

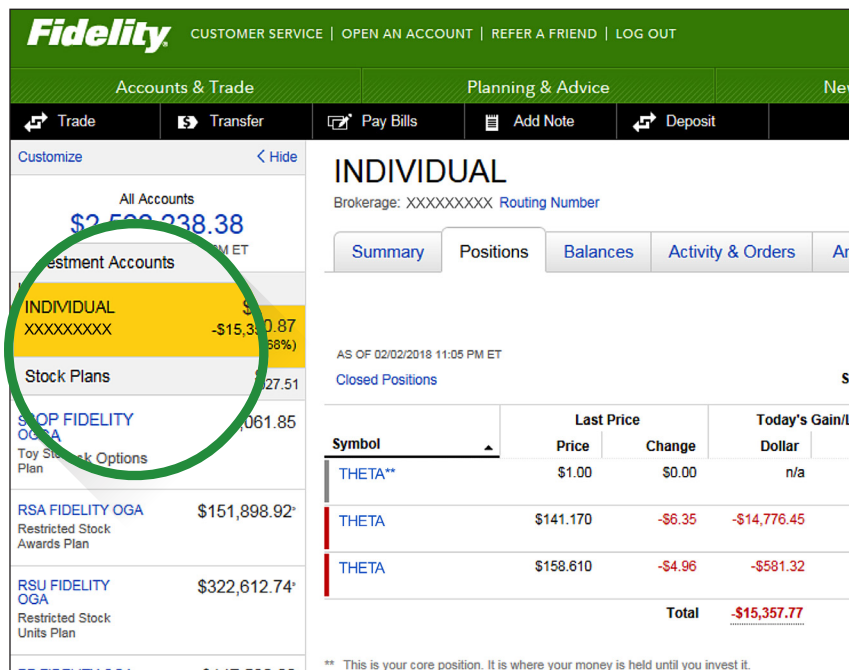
Selling Shares in Your Fidelity Account®

Shares from your stock plan must be deposited into your Fidelity Account® and may need to meet certain conditions* before they can be sold for cash. If those conditions are met, here are steps on how to trade in order to sell your shares.

Placing a trade to sell stock

- ▶ Log in to **NetBenefits.com** and on the Stock Plan Summary page, select your Fidelity Account.
 - ▶ Or, access your individual brokerage account directly by going to **Fidelity.com**.

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The screenshot shows the Fidelity website interface. On the left, there is a sidebar with 'All Accounts' totaling \$2,500,238.38. A green circle highlights the 'INDIVIDUAL' account with a balance of -\$15,357.77. Below this, there are sections for 'Stock Plans' and 'STOP FIDELITY OGA'. The main content area is titled 'INDIVIDUAL' and shows a table of 'Closed Positions' as of 02/02/2018 11:05 PM ET. The table lists three positions for 'THETA' stock, with a total loss of -\$15,357.77.

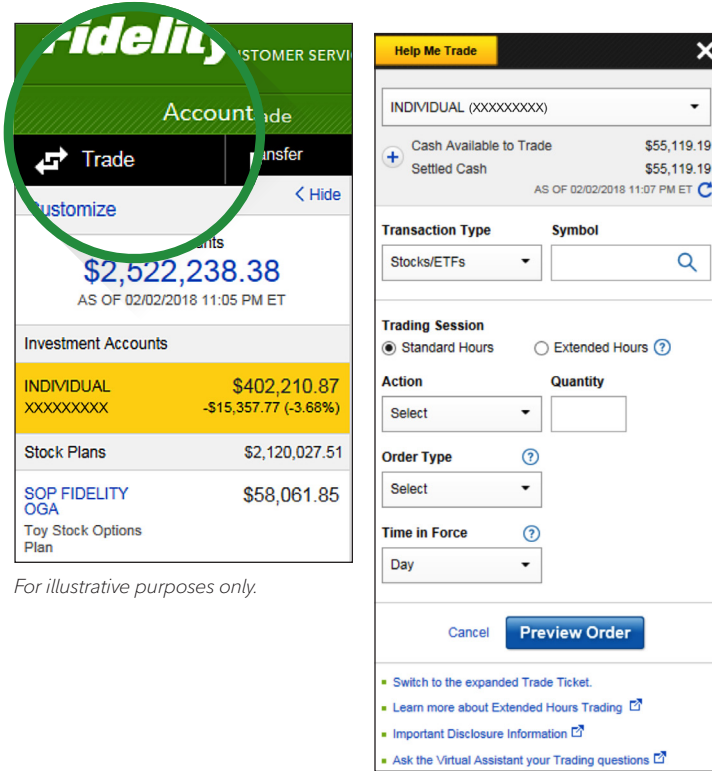
Symbol	Price	Change	Today's Gain/L
THETA**	\$1.00	\$0.00	n/a
THETA	\$141.170	-\$6.35	-\$14,776.45
THETA	\$158.610	-\$4.96	-\$581.32
Total			-\$15,357.77

** This is your core position. It is where your money is held until you invest it.

- ▶ On the All Accounts page, select your individual brokerage account.
- Note:* To learn more about trading and other related topics, visit the Learning Center at [Fidelity.com/learning-center](https://www.fidelity.com/learning-center).

For illustrative purposes only.

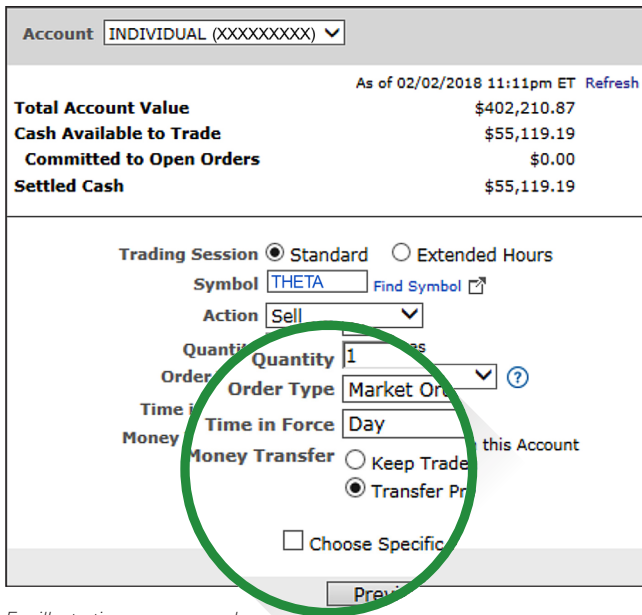
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For illustrative purposes only.

- ▶ From the top menu bar, select *Trade*.
- ▶ You will immediately see a Trade Ticket appear.
 - Enter your company's trading symbol.
 - Under **Action**, select *Sell*.

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For illustrative purposes only.

Complete the details of your order on this ticket.

- ▶ Enter your preferred number of shares under **Quantity** (whole shares only).
- ▶ Under **Order Type**, choose *Market Order* to guarantee that your sale is done as soon as possible. (See last page for information on other order types.)
- ▶ Be certain *Day* is selected under **Time in Force**. (See last page for time limit definitions.)
- ▶ Select *Transfer Proceeds* under **Money Transfer**.
- ▶ Select *Choose Specific Shares* if you want to sell specific "lots."

When you have finished entering information, click *Preview Order*.

See Understanding the Language of Stock Trading on page 5 for helpful information.

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Amount to Transfer

Total Estimated Order Value:(including commission) \$ 133.22
 Partial Amount: \$

Transfer to: [How to add a bank account](#)

Brokerage
 Account Name: INDIVIDUAL
 Account Number: XXXXXXXXXX
 Timing: Day of settlement

My mailing address by check
 Account Name: [REDACTED]
 [REDACTED]
 [REDACTED]
 Timing: Three to five business days from settlement

The cash proceeds normally take three business days to settle in the account. You are limited to transferring \$100,000 per day out of your account.
 When requesting a disbursement, all transfers that are executed on the same day will be aggregated into one check, EFT or wire based on your selection. Applicable commission and fees will be deducted from the amount transferred.
 For more information on money movement, see [electronic funds transfer disclosures](#).
 By clicking Next, you are agreeing that the transaction information is correct and you are authorizing Fidelity to execute this transaction on your behalf.

▶ If you chose *Transfer Proceeds*, you can next designate where the proceeds (cash) should be sent.

For illustrative purposes only.

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Order Information	
Account	XXXXXXXXXX
Symbol	THETA
Description	THETA INC
Action	Sell
Quantity	1 Shares
Order Type	Market
Time in Force	Day
Conditions	None
Trade Type	Cash
Estimated Order Value:	\$141.17
Estimated Commission:	\$7.95
Estimated Order Value (including commission):	133.22

[< Edit Order](#)

Provide transfer details on next screen.

Related Links

- [Quick Balances](#)
- [Quick Orders](#)
- [Quick Positions](#)

Quote Information



As of:	02/02/2018, 8:00 PM
Last Price:	141.17
Bid Price:	140.00
Ask Price:	300.00

If needed, you can change your order by clicking *Edit Order*.

To complete your transaction, review the order information and select *Place Order*.

For illustrative purposes only.

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How was your trade experience?  

Your order has been placed and received by Fidelity.

Order Confirmation Number: **B02BBGDL**

Please [print](#) this confirmation for your records.

Please note that it will be up to two business days for your transaction to settle at Fidelity. It will take an additional five business days before your check is received

Order

Account	XXXXXXXXXX
Symbol	THETA
Description	THETA INC
Action	Sell
Quantity	1 Shares
Order Type	Market
Time in Force	Day

For illustrative purposes only.

Wait for a confirmation number to appear to acknowledge that your transaction has been accepted. Keep a record of the confirmation number for future reference, should you need it.

Note: It can take up to three business days for your transaction to settle. Proceeds are available thereafter.

Download the [Fidelity Mobile® app](#) so you can trade on the go.

Visit Fidelity's Learning Center on [Fidelity.com/learning-center](https://www.fidelity.com/learning-center) for more information about trades, including online videos and tutorials.

Questions? Call a Fidelity Stock Plan Services Representative at 1-800-544-9354.

Understanding the Language of Stock Trading

A **lot** refers to the number of shares you purchased in one transaction. For example, if you bought the same stock a few times during the year, you will have purchased multiple lots.

Position refers to the stock you hold in your account for a particular company. For example, if you hold stock in two different companies within your account, you have “two positions.”



Types of Trade Orders

Market—A *market order* instructs Fidelity to buy or sell securities for your account at the next available price. It remains in effect only for the day and usually results in the prompt purchase or sale of all the shares of stock, options contracts, or bonds in question, as long as the security is actively traded and market conditions permit. In a sense, a market order guarantees the actual purchase or sale but not the specific price.†

Limit—When you place a *limit order to buy*, the stock is eligible to be purchased at or below your limit price, but never above it. A *limit order to sell* sets a minimum price on the sale, meaning it will never sell below this price; however, it could be sold at a higher price. You could say that a limit order guarantees you a price but doesn’t guarantee that the market can actually fill your order.

You may place limit orders either for the day on which they are entered (a day order) or for a period that ends when it is executed or when you cancel (an open order or good-’til-canceled [GTC] order).

Stop orders—*Stop orders* are generally used to protect a profit or to prevent further loss if the price of a security moves against you. They can also be used to establish a position in a security if it reaches a certain price threshold or to close a short position. Not all securities or trading sessions (pre- and post-market) are eligible for stop orders.



Time Limits of Trades

You can place a time limitation on a stock trade order by selecting one of the following time-in-force types:

Day—This limitation has a default expiration time of 4:00 p.m. Eastern time (ET). You may select your own order expiration time from 10:00 a.m. ET to 4:00 p.m. ET, in 30-minute increments (10:00 a.m., 10:30 a.m., 11:00 a.m., etc.). If all or part of your order is not executed by the time you’ve selected for expiration, your order will be canceled.

Good ‘til canceled—For orders placed on Fidelity.com, this limitation has a default order expiration date of 180 calendar days from the order entry date at 4:00 p.m. ET. You may select your own order expiration date and/or time, up to 180 calendar days from the order entry date. If all or part of your order is not executed by the date and/or time you’ve selected for expiration, any open portions of your order will be canceled.

Fill or kill—*Fill or kill* requires that the order is immediately completed in its entirety or canceled. It is used only under very special circumstances. If you do not fully understand how to use fill or kill, speak with a Fidelity Representative before placing this limitation on an order.

Immediate or cancel—This requires that a broker immediately enter a bid or offer at a limit price you specify. All or a portion of the order can be executed. Any portion of the order not immediately completed is canceled.

On the open—The order must be executed as close as possible to the opening price for a security. All or any part of the order that cannot be executed at the opening price is canceled.

On the close—The order must be executed as close as possible to the closing price for a security. All or any part of the order that cannot be executed at the closing price is canceled.

See [Fidelity.com/trading/faqs-order-types](https://www.fidelity.com/trading/faqs-order-types) for more information about order types and conditions.

Control and restricted securities must be sold in accordance with SEC Rule 144 requirements. Additional documentation, approval, and conditions must be met prior to selling, which may limit your ability to sell at a specified time. Stock markets are volatile and can fluctuate significantly in response to company, industry, political, regulatory, market, or economic developments. **Investing in stock involves risks, including the loss of principal.**

*Check your plan documents for any rules that may require you to hold your stock for a specified period.

[†]In order to maintain a fair and orderly market, most market centers generally do not accept cancellation requests after 9:28 a.m. ET for market orders eligible for execution at 9:30 a.m. ET, when the market opens. Acceptance of a cancellation request by Fidelity between 9:28 a.m. and 9:30 a.m. ET does not guarantee an order cancellation. All requests to cancel an order are processed on a best-efforts basis.

System availability and response times may be subject to market conditions.

Commissions and fees will apply to trades.

You can place your brokerage orders when markets are open or closed. However, orders placed when the markets are closed are subject to market conditions existing when the markets reopen unless trades are made during an extended hours session. Please see Fidelity.com for details and other important information about trading and fees.

Recordkeeping and administrative services for your company's equity compensation plans are provided by your company and its service providers.