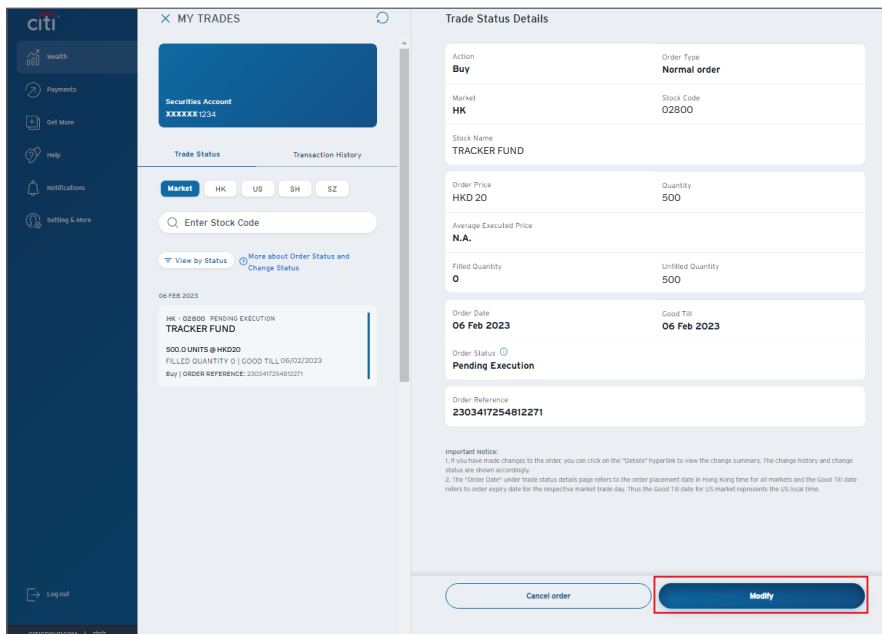
# Check Trade Status & Modify an Order

Via Citibank Online

3 Tap "Trade Status" and select the order you want to check



4 Tap "Modify" to update the price or quantity of the order, or tap "Cancel order" to cancel the order



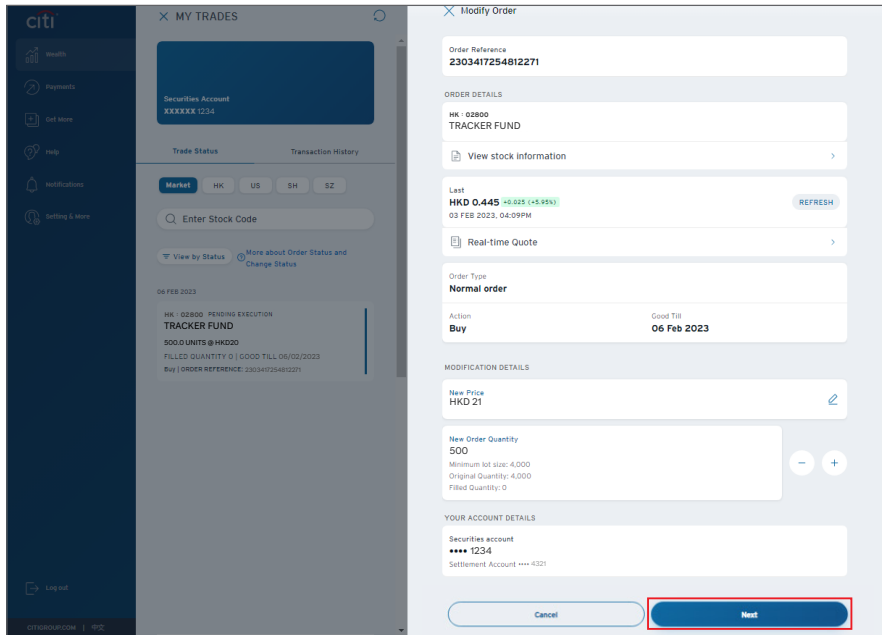
## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

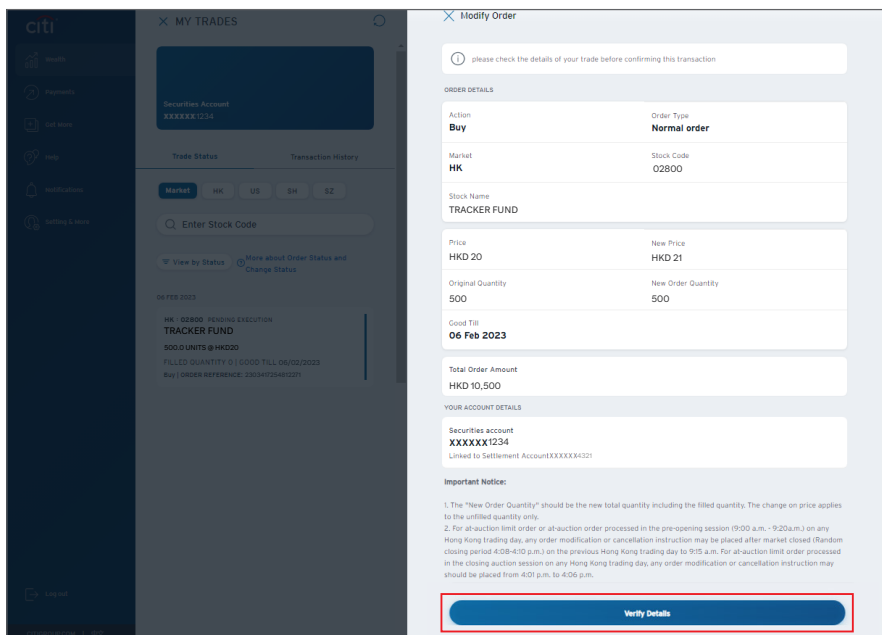
# Check Trade Status & Modify an Order

Via Citibank Online

5 After modifying the price or quantity of the order, click “Next”



6 Review the modified order details, then click “Verify Details” to modify the order



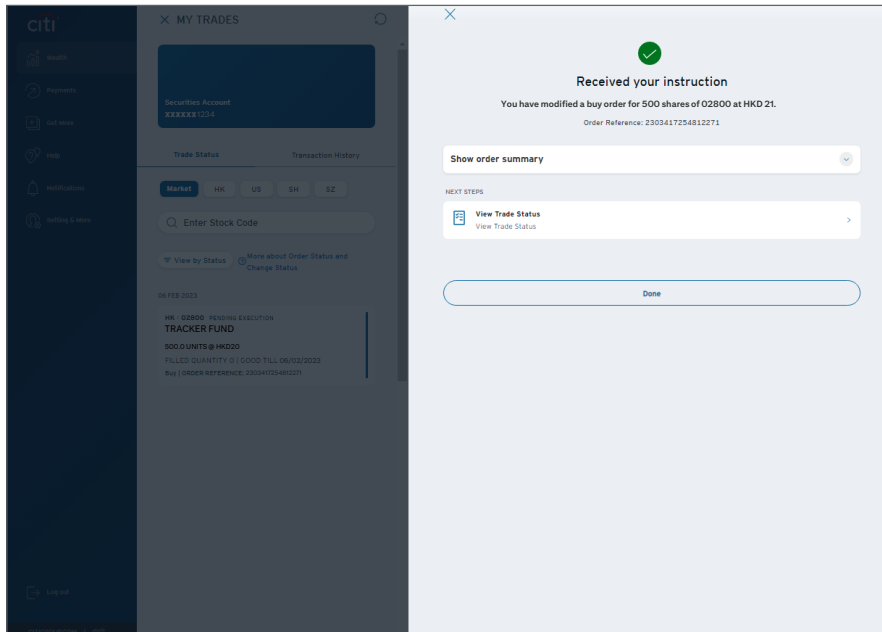
## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

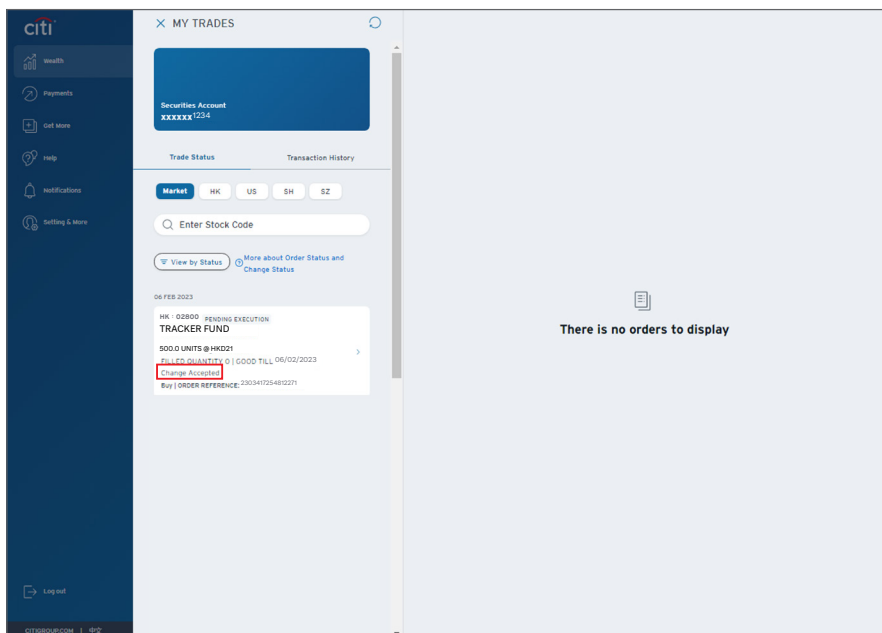
# Check Trade Status & Modify an Order

Via Citibank Online

## 7 Your order has been modified



## 8 You can check the change status for the modified order



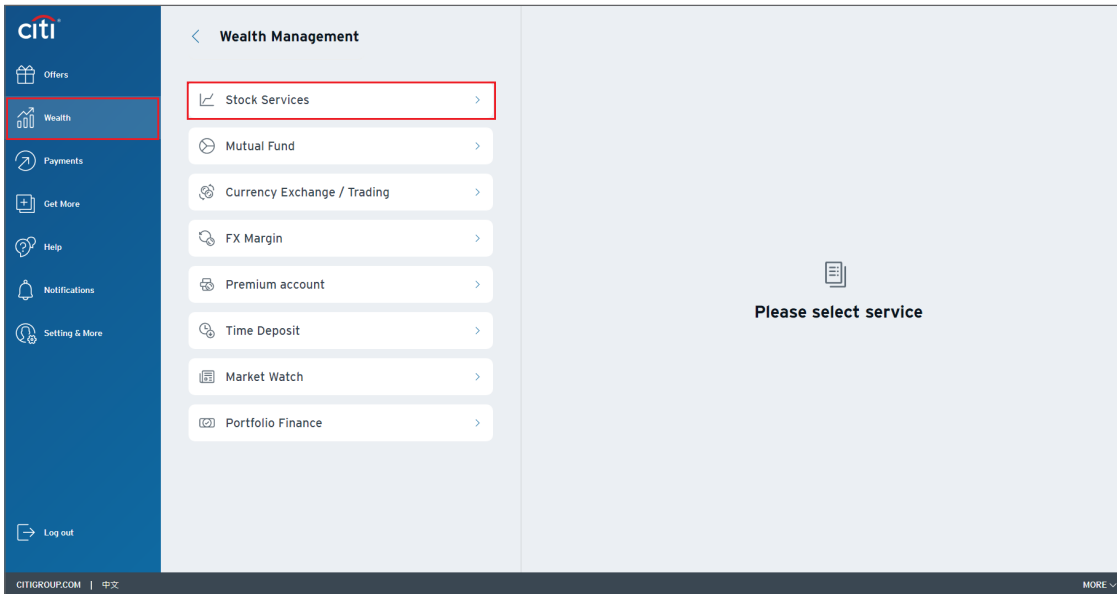
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

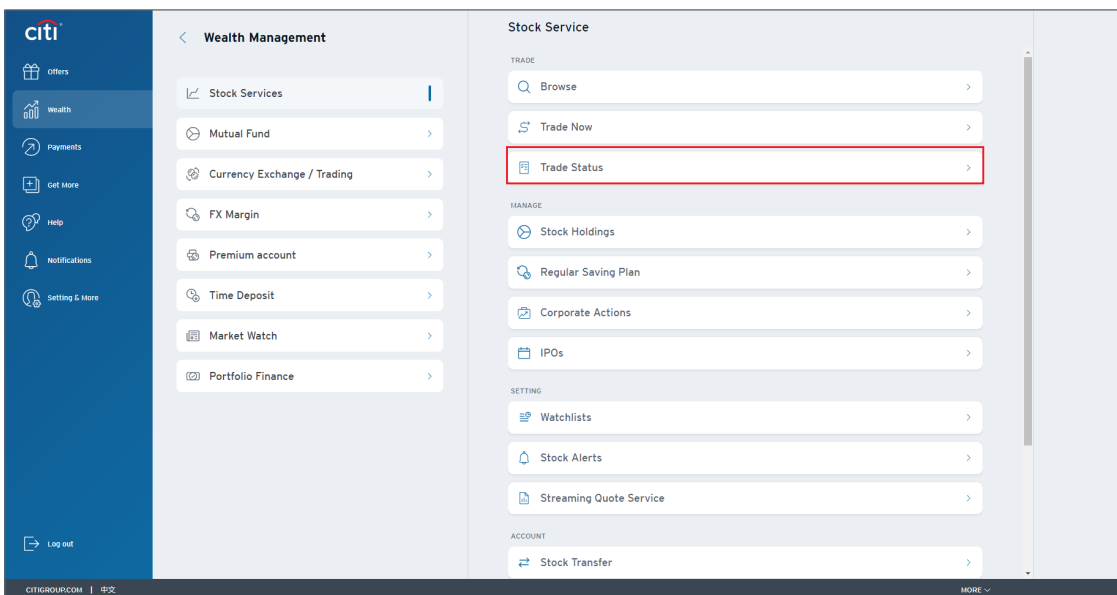
# Check My Transaction History

Via Citibank Online

## 1 Click “Stock Services” under “Wealth”



## 2 Go to “Trade Status” tab



### Important Disclaimer:

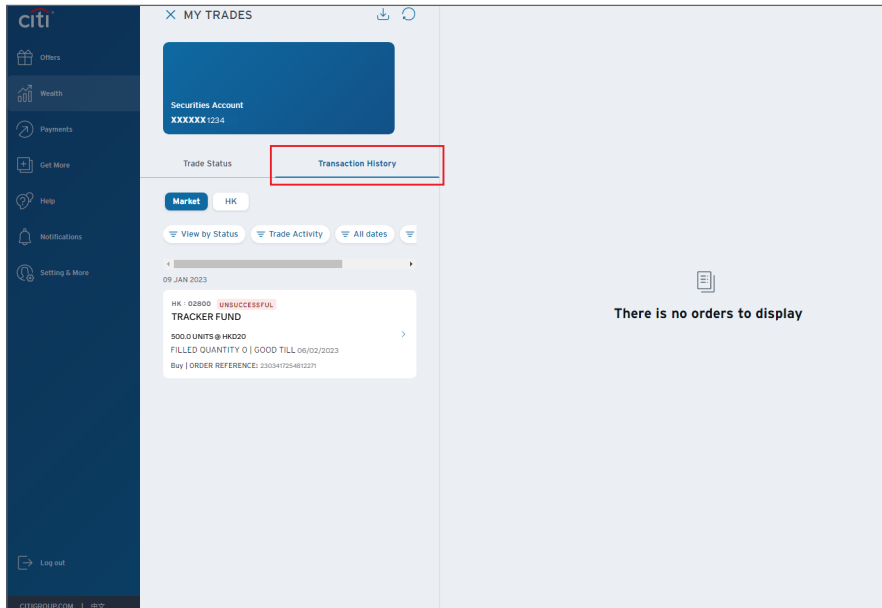
The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.



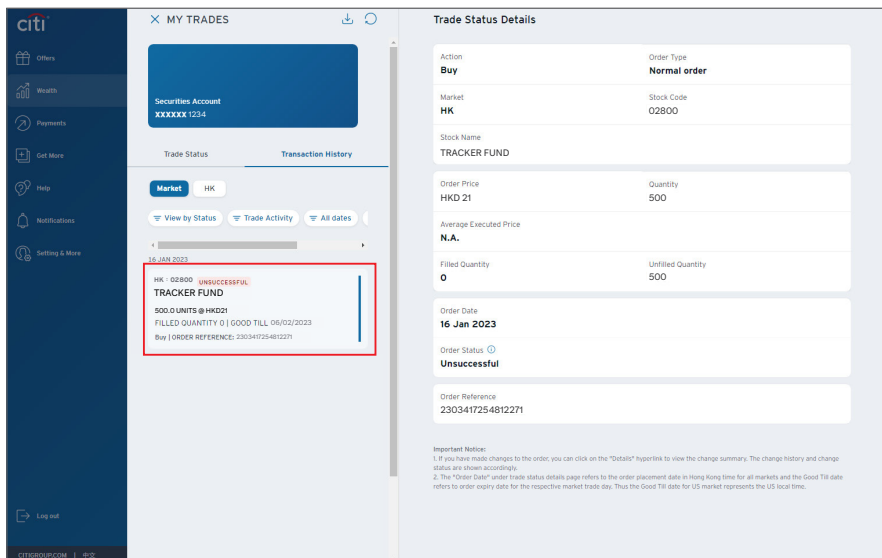
# Check My Transaction History

Via Citibank Online

## 3 Click "Transaction History" tab



## 4 Click the transaction to view respective order details such as price, quantity and trade status



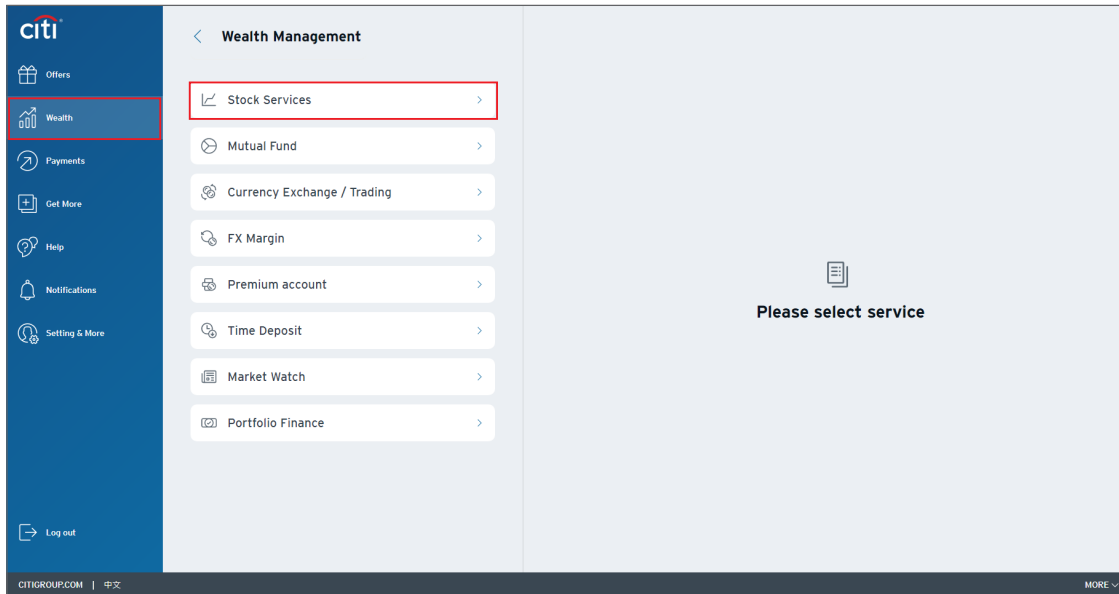
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

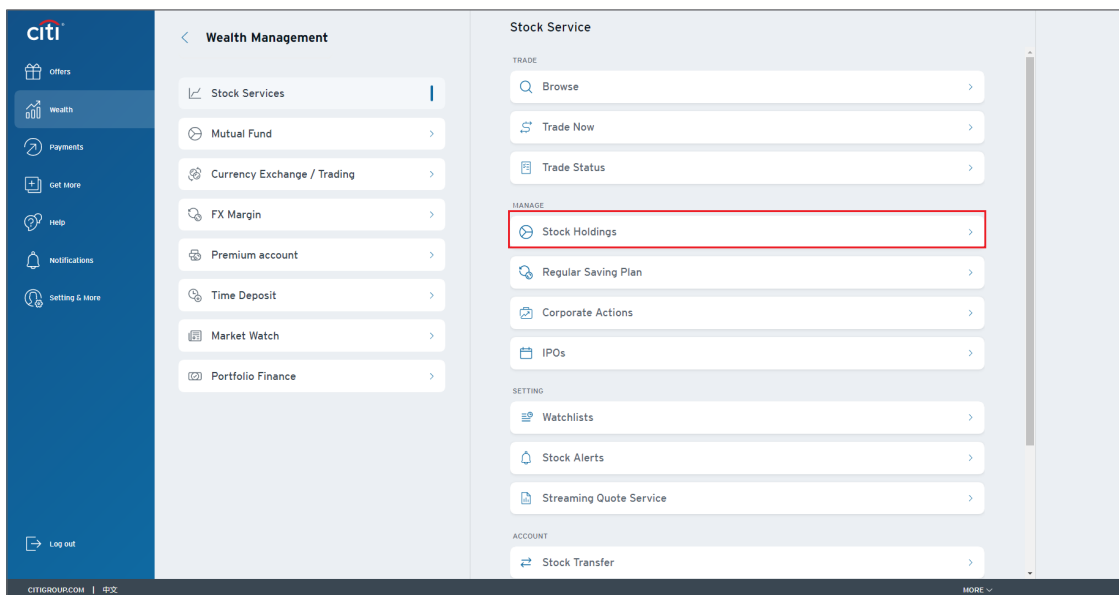
# Check My Stock Holdings

Via Citibank Online

## 1 Click “Stock Services” under “Wealth”



## 2 Go to “Stock Holdings” tab to view your stock holdings



### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# Check My Stock Holdings

Via Citibank Online

3 You may filter the stock holdings by stock market and download your stock holdings details

The screenshot displays the Citibank Wealth Management interface. On the left is a sidebar menu with options like Offers, Wealth, Payments, Get More, Help, Notifications, and Setting & More. The main area is titled 'Wealth Management' and lists various services such as Stock Services, Mutual Fund, Currency Exchange / Trading, FX Margin, Premium account, Time Deposit, Market Watch, and Portfolio Finance. The 'Stock Holdings' panel is open, showing a 'Securities account' with ID 'XXXXXX2629'. Below this, there are market filters for 'HK', 'US', 'SH', and 'SZ', with 'HK' selected. A toggle for 'SHOW CURRENT VALUE IN HKD' is also visible. The main holding is 'HK - 02800 TRACKER FUND' with a current value of 'HKD 6,285.30' and a change of '+401.76 (+6.83%)'. Below the holding information, there is an 'Important Notice' section with several numbered points regarding market value, snapshot count, SMS count, buying power, and deposit requirements.



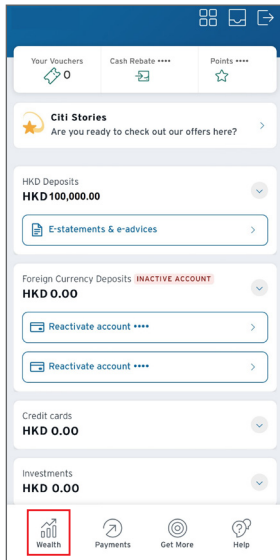
## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

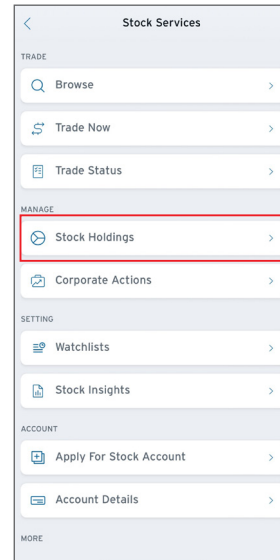
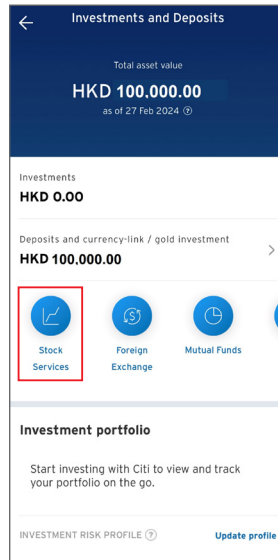
# Check My Stock Holdings

Via Citi Mobile® App

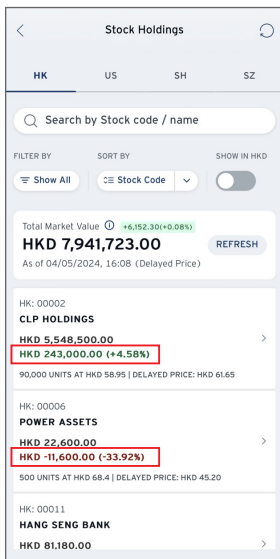
- 1 Login to Citi Mobile® App, tap “Wealth”



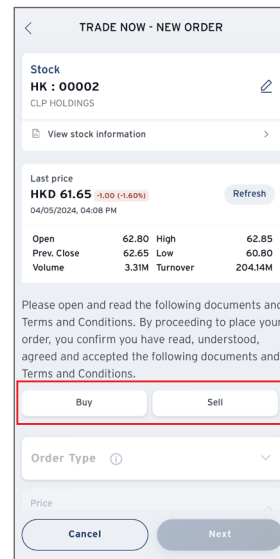
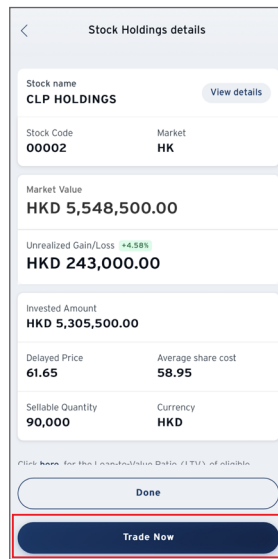
- 2 Select “Stock Services” and tap “Stock Holdings” to check your stock holdings



- 3 See your latest profits and losses without manual input



- 4 Click on your stock holding, and you can instantly trade the same stock again by clicking “Buy” or “Sell”



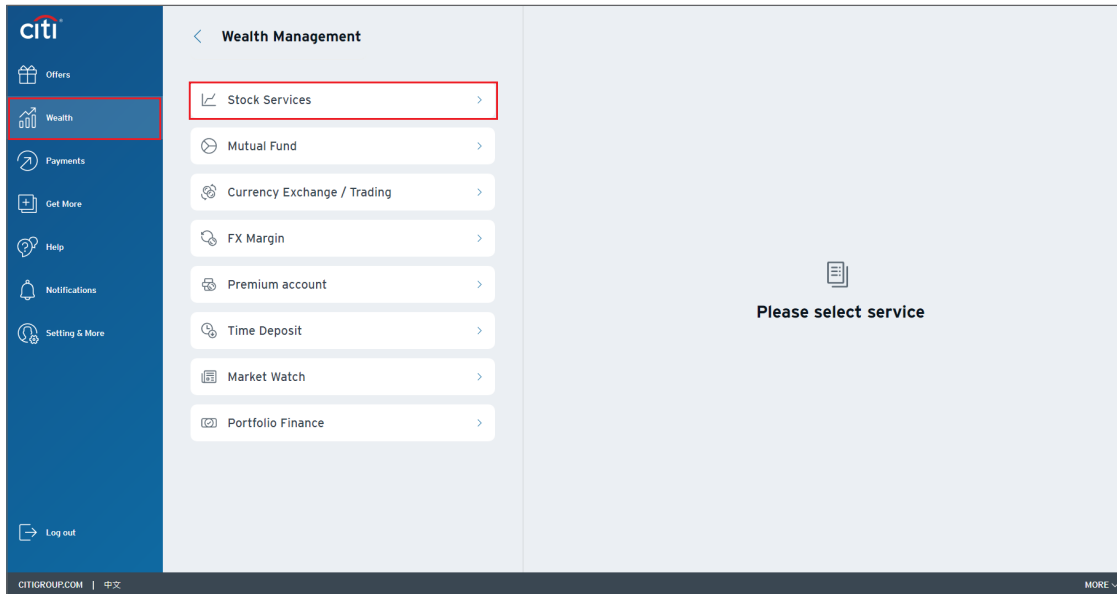
## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

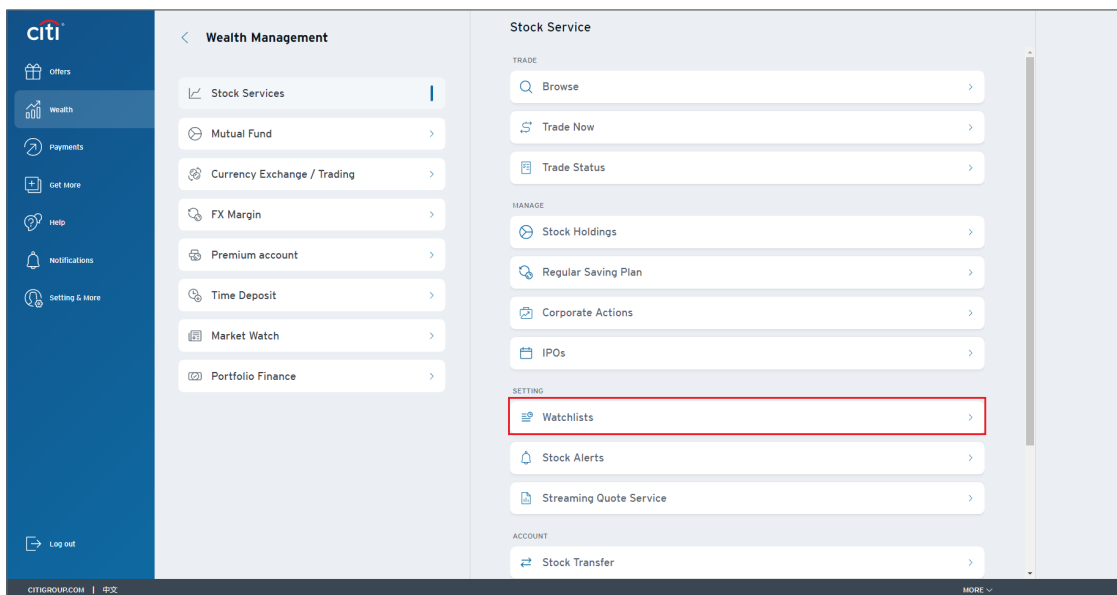
# View & Create My Watchlist

Via Citibank Online

## 1 Click “Stock Services” under “Wealth”



## 2 Go to “Watchlists” tab



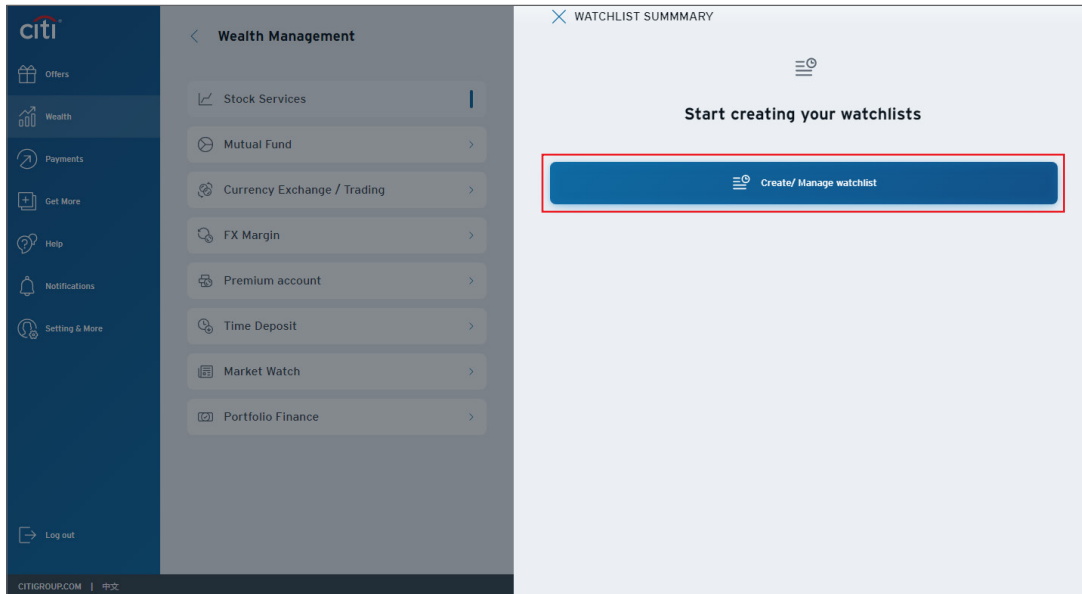
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

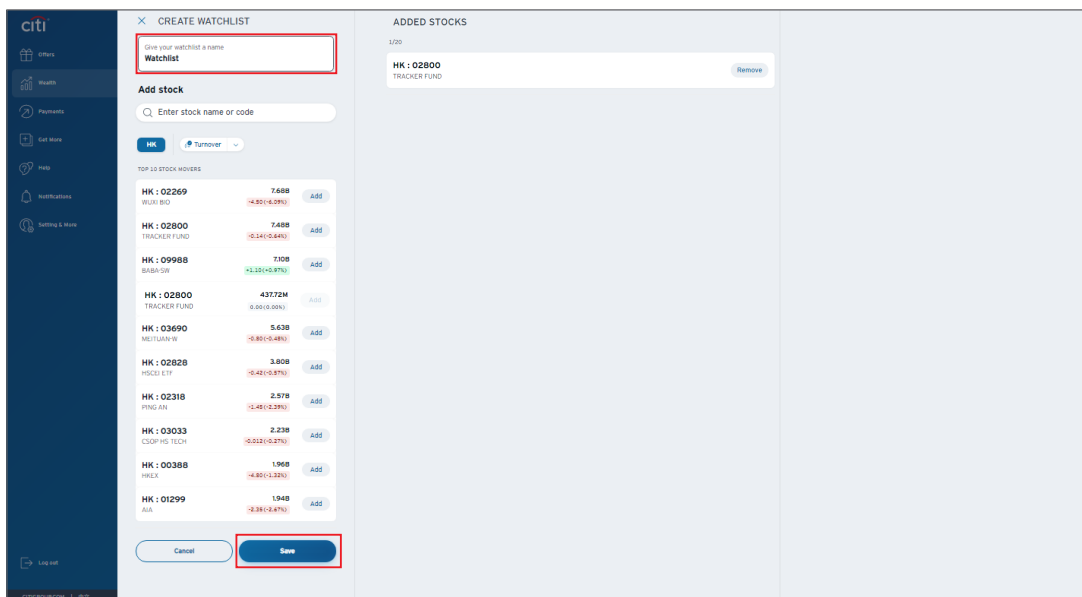
# View & Create My Watchlist

Via Citibank Online

## 3 Click “Create/Manage watchlist”



## 4 Enter a name for your watchlist and add stock(s) to it. Then click “Save”



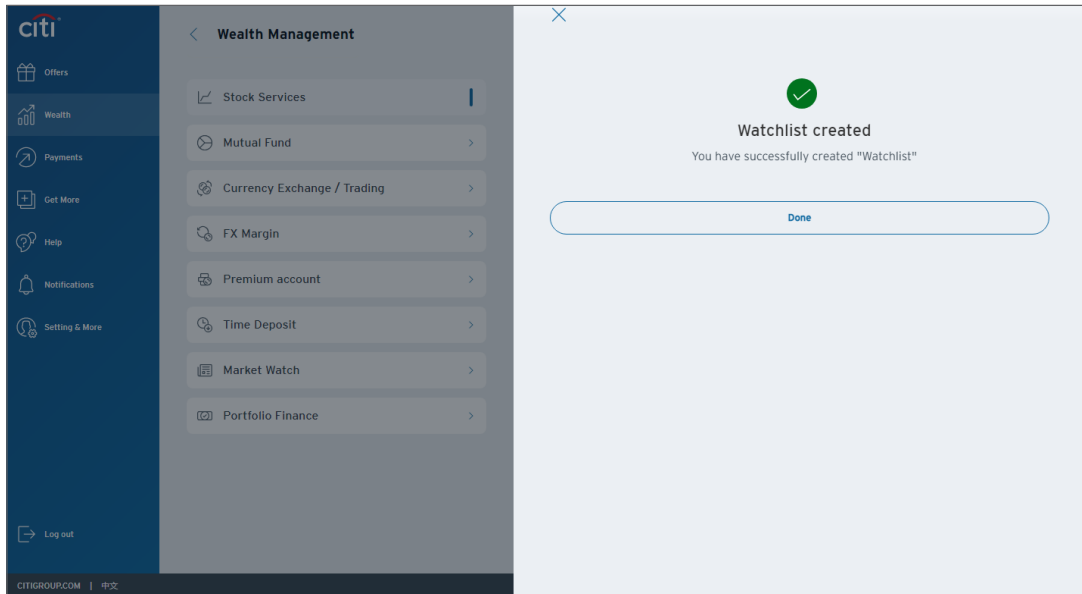
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# View & Create My Watchlist

Via Citibank Online

## 5 Your watchlist has been created



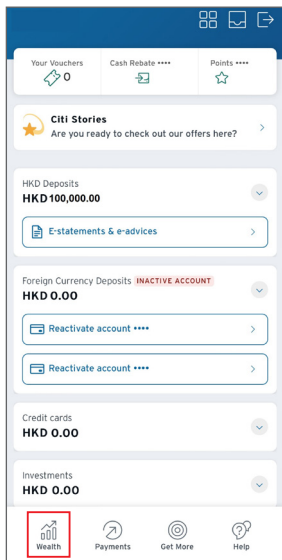
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

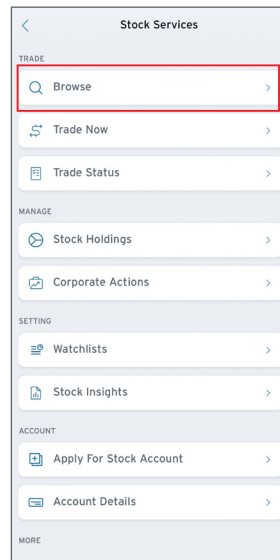
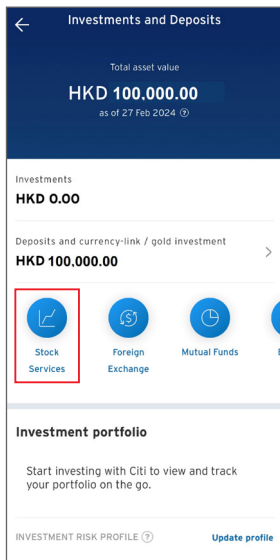
# View & Create My Watchlist

Via Citi Mobile® App

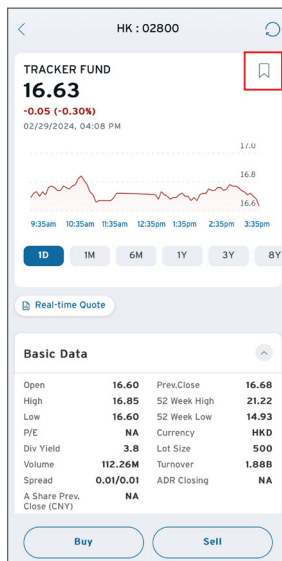
- 1 Login to Citi Mobile® App, tap “Wealth”



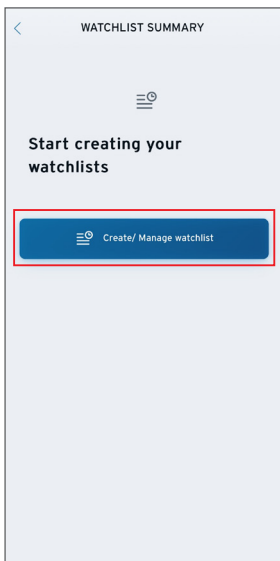
- 2 Select “Stock Services” and tap “Browse”



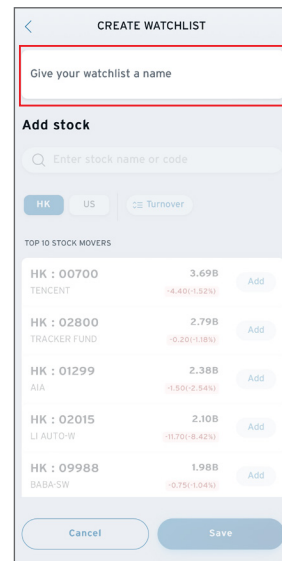
- 3 Tap the flag icon to create a new watchlist



- 4 Tap “Create/Manage watchlist”



- 5 Name the watchlist



## Important Disclaimer:

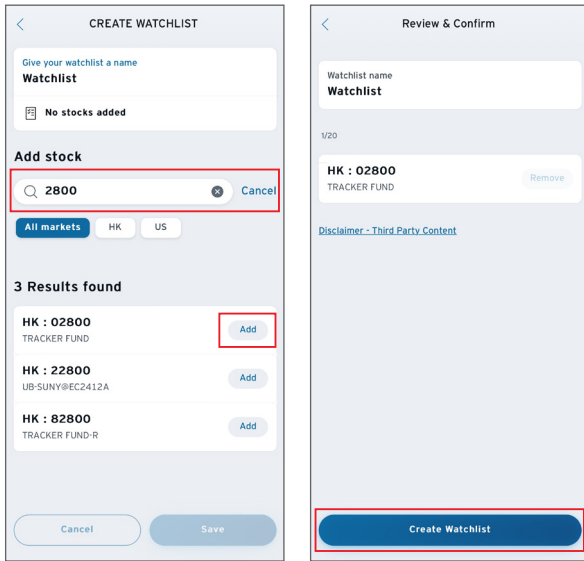
The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.



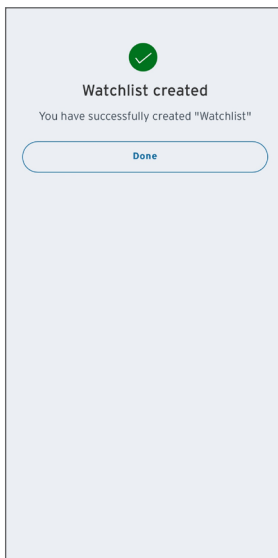
# View & Create My Watchlist

Via Citi Mobile® App

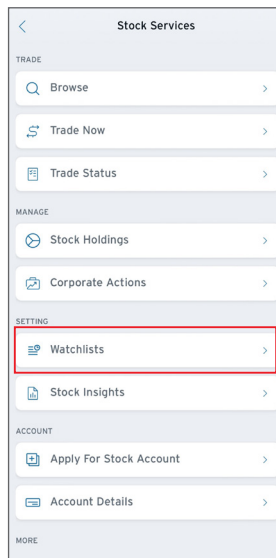
6 Add stock(s) to the watchlist, then tap “Save” and “Create Watchlist”



7 Your watchlist has been created!



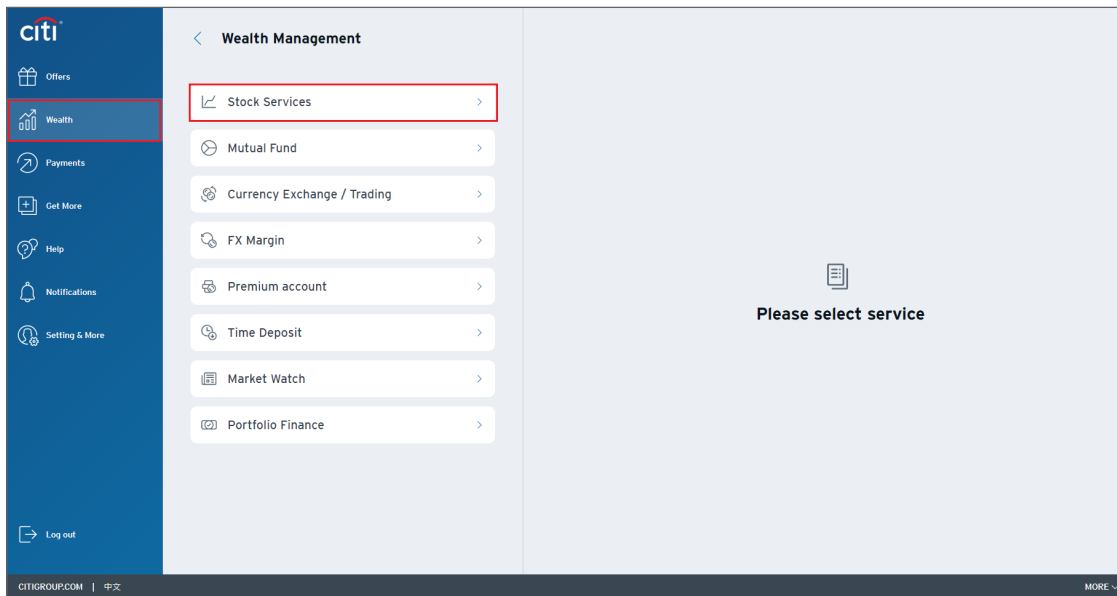
When ever you want to view your watchlist, just go to “Watchlist” under “Stock Services”



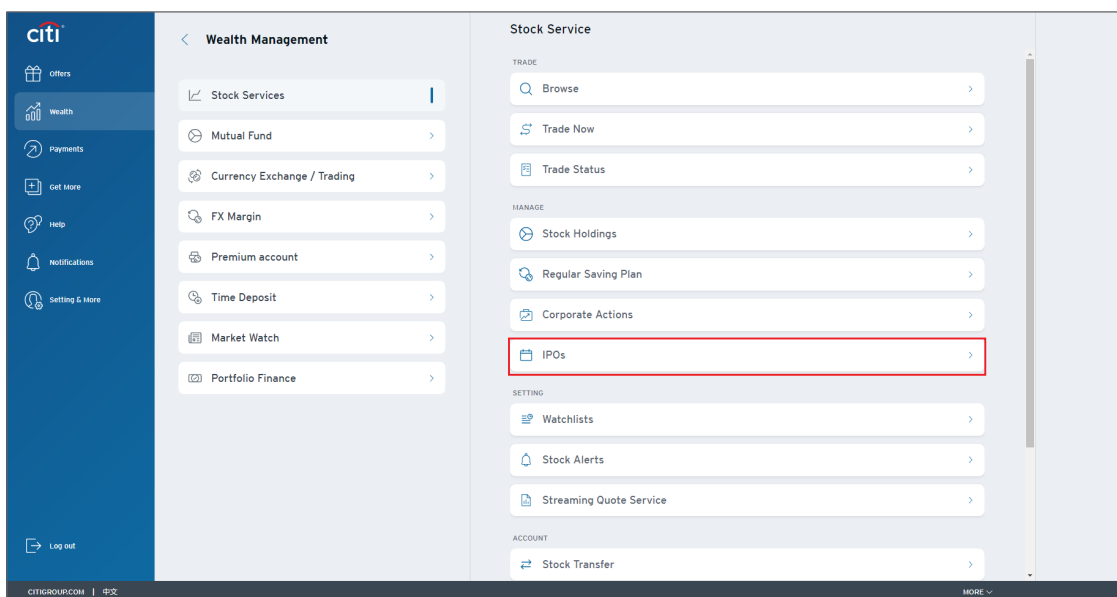
## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

## 1 Click “Stock Services” under “Wealth”



## 2 Go to “IPOs” tab



### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

3 Click “Apply” on the IPO you would like to apply for

IPO Information | Application Status

### Initial Public Offering

Market: HK

**CURRENT IPO**

Listing Date	Stock Code	Stock Name	Lot Size	Currency	Offering Price	
01/17/2018	00123	ABC LIMITED	8,000	HKD	0.5200 - 0.6800	<a href="#">Apply</a>
01/18/2018	00012	EFG CO., LTD	1,000	HKD	2.6100 - 2.7700	<a href="#">Apply</a>
01/19/2018	02223	HIJ LIMITED	4,000	HKD	0.9000 - 1.1000	<a href="#">Apply</a>

[Move to Top](#)



4 Read the relevant documents carefully and check the box to indicate your understanding. Then input the number of shares and click “Next”

IPO Application Step 1 of 4: Provide Details

You must read and fully understand the Prospectus, other offering documents and Application Form of the relevant Public Offering prior to making an application for subscribing securities in that Public Offer through Citibank Online IPO Application Service.

I have read and understood the Prospectus and the Application form and would like to proceed to application.

---

**IPO Details**

Market: HK

Stock Code: 00123

Stock Name: ABC LIMITED

Number of Offer Shares: 197,000,000

Number of Public Offer Shares: 19,700,000

Offer Price: HKD 2.3500 - 2.9000

IPO Opening Date (MM/DD/YYYY): 12/29/2017

Submission Deadline to Citibank (MM/DD/YYYY HH:MM): 01/05/2018 04:00 P.M.

IPO Closing Date (MM/DD/YYYY): 01/08/2018

Price Fixing Date (MM/DD/YYYY): 01/08/2018

Result Announcement Date (MM/DD/YYYY): 01/12/2018

Listing Date (MM/DD/YYYY): 01/15/2018

**Please fill in the IPO application details.**

Securities Account Number: XXXXXX1050

Settlement Account Available Funds: HKD 12,005.14

Share Range:

Number of Shares Applied for:

[Cancel](#) [NEXT](#)



**Important Disclaimer:**

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

## 5 Read and agree to the terms and conditions. Click “Next”

**Important Notice:**

1. Citibank (Hong Kong) Limited (“the Bank”) will be instructing DBS Vickers (Hong Kong) Limited (“Execution Broker”) to provide the Citibank Online IPO Application Service to you, including but not limited to (a) submitting applications to the issuer of the Public Offer (“the Issuer”) / Receiving Bank appointed by the issuer (b) receiving and handling application moneys for onward submission to the issuer / Receiving Bank appointed by the issuer (c) receiving and handling securities allotted by the issuer and (d) receiving and handling refund of any application money from the issuer / Receiving Bank appointed by the issuer. The Bank shall not be liable in respect of the actions or omissions or solvency of the Execution Broker through or with whom transactions are effected for your account as mentioned herein and agree to assume to such risks.
2. The Bank has the discretion from time to time to modify, restrict, withdraw, cancel, suspend or discontinue the Citibank Online IPO Application Service without giving any reason. You understand that by using the Citibank Online IPO Application Service after any modification or changes has been effected, you have agreed to such modification or change.
3. The Bank reserves the right to close the Online IPO Application Service prior to the Submission Deadline to Citibank as stated below.
4. Citibank Online IPO Application Service is only offered to eligible persons who are qualified to apply for securities in the relevant Public Offer in accordance with the terms and conditions of such Public Offer.

I have read and accepted the Terms and Conditions.

» Back **NEXT**

## 6 Your IPO application has been submitted successfully



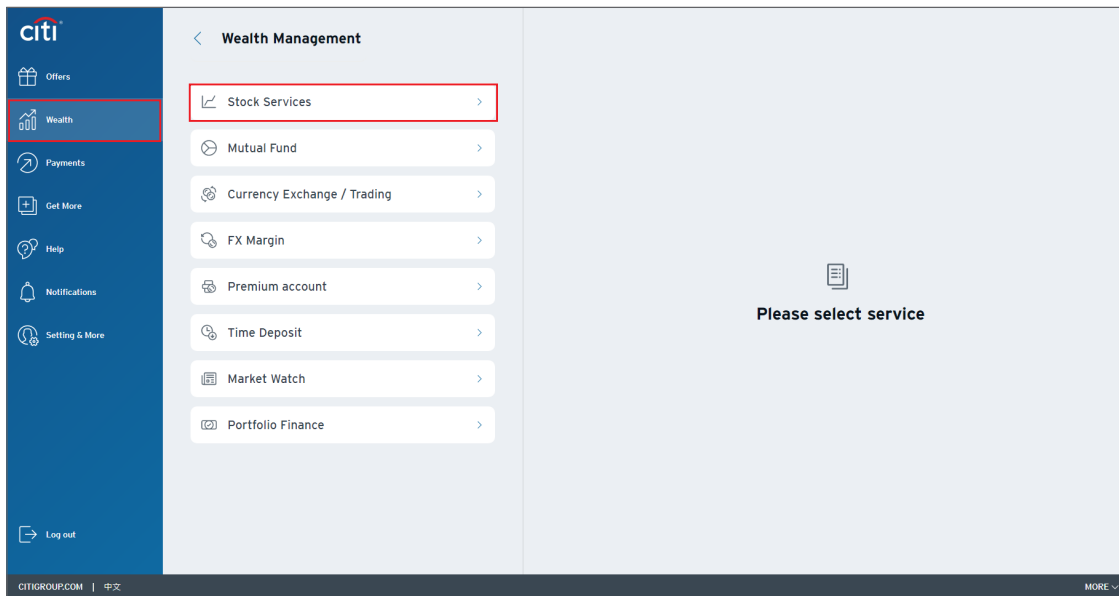
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

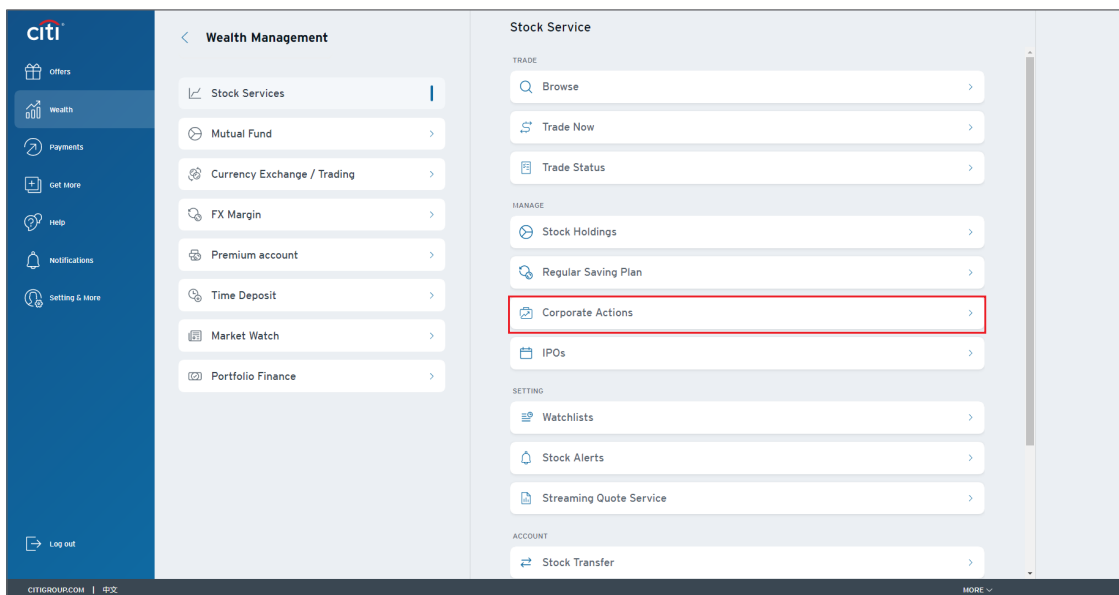
# Corporate Actions

Via Citibank Online

1 Click “Stock Services” under “Wealth”



2 Go to “Corporate Actions” tab



## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# Corporate Actions

Via Citibank Online

3 Select the desired option, then tap on “Next” to proceed

Event Overview | Permanent Cash/Script Dividend Instruction Step 1 of 3: Provide Details

### Corporate Actions - Dividend With Cash/Script Option

---

Securities Account:	XXXXXX4008
Market:	HK
Stock Code:	00005
Stock Name:	HSBC HOLDINGS
Trading Currency:	HKD
Event Description:	Dividend with Cash/Script Option
Event Reference:	1910003044138071
Current Entitled Quantity:	567
Record Date:	10/03/2022
Dividend Rate:	HKD 0.5000000
Re-Investment Price:	HKD 64.20000
Current Permanent Instruction:	Accept 100% Scrip Dividend
Remarks:	Testing

**Instruction:**

Option 1      Accept 100% Scrip Dividend

Option 2      Accept 100% Cash Dividend

Option 3      Accept  Shares as Scrip Dividend  
Remaining shares will be received in cash.  
Please [click here](#) for further instructions.

Reply Due Date: 11/30/2022 05:00 P.M.



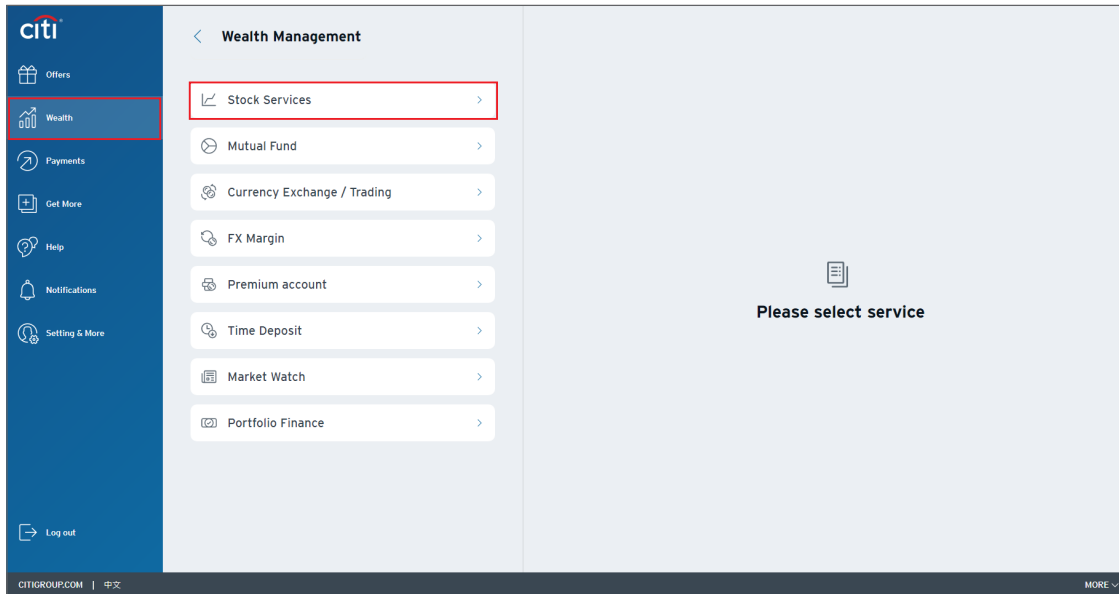
#### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

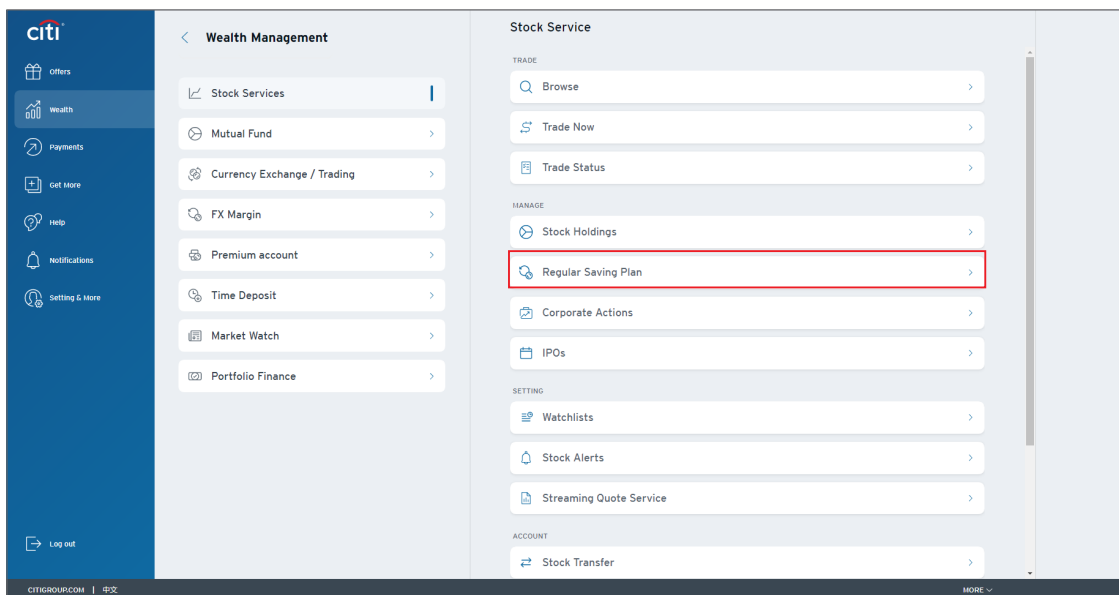
# Regular Saving Plan

Via Citibank Online

## 1 Click “Stock Services” under “Wealth”



## 2 Go to “Regular Saving Plan” tab



### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# Regular Saving Plan

Via Citibank Online

- 3 Click “Setup Plan”, then click “Add Stocks” to proceed

Plan Overview **Setup Plan**

## Plan Overview

Here are your RSP Plans.

Securities Account: XXXXXX1050

Market: HK

Stock Code	Stock Name	Monthly Contribution Amount	Plan Setup Date	Effective Month	Status	Action
There are no records available for the selected Account/Market.						

**ADD STOCKS**



- 4 Input details below and click “Next”

## Setup Plan

Step 1 of 4: Provide Details

Please provide some details for your Regular Savings Plan.

Securities Account: XXXXXX3960

Market: HK

Source of Fund: MaxiSavings Checking Account: XXXXXX3377 HKD 9,291.59

Stock Code: Enter Stock Code

Stock Name:

Monthly Investment Contribution Amount:

Effective Month: 2018 9

Cancel **NEXT**



### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.



# Regular Saving Plan

Via Citibank Online

- 5 Read and agree to the Terms and Conditions. Click “Next”

Step 2 of 4: Accept T&C

## Setup Plan

**Stock Regular Savings Plan ("the Plan") Terms and Conditions**

**1. Introduction**  
The Plan is subject to the following Terms & Conditions, the "Terms and Conditions for Accounts and Services" and the "Terms and Conditions for Citibank Securities Services" which you have entered into.

**2. Account Holding**  
2.1 The Plan will only be available to customers holding a Citibank Securities Account. If at any time the customer canceled the Citibank Securities Account, the Plan will automatically terminate without prior notice.  
2.2 The name(s) of the customer(s) applying for participation in the Plan, in the Plan's Subscription Form shall be the same as those holding the relevant Citibank Securities Account.

I have read and accepted the Terms and Conditions.

Cancel Back **NEXT**

- 6 Verify the Regular Savings Plan details and click “Confirm”.  
Your regular savings plan has been set up



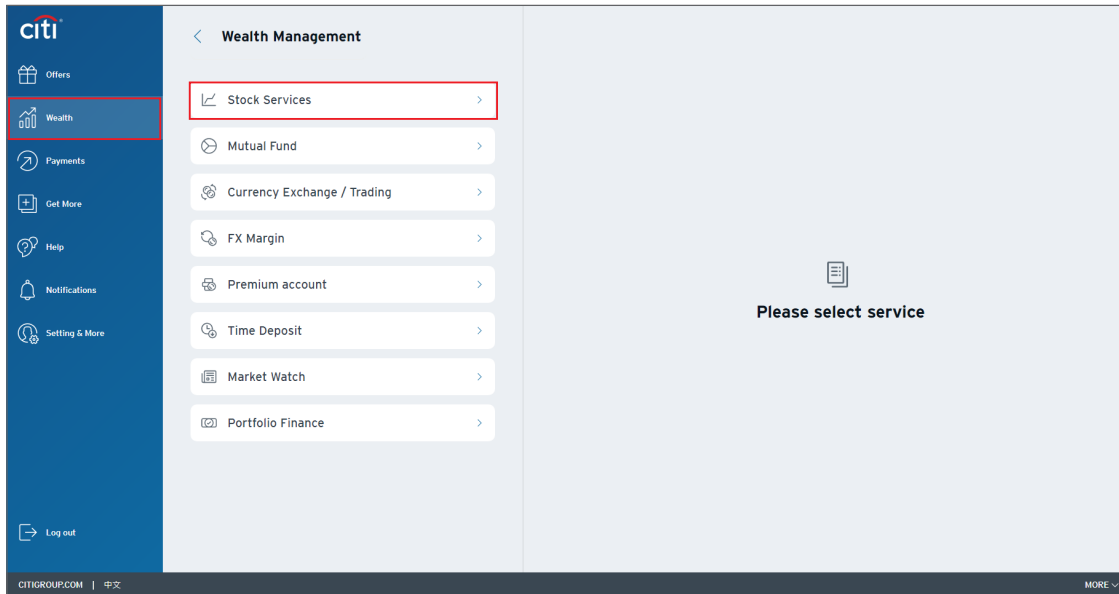
**Important Disclaimer:**

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

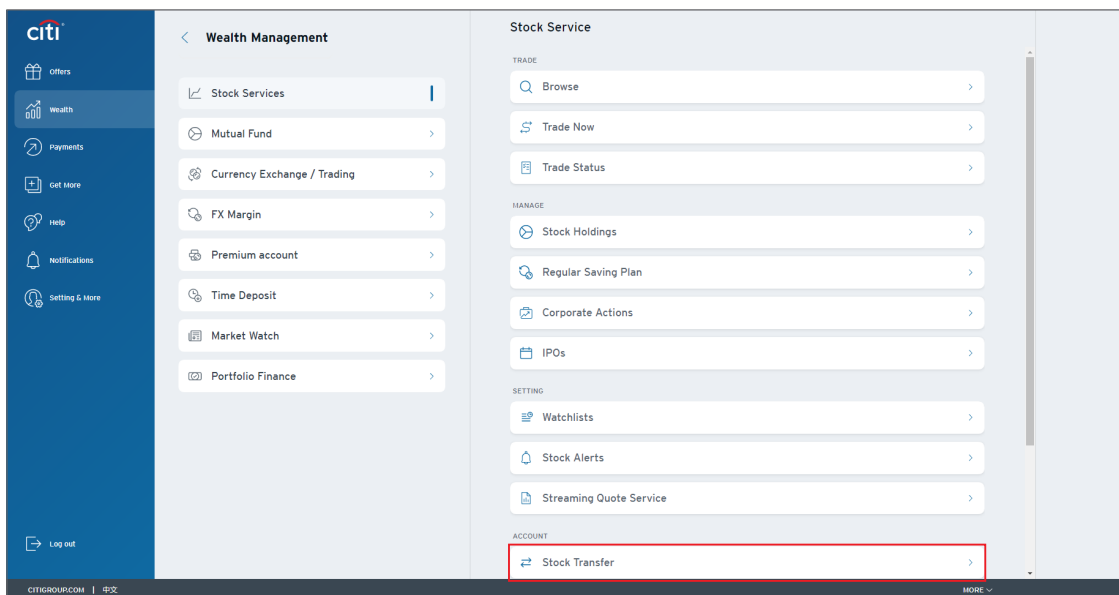
# Transfer in Stock

Via Citibank Online

## 1 Click “Stock Services” under “Wealth”



## 2 Go to “Stock Transfer” tab



### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# Transfer in Stock

Via Citibank Online

3 Click “New Instruction” and input the details, then click “Next”

Overview **New Instruction** Step 1 of 3: Provide Details

### Stock Transfer

Please fill in the below details for your new Stock Transfer instruction:

Securities Account: XXXXXX3960

Transfer Type: Transfer In

Market: HK

Financial Institution Name:

CCASS Participant ID:

Account Number:

Value Date:  (mm/dd/yyyy)

Stock Code	Quantity	Average Unit Cost
<input type="text"/>	<input type="text"/>	<input type="text"/>

> Cancel **NEXT**

Tips: You shall submit the correct information provided by the financial institution to complete stock transfer-in.

\* Please be reminded to submit your transfer out instruction to the financial institution to complete the stock transfer process.

- Bank Name: Citibank N.A Hong Kong for account of Citibank (Hong Kong) Limited
- Contact details: hkst.gcghk@citi.com or 2860 0222
- Applicable to HK/SH/SZ Stock:
  - CCASS Participant ID: C00010
  - Client account No: 8484940000
- Applicable to US Stock:
  - DTC (Depository Trust Company) ID: 0908

4 Verify the details and click “Confirm”.

To complete the process, please also submit a transfer out instruction to the subject Financial Institution

**Stock Transfer - Pre-Confirmation** Step 2 of 3: Verify Details

**Please check the details of your stock transfer before confirming this transaction.**

Securities Account: XXXXXX2944

Transfer Type: Transfer In

Market: HK

Financial Institution Name: abc bank

CCASS Participant ID: A0000

Account Number: 12345678

Value Date: 06/21/2019

Stock Code	Stock Name	Quantity	Average Unit Cost
02600	TRACKER FUND	1,000	HKD 30.0000

> Back > Cancel **CONFIRM**



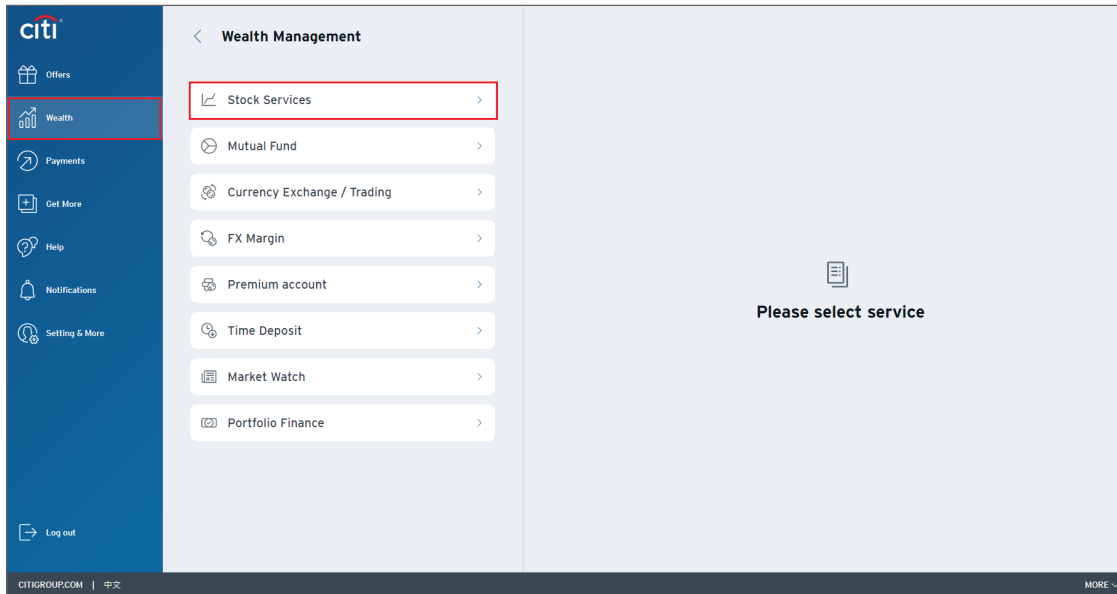
#### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

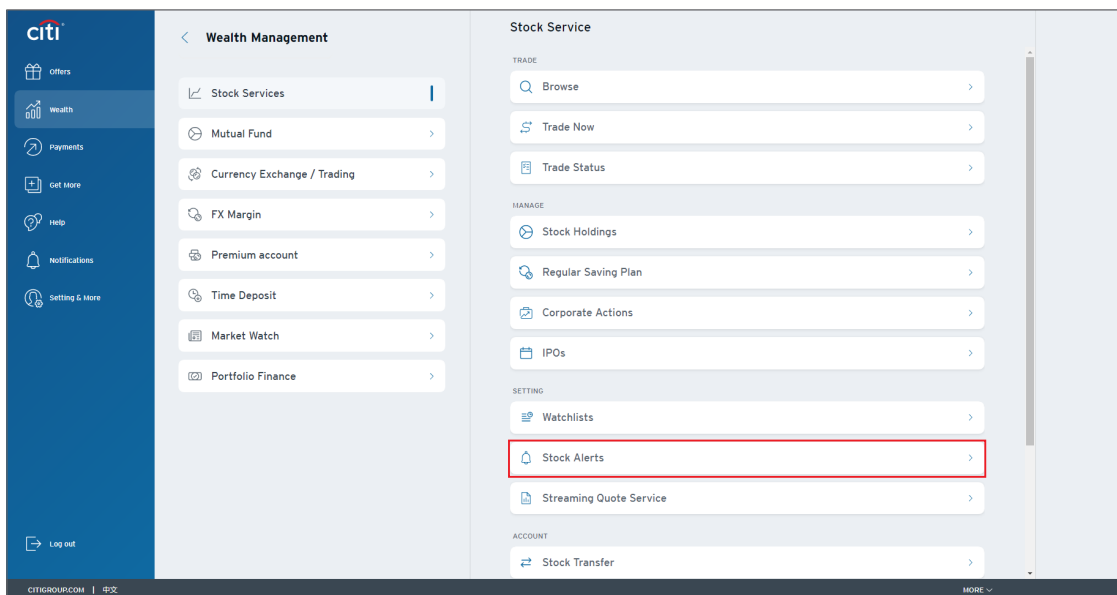
# Stock Alerts

Via Citibank Online

## 1 Click “Stock Services” under “Wealth”



## 2 Go to “Stock Alerts” tab



### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

# Stock Alerts

Via Citibank Online

## 3 Click "Add New Alert"

### Stock Alerts

► Demo

Securities Account: XXXXXX0506

Market: HK

---

#### Price Alerts

Stock/ Index Code	Stock/ Index Name	Alert Criteria	Alert Channel	Good Till	Delete Alert
No records					

**ADD NEW ALERT**

---

#### Order/ Trade Execution Alerts

Stock Alerts	Enrolled ?
SMS alert at order level (Alert is sent when the order is fully filled or after market close on order expiry date)	Yes
SMS alert for each execution (SMS service fee is charged per each trade execution)	No
Email alert at order level	Yes
Email alert for each execution	No

**MODIFY**



## 4 Input details below and click "Confirm" to set up a new alert

### Stock Alerts - Add New Alert

Securities Account: XXXXXX3960

Market:

Alert Trigger:

#### Alert Criteria

Stock Code:

Price Below

Price Above

Good Till:  (mm/dd/yyyy)

Alert Channel:  SMS, Mobile Number: XXXXXX1234  
 Email Address: chantalman@citi.com

Language:

► Cancel **CONFIRM**



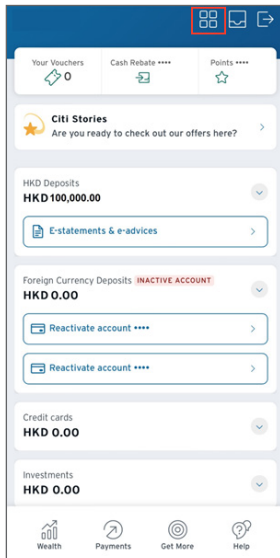
### Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

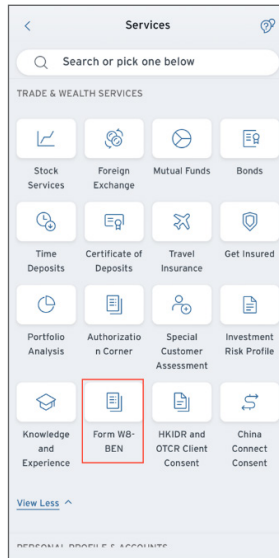
# Complete and Submit US Tax Form (Form W-8BEN)

Via Citi Mobile® App

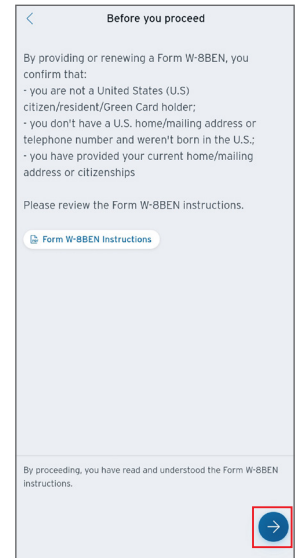
- 1 Login to Citi Mobile® App, tap “Services”



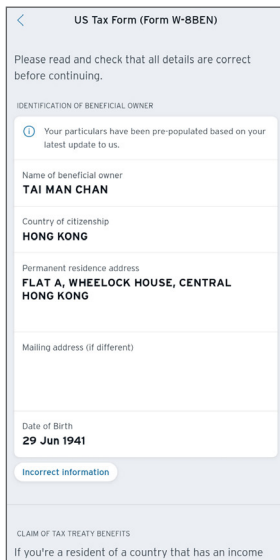
- 2 Tap “Form W8-BEN”



- 3 You are advised to read the “Form W-8BEN Instructions” before you proceed to the next step



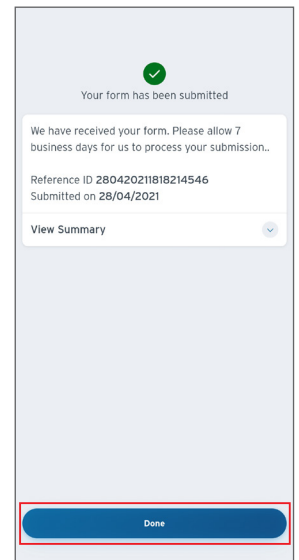
- 4 Your details have already been pre-filled. Please review, and amend if necessary, to complete the process



- 5 Review and confirm the details on the form, before you tap “Submit”



- 6 After submission, please capture the Reference ID for your records



## Important Disclaimer:

The Website makes information of third parties available. The provision of Third-Party Content is for general information and does not constitute any solicitation. T&C apply. Please visit our website for details. Investments are not bank deposits and are not obligations of, guaranteed or insured by Citibank (Hong Kong) Limited, Citibank, N.A., Citigroup Inc. or any of its affiliates or subsidiaries, or by any local government or insurance agency, and involve risks, including the possible loss of the principal amount invested. Share prices may go down as well as up.

