

NAADAC Code of Ethics

Part I: Overview of the 2016 Revised Code

Presented by Mita Johnson, EdD, LAC, MAC, SAP



January 25, 2017



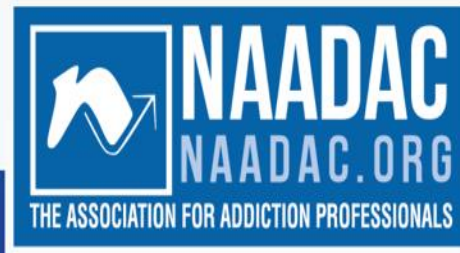
Thomas Durham, PhD

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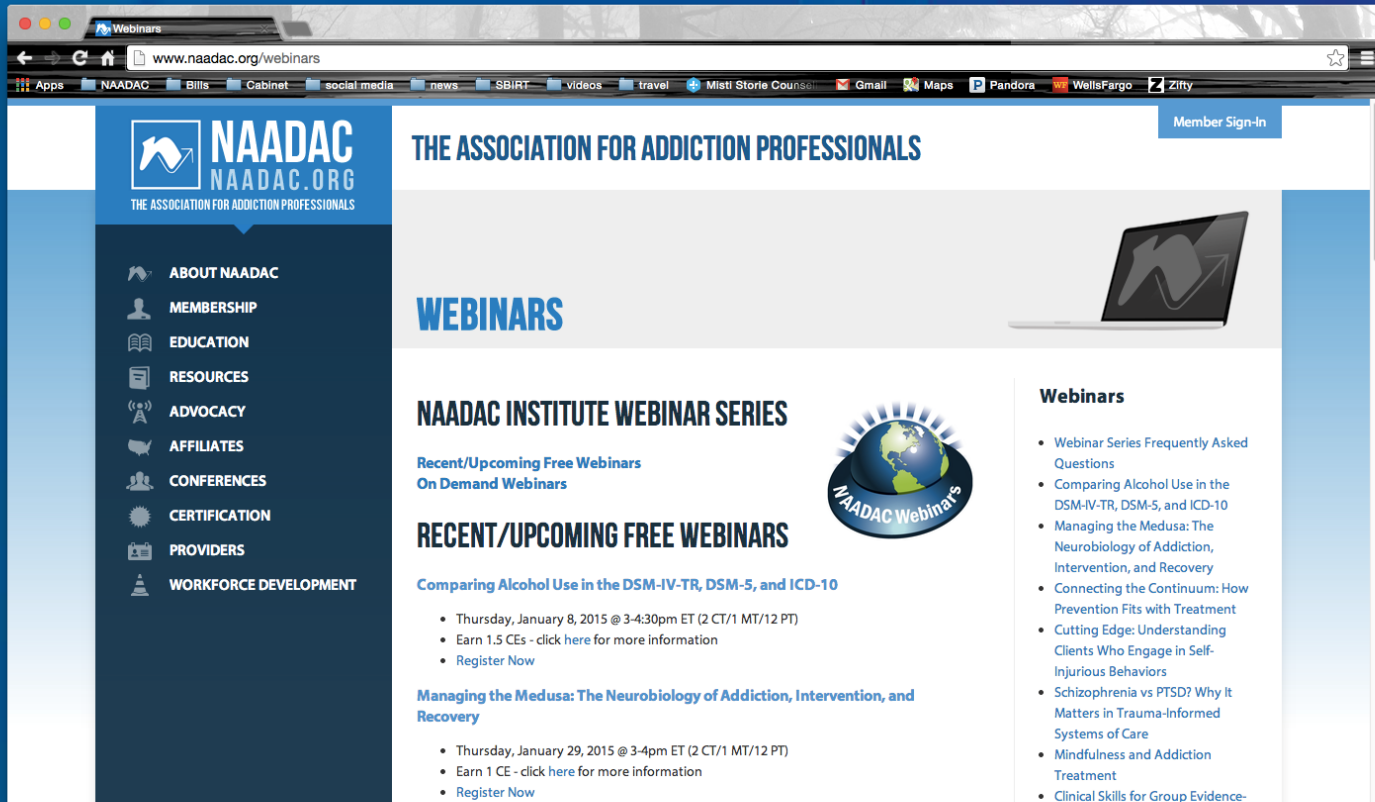
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The screenshot shows a web browser window displaying the NAADAC website. The address bar shows 'www.naadac.org/webinars'. The browser's toolbar includes various icons for navigation and utility. The website header features the NAADAC logo and the text 'THE ASSOCIATION FOR ADDICTION PROFESSIONALS'. A 'Member Sign-In' button is located in the top right corner. A dark blue sidebar on the left contains a vertical menu with icons and text for: ABOUT NAADAC, MEMBERSHIP, EDUCATION, RESOURCES, ADVOCACY, AFFILIATES, CONFERENCES, CERTIFICATION, PROVIDERS, and WORKFORCE DEVELOPMENT. The main content area is titled 'WEBINARS' and features a laptop icon with a graph. Below this, there are two sections: 'NAADAC INSTITUTE WEBINAR SERIES' and 'RECENT/UPCOMING FREE WEBINARS'. The 'RECENT/UPCOMING FREE WEBINARS' section lists two webinars: 'Comparing Alcohol Use in the DSM-IV-TR, DSM-5, and ICD-10' and 'Managing the Medusa: The Neurobiology of Addiction, Intervention, and Recovery'. A 'Webinars' section on the right lists several topics, including 'Webinar Series Frequently Asked Questions', 'Comparing Alcohol Use in the DSM-IV-TR, DSM-5, and ICD-10', 'Managing the Medusa: The Neurobiology of Addiction, Intervention, and Recovery', 'Connecting the Continuum: How Prevention Fits with Treatment', 'Cutting Edge: Understanding Clients Who Engage in Self-Injurious Behaviors', 'Schizophrenia vs PTSD? Why It Matters in Trauma-Informed Systems of Care', 'Mindfulness and Addiction Treatment', and 'Clinical Skills for Group Evidence-'. A globe icon with 'NAADAC Webinars' is positioned between the two main webinar sections.

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WEBINARS

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On Demand Webinars

RECENT/UPCOMING FREE WEBINARS

Comparing Alcohol Use in the DSM-IV-TR, DSM-5, and ICD-10

- Thursday, January 8, 2015 @ 3-4:30pm ET (2 CT/1 MT/12 PT)
- Earn 1.5 CEs - [click here for more information](#)
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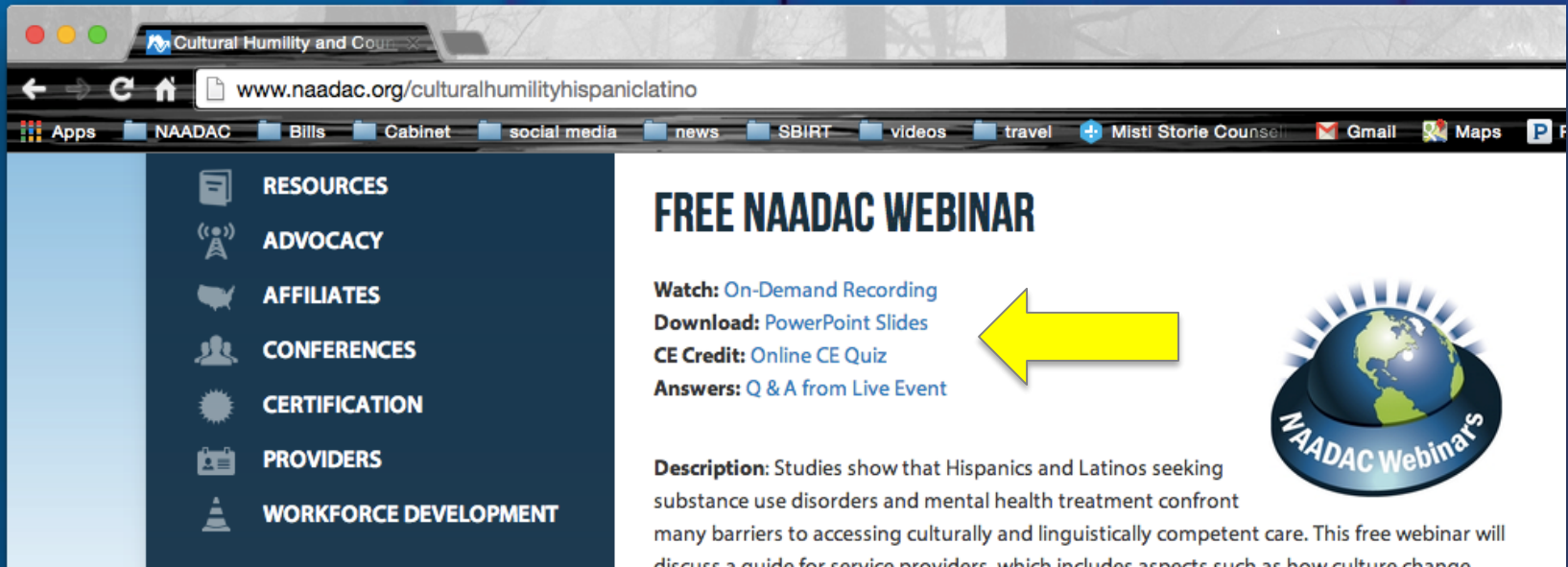
Managing the Medusa: The Neurobiology of Addiction, Intervention, and Recovery

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Webinars

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- Comparing Alcohol Use in the DSM-IV-TR, DSM-5, and ICD-10
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www.naadac.org/codeofethicswebinar-part1



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On the left side of the page, there is a dark blue sidebar with a list of menu items, each accompanied by an icon:

- RESOURCES (document icon)
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The main content area features a white background with the following text:

FREE NAADAC WEBINAR

Watch: [On-Demand Recording](#)
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Answers: [Q & A from Live Event](#)

A large yellow arrow points from the "Download: PowerPoint Slides" link towards the right.

Description: Studies show that Hispanics and Latinos seeking substance use disorders and mental health treatment confront many barriers to accessing culturally and linguistically competent care. This free webinar will discuss a guide for service providers, which includes aspects such as how culture change

On the right side of the main content area, there is a circular logo for "NAADAC Webinars" featuring a globe with sun rays emanating from the top.

**Cost to Watch:
Free**

**CE Hours
Available:
1 CEs**

**CE Certificate for
NAADAC
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**CE Certificate for
Non-members:
\$15**

CE Certificate

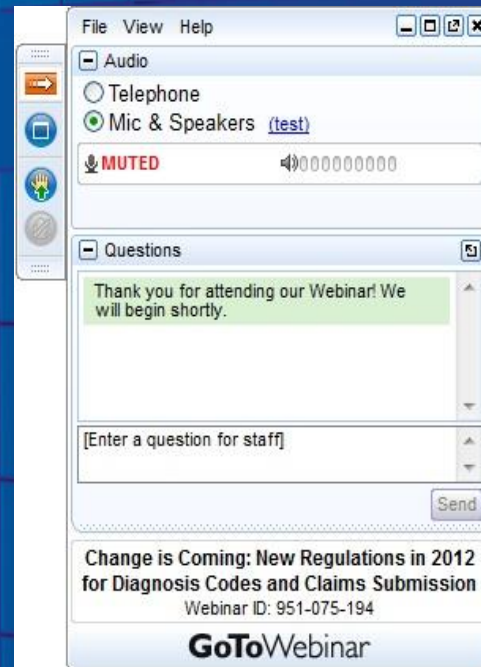
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Using GoToWebinar – *(Live Participants Only)*

- Control Panel
- Asking Questions
- Audio (phone preferred)
- Polling Questions





Webinar Presenter

Mita Johnson, EdD, LAC, MAC, SAP

NAADAC Ethics Committee Chair

mitamjohnson@gmail.com

Core Faculty

Your Walden University

Webinar Objectives



1. Define: Code of Ethics
2. Explain need for a Code of Ethics
3. Describe who is covered by this Code
4. Define what are standards of practice
5. Define what constitutes ethical practice
6. Introduce Ethical Decision Making Model
7. Introduce the new Code and 9 Guiding Principles
8. Highlight key aspects of Principle I and II



We are accountable ...



Addiction Professionals understand and accept their responsibility to ensure the safety and welfare of their client, and to act for the good of each client while exercising respect, sensitivity, and compassion.

Providers shall treat each client with dignity, honor and respect, and act in the best interest of each client.



Code of Ethics



- ✓ A Code of Ethics is a written set of guidelines – developed, published and regularly revised by NAADAC – that guides its organization and members.
- ✓ The NAADAC/NCC AP Code of Ethics provides in-depth and clear guidance and direction to those who work directly or indirectly with people struggling with substance use or addictive behavior disorders.



The Scope of the Code



- ✓ the counseling relationship
- ✓ confidentiality and privileged communication
- ✓ boundaries of practice
- ✓ professional responsibilities
- ✓ workplace standards
- ✓ needs in a culturally diverse world
- ✓ clinical supervision
- ✓ e-therapy, e-supervision and social media



Who is covered by Code?



- ✓ The NAADAC Code of Ethics provides guidance and direction to individual providers, service organizations, state or other regulatory boards, credentialing bodies, educators and trainers, legislators, and other related parties.
- ✓ When a ethical complaint is filed with NAADAC, the Ethics Committee uses the Code of Ethics to determine if a principle as been violated and what actions need to be taken.



Standards of Practice



- ✓ Standards of practice include those methods or techniques that are generally accepted as best practices for working with our population.
- ✓ Standards of practice are embedded in the Code of Ethics to deliver and maintain the highest level of care and to provide standards that can be used for self-assessment and corrective action.
- ✓ The Code of Ethics are used by the Ethics Committee to determine how to act on ethical complaints submitted.



Ethical and Effective Clinicians ...



- ✓ identify with people rather than with things
- ✓ has a capacity to self-regulate
- ✓ is warm and accessible
- ✓ inspires confidence and trust
- ✓ displays maturity
- ✓ is self-actualized
- ✓ is high-functioning
- ✓ cares deeply about others
- ✓ is compassionate and empathetic
- ✓ advocates to remedy injustices



Components of Ethical Practice



- *awareness of one's self and one's values*
- *awareness of cultural experiences and its influence*
- *ability to engage in critical thinking skills*
- *ability to analyze one's own feelings*
- *ability to be a model and influence*
- *ability to be altruistic, empathetic, compassionate*
- *ownership of strong personal ethical principles*
- *ability to take responsibility for one's own actions*
- *ability to serve as a catalyst for empowerment*



Ethical Decision-Making Model



1. *Identify the problem. Engage supervision/consultation.*
2. *Determine the nature and dimensions of the dilemma.*
3. *Determine which Principles of the NAADAC Code of Ethics apply.*
4. *Generate list of potential courses of action.*
5. *Determine the potential benefits and risks of each option under consideration.*
6. *Evaluate and choose the identified course of action.*
7. *Implement the course of action.*
8. *Assess the outcome of the action.*
9. *Make adjustments or start over if necessary.*



Time for an update ...



NAADAC and NCC-AP revised the Code of Ethics to address changes in how services are being delivered and by whom. The revised Code went into full implementation on October 9, 2016. Changes reflect:

- *the internet has changed how we do business,*
- *cultural humility is the expectation – microaggressions are not acceptable,*
- *boundaries are more permeable,*
- *the DSM-5 has expanded into addictive behaviors and not just substance use disorders, and*
- *confidentiality concerns are multidimensional.*



Revised Code of Ethics - Introduction: *Inherent Values of A Clinician*



- ☞ Autonomy
- ☞ Conscientious Refusal
- ☞ Gratitude
- ☞ Justice
- ☞ Fidelity
- ☞ Diligence
- ☞ Self-Improvement
- ☞ Restitution
- ☞ Obedience
- ☞ Beneficence
- ☞ Competence
- ☞ Stewardship
- ☞ Loyalty
- ☞ Discretion
- ☞ Non-maleficance
- ☞ Self-Interest



New Code: October 9, 2016



- *Introduction*
- Principle I: The Counseling Relationship
- Principle II: Confidentiality and Privileged Communication
- Principle III: Professional Responsibilities and Workplace Standards
- Principle IV: Working in a Culturally Diverse World



New Code: October 9, 2016



- Principle V: Assessment, Evaluation, and Interpretation
- Principle VI: e-Therapy, e-Supervision and Social Media
- Principle VII: Supervision and Consultation
- Principle VIII: Resolving Ethical Concerns
- Principle IX: Publication and Communication



Principle I: The Counseling Relationship



- ☞ Client welfare, advocacy*
- ☞ Informed consent, disclosures, limits of confidentiality*
- ☞ Diversity, discrimination, mandated clients*
- ☞ Boundaries, dual relationships, previous relationships*
- ☞ Treatment planning, referrals, level of care*
- ☞ Documentation, withholding records or reports*
- ☞ Termination, coverage, abandonment*
- ☞ Self-referrals, commissions, enterprises*
- ☞ Bartering, gifts*



Principle II: Confidentiality & Privileged Communication



- Confidentiality*
- Documentation, access, sharing*
- Disclosures, privacy, limits of confidentiality*
- Imminent danger*
- Courts*
- Multidisciplinary care, sharing information*
- Location, payers, encryption*
- Recording, storage and disposal, transfer of records*
- Infectious diseases*
- Termination*



Questions and Comments



We welcome your questions and comments.





Thank You!

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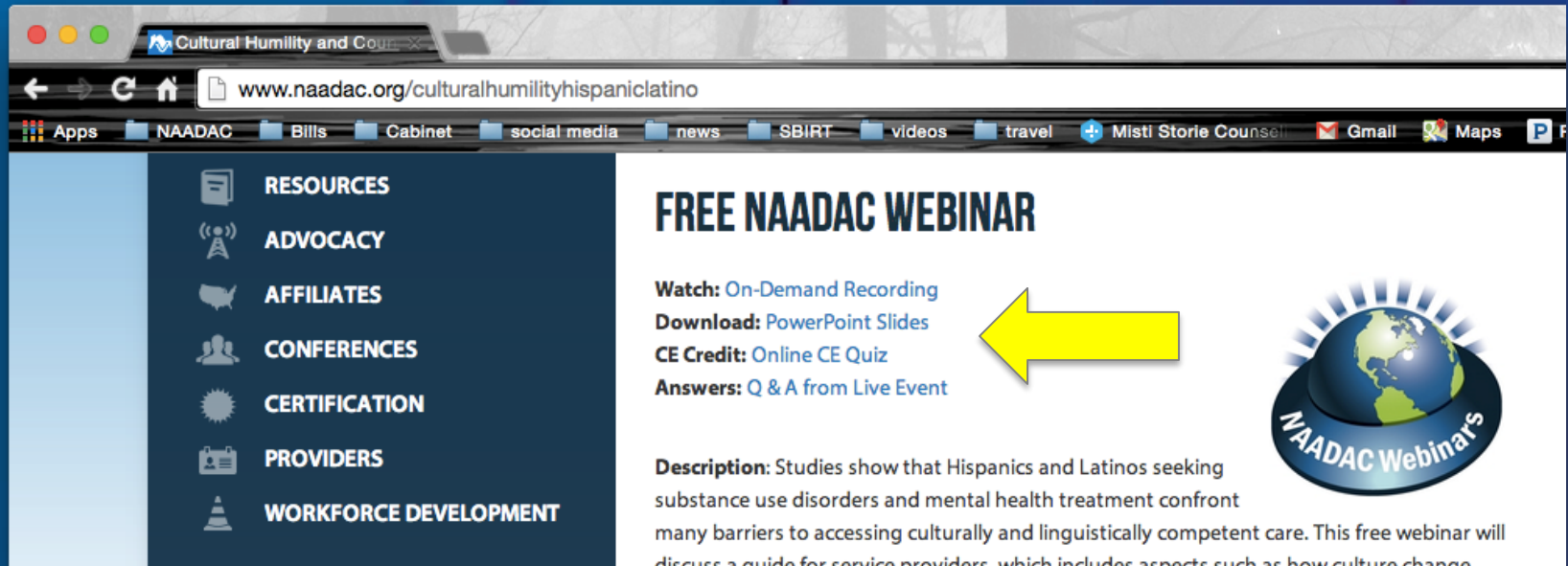
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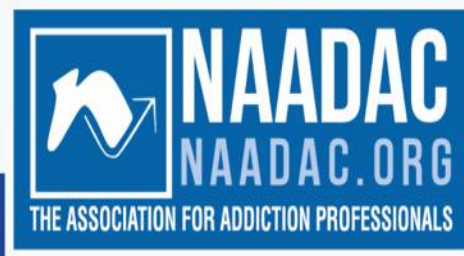
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Upcoming Webinars



February 9, 2017

Diathesis Stress Model of Addiction:
Etiology of Substance-Related and
Addictive Disorders
by Darryl Inaba



March 8, 2017

Improving Retention, Outcomes and
Supervision with PCOMS
By George Braucht



February 22, 2017

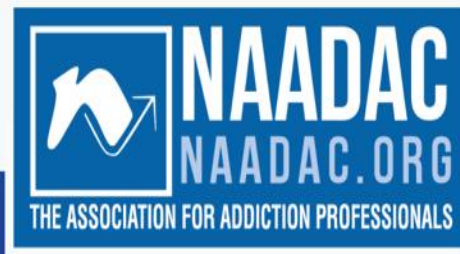
NAADAC Code of Ethics, Part 2
by Mita Johnson



March 22, 2017

Six Types of Sex Use Disorder
by Douglass Weiss

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Free CEs for Members

Levels:
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WEBINAR SERIES

Over 75 CEs of free educational webinars are available. Education credits are FREE for NAADAC members.

MAGAZINE ARTICLES

In each issue of *Advances in Addiction & Recovery*, NAADAC's magazine, one article is eligible for CEs.

FACE-TO-FACE SEMINARS

NAADAC offers face-to-face seminars of varying lengths in the U.S. and abroad.



INDEPENDENT STUDY COURSES

Earn CEs at home and at your own pace (includes study guide and online examination).

CONFERENCES

NAADAC Annual Conference, September 22-26, 2017, Denver, CO

CERTIFICATE PROGRAMS

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