Vanguard Asset Transfer Authorization



Columbia University

Retirement Plan for Support Staff

Plan # 091010

| Account Inform | mation | | | | | | | | | | | |
|---|---|-----------------|--|--------------|--|---------------------------------------|--------------------|----------------------|---------------------|-------------|---------------------------|-----------|
| Social Security # | | _ | | | | | | | | | | |
| Name (Last, First, MI) | | | | | | | | | | | | |
| Address | | | | | | | | | | | | |
| City | | | | | | State | | | Z | ip [| | |
| Date of Birth: (mm/dd/yyyy) Date of Hire: (mm/dd/yyyy) | | | | | | | | | | | | |
| Daytime Phone #: | () | | | Evening l | Phone #: | () | | | | | | |
| Current Investment C For all other transfer, | please provide the | company's addre | ess. If y | ou are trans | ferring asse | ets to Var | guard fi | om mo | re than | one c | rganiza | |
| | please provide the parate forms. (This Name of Current In | company's addre | ess. If you | ou are trans | ferring asse | ets to Var | guard fi | om mo | re than | one c | rganiza | |
| For all other transfer, | please provide the parate forms. (This | company's addre | ess. If you | ou are trans | ferring asse | ets to Var | guard fi | om mo | re than | one c | rganiza | |
| For all other transfer, | please provide the parate forms. (This Name of Current In | company's addre | ess. If you | ou are trans | ferring asse | ets to Var | guard fi | om mo | re than | one c | rganiza | |
| For all other transfer, need to complete sep | Name of Current In Address | company's addre | ess. If your sed for any | ou are trans | ferring asse | ets to Var s. Please | guard fi | om mo | re than for form | one c | organiza | tion, you |
| For all other transfer, need to complete september of Account Info | Please provide the parate forms. (This Name of Current III) Address City Basic | company's addre | ess. If your sed for any | ou are trans | ferring asser RA transfer | Zip transfer funds pl | guard fi | om mo | re than for form | one c | from m | tion, you |
| For all other transfer, need to complete septimed to complete septimed to complete septimed account. Type of Account Account/Fund Name Account Number Transfer Amount | Name of Current II Address City Basic | company's addre | ess. If your sed for s | ou are trans | If you are accounts. Account Transfer | Zip transfer funds pl Account Fund Na | guard fice contact | sets to omplet Basic | Vangle addi | uard tional | from m forms Supple | ore than |

(10/12/2009)

www.vanguard.com

800-523-1188

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IV. Investment Instructions to Vanguard

| | Please invest my | qualified rollove | er monev usina n | ny current allocations. |
|-----|------------------|-------------------|------------------|-------------------------|
| - 1 | | | | |

-OR-

Future employer contributions to the Vanguard Section 403(b)(7) Custodial Account on behalf of the Employee shall be invested in the Vanguard Funds as follows:

Target Retirement Funds

If you choose a Vanguard Target Retirement Fund, it is advised that you specify one fund in which to invest 100% of your contributions.

| Fund | | | Fund | | |
|--------|--------------------------------------|------------|--------|--|------------|
| Code | Fund Name | Allocation | Code | Fund Name | Allocation |
| 000699 | Vanguard Target Retirement 2050 Fund | | 000682 | Vanguard Target Retirement 2020 Fund | |
| 000306 | Vanguard Target Retirement 2045 Fund | | 000303 | Vanguard Target Retirement 2015 Fund | |
| 000696 | Vanguard Target Retirement 2040 Fund | | 000681 | Vanguard Target Retirement 2010 Fund | |
| 000305 | Vanguard Target Retirement 2035 Fund | | 000302 | Vanguard Target Retirement 2005 Fund | |
| 000695 | Vanguard Target Retirement 2030 Fund | | 000308 | Vanguard Target Retirement Income Fund | |
| 000304 | Vanguard Target Retirement 2025 Fund | | | | |

Core Funds

Or for the following list of funds, specify percentages in 1% increments. The total must equal 100%.

| Fund Code | Fund Name | Allocation | Fund Code | Fund Name | Allocation |
|--------------|--|------------|--------------|--|------------|
| 000030 | Vanguard Prime Money Market Fund | | 000098 | Vanguard Extended Market Index Fund | |
| 000036 | Vanguard GNMA Fund | | 0000XJ | Vanguard FTSE Social Index Fund | |
| 000029 | Vanguard High-Yield Corporate Fund* | | 000093 | Vanguard Growth and Income Fund | |
| 0000XT | Vanguard Inflation-Protected Securities Fund | | 0000XW | Vanguard Growth Equity Fund | |
| 00003D | Vanguard Intermediate-Term Bond Index Fund | | 000009 | Vanguard Growth Index Fund | |
| 000071 | Vanguard Intermediate-Term Investment-Grade Fund | | 000301 | Vanguard Mid-Cap Growth Fund | |
| 000035 | Vanguard Intermediate-Term Treasury Fund | | 0000X9 | Vanguard Mid-Cap Index Fund | |
| 00005K | Vanguard Long-Term Bond Index Fund | | 000026 | Vanguard Morgan Growth Fund | |
| 000028 | Vanguard Long-Term Investment-Grade Fund | | 000059 | Vanguard PRIMECAP Fund* | |
| 000083 | Vanguard Long-Term Treasury Fund | | 00009U | Vanguard Selected Value Fund* | |
| 00001S | Vanguard Short-Term Bond Index Fund | | 0000XB | Vanguard Small-Cap Growth Index Fund | |
| 000049 | Vanguard Short-Term Federal Fund | | 000048 | Vanguard Small-Cap Index Fund | |
| 000039 | Vanguard Short-Term Investment-Grade Fund | | 0000XA | Vanguard Small-Cap Value Index Fund | |
| 000032 | Vanguard Short-Term Treasury Fund | | 00001D | Vanguard Strategic Equity Fund | |
| 000084 | Vanguard Total Bond Market Index Fund | | 000085 | Vanguard Total Stock Market Index Fund | |
| 000078 | Vanguard Asset Allocation Fund | | 080000 | Vanguard U.S. Growth Fund | |
| 000002 | Vanguard Balanced Index Fund | | 0000XV | Vanguard U.S. Value Fund | |
| 000082 | Vanguard Convertible Securities Fund* | | 000006 | Vanguard Value Index Fund | |
| 00007M | Vanguard LifeStrategy Conservative Growth Fund | | 000022 | Vanguard Windsor Fund | |
| 00001K | Vanguard LifeStrategy Growth Fund | | 000073 | Vanguard Windsor II Fund | |
| 00007L | Vanguard LifeStrategy Income Fund | | 0000XM | Vanguard Developed Markets Index Fund* | |
| 00009D | Vanguard LifeStrategy Moderate Growth Fund | | 00005T | Vanguard Emerging Markets Stock Index Fund* | |
| 000056 | Vanguard STAR Fund | | 000079 | Vanguard European Stock Index Fund* | |
| 000027 | Vanguard Wellesley Income Fund | | 00001R | Vanguard Global Equity Fund | |
| 000021 | Vanguard Wellington Fund | | 000081 | Vanguard International Growth Fund* | |
| 000040 | Vanguard 500 Index Fund | | 000046 | Vanguard International Value Fund* | |
| 00001A | Vanguard Capital Opportunity Fund* | | 000126 | Vanguard International Explorer Fund* | |
| 00007G | Vanguard Capital Value Fund | | 000072 | Vanguard Pacific Stock Index Fund* | |
| 000057 | Vanguard Dividend Growth Fund | | 00001C | Vanguard Total International Stock Index Fund* | |
| 000065 | Vanguard Equity Income Fund | | 000051 | Vanguard Energy Fund* | |
| 000024 | Vanguard Explorer Fund | | 000052 | Vanguard Health Care Fund* | |
| 000053 | Vanguard Precious Metals & Mining Fund* | | 00001L | Vanguard REIT Index Fund* | |

Your allocations must equal 100%

1 0 0

Note: If you fail to complete the investment elections above, your contribution will automatically be invested in the appropriate Vanguard Target Retirement Fund based on your age.

^{*}There is a purchase and/or a redemption fee for this fund. Please review the fund's prospectus for further details.

V. Acceptance

(a) Individual Acceptance: I hereby agree to the terms and conditions set forth in this Asset Transfer Authorization, and acknowledge having established a Vanguard Section 403(b)(7) Custodial Account through execution of an Enrollment form for a Vanguard Section 403(b)(7) Custodial Account. I hereby direct the investment company named in section 2 above to liquidate the designated amount of the account listed in Section 3, and to transfer the proceeds to my Vanguard Section 403(b)(7) Custodial Account.

I also acknowledge that I have read the prospectus(es) for the Vanguard Fund(s) into which I am transferring my 403(b)(7) assets.

(b) **Custodian Acceptance**: Vanguard Fiduciary Trust Company hereby agrees to accept the transfer described above and upon receipt will deposit the proceeds into the Vanguard Section 403(b)(7) Custodial Account established on behalf of the Individual.

| Individual Signature | Date |
|----------------------|------|

Mil J. Sundley Senior Vice President

Authorized Signature Title

Please return form and check to:

Vanguard: Attention Plan 091010 400 Devon Park Drive Wayne, PA 19087

Please make a copy for your records.

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