

Vermont Secretary of State
Office of Professional Regulation
VERMONT BOARD OF PHARMACY
National Life Building, North, FL 2
Montpelier, VT 05620-3402
Ph: (802) 828-2373 or 828-1505
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Web Site: www.vtprofessionals.org

INSTRUCTION SHEET FOR NON-RESIDENT (OUT-OF-STATE) DRUG OUTLET (PHARMACY)

You may contact **Kristy Kemp, Administrative Assistant**, at (802) 828-2373 or via E-mail: kkemp@sec.state.vt.us if you have questions or if you need additional information.

Once your application is complete, it will be sent to the Board for review. The Board usually meets on the fourth Wednesday of each month. See the Board's Web site for specific meeting dates, agendas, minutes, etc.

This application applies to out-of-state (Non-Resident) drug outlets or pharmacies. See Part 16 of the Board's Rules. <http://vtprofessionals.org/opr1/pharmacists/rules.asp>

"Non-resident pharmacy" means a drug outlet located outside of this state which dispenses prescription drugs or devices to Vermont residents or residents of other states and which mails, ships, or delivers such prescription drugs or devices into this state or which provides any type of pharmacy services.

All signatures required on the application must be those of an Owner, a Partner, or Corporate Officer.

Non-Resident Pharmacies / Drug Outlets must submit the following:

1. Completed application
2. Application fee of **\$300.00**. Please make your check payable to Vermont Secretary of State. Application fees are non-refundable.
3. Verification of licensure standing directly from the licensing authority in the state where the pharmacy is located that will be shipping drugs to Vermont. No form is provided. Contact your state's Board of Pharmacy or applicable licensing authority and request that a verification of good standing be sent to Vermont. Note: Online verification is acceptable provided the state in which the facility is located reports whether disciplinary action(s) has been taken against the applicant.
4. List(s) of the names of all owners. Indicate whether sole proprietor, partnership, corporation, limited liability company, etc. **Note: Changes in ownership require submittal of a new application.**

Provide a flow chart showing ownership. If an actual flow chart is not available, a description of the ownership or hierarchy of the organization is acceptable. (See Board Rule 16.2 (c))

- (1) If a person: the name, business address, and date of birth;
- (2) If a partnership: the name, business address, and date of birth of each partner, and the name of the partnership;
- (3) If a sole proprietorship: the full name, business address, social security number, and date of birth of the sole proprietor and the name of the business entity; and
- (4) If a corporation: the federal identification number of the corporation, the name, business address, date of birth, and title of each corporate officer and director, the corporate names, the name of the state of incorporation, and the name of the parent company, if any; the name, business address of each shareholder owning five percent or more of the voting stock of the corporation, including over-the-counter stock, unless the stock is traded on a major stock exchange and not over-the-counter;



5. **Affirmation Forms** completed by the sole proprietor, all members, all partners, or corporate officers and directors, and the pharmacist-manager, that they have not been convicted of, and are not under indictment for, any felony or misdemeanor arising from the violation of any drug or pharmacy related law. Questions must be answered and your signature must be notarized. (Rule 16.2)
6. **Required Statement(s).** The Pharmacist Manager may sign the form provided with this application regarding the required statements or may make the statements on pharmacy letterhead. A copy of the prescription label with toll free number may be applied to this statement or attached separately. (See Board Rule 16.2 (e) (f) and (g)).
7. A copy of the most recent inspection report from the state in which the pharmacy is located; and

Effective July 1, 2010: For internet non-resident pharmacies, a copy of an inspection report not more than three years old by either:

- (1) the state in which the pharmacy is located; or**
- (2) Verified Internet Pharmacy Practice Sites (VIPPS) certification.**

Where the Pharmacy Board in the other state has not inspected the pharmacy in the past three years through no fault of the pharmacy, the pharmacy may advise this Board of the inspection delay and this Board may grant the pharmacy an extension of up to one year to allow the pharmacy to comply with this rule.

8. **Disciplinary Actions or Denials:** Answers to these questions pertain to the applicant, its parent, subsidiaries, or another person or organization with a controlling interest in the drug outlet. If the answer is “yes” on the application form, provide certified copies of the charges, if filed, and of the Final Disposition Order. In addition, a signed and sworn statement from the CEO, COO, president or equivalent management level corporate officer showing how the company has responded to the prior violation such that the Vermont Board of Pharmacy can be assured that a repeat or similar violation will not occur in Vermont. **Please also ask the state in which the action was taken to provide to the Board verification of current licensure standing.** An Investigative Team will review this information to determine whether further investigation or action is needed before a final decision is made regarding your application.

If your Internet Pharmacy is certified by the National Association of Boards of Pharmacy’s Verified Internet Pharmacy Practice Sites (VIPPS) program, please provide a copy of your certification. For more information contact the NABP via www.nabp.net.

NOTE: All licensees renew on a fixed 24 month schedule: July 31 (odd numbered years). Applicants issued an initial license more than 90 days prior to the renewal date will be required to renew and pay the renewal fee. Initial licenses issued within 90 days of the renewal date will not be required to renew or pay the renewal fee.

The Statutes and Rules are available via the Board’s Web site at:

<http://vtprofessionals.org/opr1/pharmacists/rules.asp>

www.vtprofessionals.org



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Board of Pharmacy

Non-Resident Drug Outlet Change in Ownership Application

Name of Pharmacy					
Mailing Address, Street				City, State, Zip	
Phone		Fax		Email	
Current Vermont license number to be inactivated:					

Federal Identification Number ____/____	Social Security No. (sole proprietor) ____/____/____
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LEGAL ORGANIZATION: Corporation Individual Partnership Limited Liability Company
 Foreign Corporation If Other, Indicate:

Name of Owner (entity or Individual)	
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List the name, date of birth and address of the sole proprietor, partners, members, etc.

Name of individual owner(s)	Date of Birth	Mailing Address

If corporate owner, provide names and addresses of officers and shareholders owning 5% or more. (Attach separate sheet if necessary). If no individual shareholder owns 5% or more, please state that fact below.

Shareholder's Name	Date of Birth	Mailing Address

Name(s) and license number(s) of all pharmacists employed by the pharmacy, including employer if employer is a pharmacist.

<u>Pharmacist Manager's Name</u>	<u>License Number</u>	<u>Hours Pharmacy open per week</u>	<u>Hours worked per week</u>

<u>Name of other Pharmacists employed here</u>	<u>License Number</u>	<u>Hours Pharmacy open per week</u>	<u>Hours worked per week</u>

Toll Free Number:	
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Indicate hours that the pharmacy is open for business.						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday

Drug Enforcement Administration:

Is the applicant registered under the Controlled Substances Act? If Yes, provide a copy of your DEA Number Issued.	Yes	No
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Vermont Mandatory "Good Standing" Declarations

CHILD SUPPORT:

Child Support Orders, 15 V.S.A. § 795

As of the date of this application: this business, and/or the person signing this form, (check one)

- Is not subject to a child support order; OR
 Is subject to a child support order and am in good standing* or in full compliance with a plan to pay
 Is not in good standing* or in full compliance with a plan to pay.*

TAXES:

Tax Compliance, 32 V.S.A. § 3113(b)

As of the date of this application: this business, and/or the person signing this form, (check one)

- Has never lived or worked in Vermont and do not owe Vermont taxes; OR
 Has no taxes due and payable and all required returns have been filed; OR
 Has the liability for any taxes due and payable on appeal; OR
 Is not in compliance with a payment plan approved by the Vermont Department of Taxes; OR
 Is not in good standing* with the Vermont Department of Taxes or in full compliance with a plan to pay.

UNEMPLOYMENT COMPENSATION:

Unemployment Compensation, 21 V.S.A. §1378(b)

As of the date of this application: this business, and/or the person signing this form, states that: (check one)

- This does not apply because this business or I have never been an employer in Vermont; OR
 No contributions or payments in lieu of contributions are due and payable; or the liability for any contributions or payments in lieu of contributions due and payable is on appeal; or the employing unit is in compliance with a payment plan approved by the commissioner; OR
 this business or I am not in good standing* or in full compliance with a plan to pay.

DISTRICT COURT FINES / JUDICIAL BUREAU:

Unpaid Judgments, 4 V.S.A. § 1110(c)

As of the date of this application: this business, and/or the person signing this form: (check one)

- Does not have any unpaid judgements
 Is in good standing* with respect to any unpaid judgment issued by the judicial bureau or district court for fines or penalties for a violation or criminal offense."
 Is not in good standing.*

* "Good standing" is defined in the statutes cited above. For more information, refer to the relevant statute specific to the particular question or consult the "information for applicants" on the OPR web page.

(www.vtprofessionals.org)

Please note, answers to the questions apply to the applicant, its owner or parent, subsidiaries or any another person or entity with a controlling interest in this organization.

Vermont Mandatory Credential and Fitness Questions

Please circle Yes or No for each of these questions. If "Yes," follow the provided instructions.

Has Vermont or any other state, federal authority, or other jurisdiction (US or elsewhere) denied an application for a license, certificate, or registration by this applicant to conduct business or perform professional services? <i>If "Yes," attach a copy of the order or official notification of the action(s).</i>	Yes	No
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Has Vermont or any other state, federal authority or other jurisdiction (US or elsewhere) restricted, suspended, revoked, or taken any other disciplinary action against a license, certificate, or registration held by this applicant to conduct business or perform professional services? <i>If "Yes," provide a copy of the order or official notification of the action.</i>	Yes	No
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Has the entity for which this application is submitted ever surrendered a license, certificate or registration to a licensing authority? <i>If "Yes," provide a detailed written explanation.</i>	Yes	No
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Is the entity for which this application is submitted currently under investigation by a licensing authority? <i>If "Yes," provide a detailed written explanation and a copy of any available information from the licensing authority.</i>	Yes	No
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Has the entity for which this application is submitted been convicted of a crime? <i>If "yes," provide a detailed written explanation and attach the official court documents.</i>	Yes	No
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Does the entity for which this application is submitted have any criminal charges pending against it in any jurisdiction (US or elsewhere)? <i>If "yes," provide a detailed written explanation and attach a copy of the charging documents.</i>	Yes	No
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Note: Vermont law requires that you report to the Office of Professional Regulation a felony conviction or any conviction of a crime related to the practice of your profession, within 30 days. 3 V.S.A. § 129a (a)(11).

Statement of Applicant

I certify, under the pains and penalties of perjury, that all information I have provided in this application is true and accurate. I understand that furnishing false information may constitute unprofessional conduct and result in the denial of this application for licensure/certification/registration. The maximum penalty for perjury is fifteen years in prison and/or a \$10,000 fine. (13 V.S.A. §2901) I further certify that I have read and understand the laws and rules of the profession (www.vtprofessionals.org).	
Signature of Applicant	Date
Print Name and Title of proprietor, partner, member or corporate officer:	

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AFFIRMATION

Name of Pharmacy (Applicant)			
Your Name			
Your Address City, State, Zip			
Date of Birth		Email Address	

Check Applicable position or title:

<input type="checkbox"/> Sole Proprietor	<input type="checkbox"/> Partner	<input type="checkbox"/> Corporate Officer
<input type="checkbox"/> Director	<input type="checkbox"/> Pharmacist-Manager	<input type="checkbox"/> Other

The Board's Rules require an Affirmation by the sole proprietor, all partners, members, or corporate officers and directors, and the pharmacist-manager, that they have not been convicted of, and are not under indictment for, any felony or misdemeanor arising from the violation of any drug or pharmacy related law.

Answer the questions below. If "Yes," provide documentation.

Have you been convicted of, or under indictment for, any felony or misdemeanor arising from the violation of any drug or pharmacy related law? If "Yes," attach court documents.	Yes	No
Has Vermont, any other state, territory, or other jurisdiction restricted, suspended, revoked, or taken any other disciplinary action against a license, certificate, or registration that you hold or held in any profession or occupation? If "Yes," provide a certified copy of the action.	Yes	No
Has Vermont, any other state, territory, or other jurisdiction denied your application for a license, certificate, or registration in any profession or occupation? If "Yes," provide a certified copy of the order or official notification of the Board action.	Yes	No

CERTIFICATION OF APPLICANT

I certify, under the pains and penalties of perjury, that all information I have provided in this application is true and accurate. I understand that furnishing false information may constitute unprofessional conduct and result in the denial of my application for licensure/certification/registration. (The maximum penalty for perjury is Fifteen years in prison and/or a \$10,000 fine.) (13 V.S.A. §2901)

Signature: _____ **Date:** _____

STATE OF _____ **COUNTY OF** _____ }ss.

Subscribed and sworn to before me this _____ **day of** _____, **20** _____

(year)

Commission Expires: _____

Notary Public

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STATEMENT(S) OF PHARMACIST MANAGER
Board Rule 16.2 (e) (f) and (g)

Name of Pharmacy	
Address of Pharmacy	

Print Your Name as Pharmacist Manager Attesting to Statements below	
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1. I certify that the Applicant has the ability to provide to the Board a record of a prescription drug order dispensed by the applicant to a resident of this state not later than 72 hours after a request for the record by the Board.
2. I certify that I am the pharmacist-manager and that I have read and understand the Vermont laws and rules relating to a non-resident pharmacy. <http://vtprofessionals.org/opr1/pharmacists/rules.asp>
3. I certify that during its regular hours of operation, but not fewer than six days per week, for a minimum of 40 hours per week, a toll-free telephone service is provided to facilitate communication between patients in this state and a pharmacist at the pharmacy who has access to the patients' records. The toll-free number must be disclosed on the label affixed to each container of drugs dispensed to residents of this state; and evidence that during its regular hours of operation, but not fewer than six days per week, for a minimum of 40 hours per week, a toll-free telephone service is provided to facilitate communication between patients in this state and a pharmacist at the pharmacy who has access to the patients' records. The toll-free number must be disclosed on the label affixed to each container of drugs dispensed to residents of this state.

Statement of Applicant

I certify, under the pains and penalties of perjury, that all information I have provided in this application is true and accurate. I understand that furnishing false information may constitute unprofessional conduct and result in the denial of my application or further disciplinary action. The maximum penalty for perjury is fifteen years in prison and/or a \$10,000 fine. (13 V.S.A. §2901)	
Signature of Pharmacist Manager	Date

Affix Prescription Label below or provide separately.