REGULATORY COMPLIANCE
WATCH LIST SCREENING SOLUTIONS

CSI

Dynamic Solutions. Superior Results.

WATCHDOG®
A WATCH LIST SCREENING SOLUTION FOR ALL MARKETS

THE MOST ADVANCED, EASY-TO-USE AND COST-EFFECTIVE WATCH LIST SCREENING SOLUTION IN THE INDUSTRY

Today's global economy enables companies to conduct business everywhere, and with that opportunity comes a greater burden to ensure your business relationships are lawful and legitimate. Through routine scanning of U.S. and international restricted party watch lists, this can be achieved. However, staying abreast of the latest rules and regulations affecting your industry can be very difficult, especially across multiple departments and systems. This is where CSI can help: CSI delivers the industry's most effective solution, combining the latest technology with advanced algorithms and all-encompassing databases to help you stay compliant.

AUTOMATION IS KEY

Automating the process of sanctions screening against multiple watch lists allows you to:

- Adhere to legislation and sanctions screening rules specific to your industry

- Create efficiencies by checking U.S. and international restricted party watch lists simultaneously

- Leverage advanced matching and disqualification techniques to achieve the most accurate matches possible, while minimizing the number of false positives and false negatives

- Provide detailed reports and audit trails for compliance tracking and auditing purposes

Industries WatchDOG is Screening for Today:

- Financial Institutions

- Money Services Businesses

- Health Insurance

- Property, Casualty and Life Insurance

- Logistics Management

Other Industries, Including:

- Casino and Gaming

- Real Estate

- Travel and Tourism

- Importers and Exporters

- Jewelry and Precious Gems Retailers

CSI's watch list screening solution provides feature-rich functionality and detail that are virtually unattainable through other tools. Our sanctions screening solution delivers the following advantages:

SIMPLIFIED DENIED PARTY SCREENING

- Quickly and easily screen your data against applicable and required watch lists, including the Office of Foreign Assets Control's (OFAC) Specially Designated Nationals (SDN) and Sanctioned Countries lists

- Screen name and address data interactively or via automated batch file using sophisticated matching and disqualification techniques, greatly reducing time spent reviewing false positives

- Process both domestic and international ACH transactions (IATs) and screen all applicable fields

- Ability to translate names that are in non-Latin-based languages, including all major European, Asian and Middle Eastern languages, into an English equivalent for effective watch list matching

- Match Social Security Numbers against the Social Security Administration Death Master File, and match National Provider Identifiers against the National Plan and Provider Enumeration system registry without having to enter any other information, such as name or birth date

- Manage your organization's risk by screening customers against the industry-leading Dow Jones Watch Lists and High Risk Factors List

STREAMLINED PROCESS

- Ensure compliance with FinCEN 314(a) or user-created lists by utilizing the internal watch list option

- Create both good and blocked customer lists to simplify ongoing verification and optimize the due diligence process in the WatchDOG Elite platform, or within your own case management system, with the help of our REST API

- Use the Customer Data Master (CDM) feature to automatically screen names and addresses when watch lists are updated, providing a full audit trail

ENHANCED REPORTING & COMPLIANCE

- Produce detailed reports showing the date, name screened, outcome and who performed the due diligence

- Simplify the review-and-resolve process with multi-list aggregation that reduces scrolling

- Utilize the intuitive workflow functionality to log, assign, track, investigate and resolve potential matches for audit and reporting purposes

The World's Leading Platform

WatchDOG Elite, CSI's Software-as-a-Service (SaaS) compliance platform, provides fast deployment and reduces support needs, while requiring no hardware investment and minimal IT involvement. This interface automates watch list screening with robust auditing and reporting features; it includes added components that let you conduct single lookups to manually input and review matches, perform machine-to-machine searches for transparent integration with your applications, and conduct flat file batch process. WatchDOG Elite also houses additional modules, keeping all of your compliance solutions in one place.

ADDITIONAL WATCH LIST SERVICES

Outsourced Watch List Services

CSI's experienced compliance analysts review potential matches with watch lists, saving your organization time while ensuring compliance.

Watch List Check for Salesforce®

For Salesforce users, this option ensures compliance by screening accounts, contacts and leads with one-click, real-time screening or a batch process on a schedule you define. This solution is available on the Salesforce App Exchange.

WATCH LIST SCREENING BY INDUSTRY

Financial Institutions

We understand banking regulations and have created compliance screening solutions that satisfy the regulatory needs you have to meet. With WatchDOG, you can comply with OFAC sanctions for financial institutions and other U.S. and international watch lists to prevent fraud and illegal activity.

Money Services Businesses

CSI understands the unique challenges that global organizations face when striving to comply with strict sanctions screening regulations, while processing high volumes of transactions. Our automated OFAC compliance software seamlessly integrates into your existing technology infrastructure, providing a central system of record to ensure consistent and sustainable processes around watch list due diligence.

Health Insurance

CSI's denied party screening software enables health insurance organizations to mitigate fraud and compliance risk across the entire enterprise through automated, real-time and retroactive monitoring of the following lists: OIG, EPLS, OFAC and state-specific Medicare and Medicaid opt-out and exclusion lists.

Property, Casualty and Life Insurance

Insurance companies must comply with internal, domestic and international anti-money laundering (AML) and other watch list regulations. With CSI's automated sanctions screening solution, your organization can mitigate risk with real-time, retroactive monitoring of the SDN list and others from organizations like OFAC and the Financial Action Task Force (FATF).

Logistics Management

Before you exchange goods and services with individuals and organizations, you must first verify their identity to ensure its safe to do business with them. CSI's watch list solution for logistics companies enables you to configure screening to fit your specific business needs.

Other Industries We Serve

Does your business deal with the complex challenges of sanctions screening? Our solution includes myriad U.S. and international restricted party watch lists, allowing us to support organizations across a variety of regulated industries.