



100 State Street  
Boston, MA 02109  
Tel: 617.407.7154

SEP 05 2017

RECEIVED

September 5th, 2017

Massachusetts Department of Public Health  
Medical Use of Marijuana Program  
RMD Applications  
99 Chauncy Street, 11th Floor  
Boston, MA 02111

Re: Evergreen Farms Application of Intent 1 of 1

Mr. Sheehan:

In response to your Request for Additional Information from July 7th, 2017, please find attached several documents for your review.:

1. We have included Evergreen's Certificate of Legal Existence.
2. We have included updated versions of page 1 of the Character and Competency forms for Benjamin Smith and Richard Kinyua, which reflect their additional roles of individual responsible for cultivation and CFO, respectively. We have also included Character and Competency and corporate background check authorization forms for DGA Security, the entity which we have designated responsible for RMD security.
3. We have included an updated page 4 of the Application of Intent which includes an answer to question A7.
4. We have included an updated financial summary and documentation for Hugh O'Donnell.

Should you have any further questions or require additional information to process this request in a timely manner, please contact me at (617) 407-7154 or [Ben@evergreenmass.com](mailto:Ben@evergreenmass.com).

Sincerely,

Benjamin Smith  
CEO



William Francis Galvin  
Secretary of the  
Commonwealth

*The Commonwealth of Massachusetts*  
*Secretary of the Commonwealth*  
*State House, Boston, Massachusetts 02133*

Date: April 28, 2017

To Whom It May Concern :

I hereby certify that

**EVERGREEN FARMS, INC.**

appears by the records of this office to have been incorporated under the General Laws of this  
Commonwealth on **April 26, 2017** (Chapter 180).

I also certify that so far as appears of record here, said corporation still has legal existence.



In testimony of which,  
I have hereunto affixed the  
Great Seal of the Commonwealth  
on the date first above written.

*William Francis Galvin*

Secretary of the Commonwealth

Certificate Number: 17050003880

Verify this Certificate at: <http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx>

Processed by:

**SECTION A. APPLICANT INFORMATION**

1. Evergreen Farms, Inc.  
Legal name of Corporation
2. Benjamin Smith  
Name of Corporation's Chief Executive Officer
3. 5 Studley Road  
Hingham, MA  
02043  
Address of Corporation (Street, City/Town, Zip Code)
4. Benjamin Smith  
Applicant point of contact (name of person the Department should contact regarding this application)
5. 617-407-7154  
Applicant point of contact's telephone number
6. ben@evergreenmass.com  
Applicant point of contact's e-mail address
7. Number of applications: How many *Applications of Intent* do you intend to submit? 1

**SECTION B. INCORPORATION**

8. Attach a *Certificate of Legal Existence* from the Massachusetts Secretary of State, documenting that the applicant non-profit entity is incorporated as a non-profit in Massachusetts.

**SECTION C. CHARACTER AND COMPETENCY**

9. Attach a *Character and Competency* form (use template provided) for each of the following actors:
  - The Chief Executive Officer; Chief Operating Officer; Chief Financial Officer; individual/entity responsible for marijuana for medical use cultivation operations; individual/entity responsible for the RMD security plan and security operations; each member of the Board of Directors; each Member of the Corporation, if any; and each person and entity known to date that is committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, the *Character and Competency* Form must be completed and signed by the entity's Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.

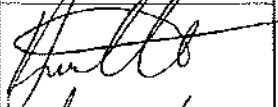
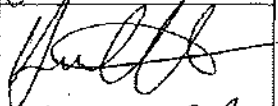
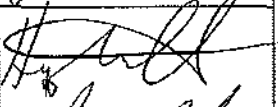
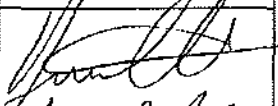
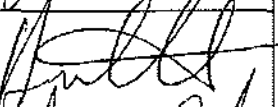


Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: BS

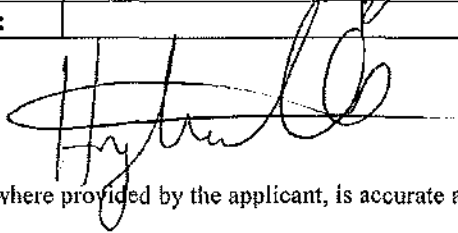
**SECTION D. INITIAL CAPITAL REQUIREMENT**

Describe the sources, types, and amounts of required initial capital in the table below, showing that the Corporation has at least \$500,000 in its control and available for this *Application of Intent* and at least \$400,000 in its control and available for each additional *Application of Intent*, if any, as evidenced by bank statements, lines of credit, or financial institution statements. Add more tables if needed.

If the required funds are being held in an account in the name of an individual or entity other than the Corporation, the individual or authorized signatory of the entity must provide their signature in the "Signature of Account Holder" column. Their signature below indicates that they are committing the amount of their funds identified in the table to the applicant.

In addition to completing this table, submit a one-page financial account summary for each account listed below documenting the available funds, dated no earlier than 30 days prior to the date the *Application of Intent* was submitted to the Department.

Name on Account	Financial Institution	Type of Account	Amount	Signature of Account Holder
Hugh O'Donnell	E-Trade	Broker	\$158,999.84	
Hugh O'Donnell	Oppenheimer	Retirement	\$200,560.26	
Hugh O'Donnell	American Funds	Retirement	\$103,057.42	
Hugh + Julie O'Donnell	American Funds	Retirement	\$50,800.36	
Hugh + Julie O'Donnell	SonTrust	Savings	\$34,767.34	
Hugh + Julie O'Donnell	SonTrust	checking	\$22,195.73	
			\$570,380.95	
-----	-----	<b>TOTAL:</b>		----



Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: BS



OppenheimerFunds<sup>®</sup>

# Account Statement

January 1, 2017 - June 30, 2017

Page 1 of 8

RPSS TR SINGLE K  
STRUCTURAL FASTENERS INC  
FBO HUGH D O'DONNELL



### Your Financial Advisor:

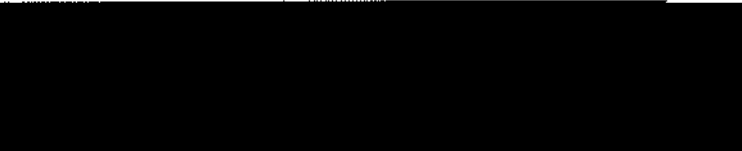
THOMAS J PALM  
CETERA ADVISORS LLC  
9528 BELAIR RD  
NOTTINGHAM, MD 21236-1508  
(443) 927-7180

## Total Portfolio Value

Market Value on June 30, 2017	\$200,560.26
-------------------------------	--------------

## Portfolio Summary

### Retirement



Market Value on June 30, 2017	\$200,560.26
-------------------------------	--------------

### Statement Retention

For your convenience, OppenheimerFunds retains and makes available your annual statements and tax forms for the most recent seven years. To access your documents please visit [www.oppenheimerfunds.com](http://www.oppenheimerfunds.com).



Follow us:



### Questions?

[oppenheimerfunds.com](http://oppenheimerfunds.com) • 1.800.CALL.OPP (225.5677)



**AMERICAN FUNDS®**

From Capital Group

For undeliverable mail only  
PO Box 1148  
Norfolk VA 23501-1148

CB&T CUST IRA  
HUGH H O'DONNELL

**Looking for Ways to Simplify in 2017?**

We have 4 options to help you reduce paper mailings and still get quick, secure access to important American Funds documents. Visit [americanfunds.com/reducepaper](http://americanfunds.com/reducepaper).

**Is Your Email Address Up to Date?**

Take a moment to verify your current information on file. Log in to your account at [americanfunds.com](http://americanfunds.com). In the right column under "Your Profile," select "Update" or "Add an email address."

**Portfolio value of all accounts \$103,057.42**

	Year-to-date since 1/1/2017
[REDACTED]	[REDACTED]

Ending value as of 6/30/2017 \$103,057.42 \$103,057.42

Need help reading your statement or defining key terms? For a description of what's included in the above numbers, go to [americanfunds.com/statement](http://americanfunds.com/statement).

**How to contact us**

**Through your financial advisor**

Thomas Palm  
(43) 927-7181  
ETERA ADVISORS LLC  
528 BELAIR RD  
BALTIMORE MD 21236 1508

[americanfunds.com](http://americanfunds.com)

**FundsLine® 24-hour automated service**  
(800) 325-3590

**Shareholder Services Representative**  
(800) 421-4225 (M-F 8 a.m. to 7 p.m. Eastern time)

**Mail**

Capital Bank and Trust Company  
PO Box 2560  
Norfolk VA 23501-2560

**Overnight courier**

Capital Bank and Trust Company  
300 Robin Hood Rd  
Norfolk, VA 23513-2430

**Questions about your statement**

[americanfunds.com/statement](http://americanfunds.com/statement)



# AMERICAN FUNDS®

From Capital Group

For undeliverable mail only  
PO Box 1148  
Norfolk VA 23501-1148

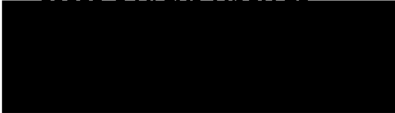
## Quarterly Statement

June 30, 2017

Page 1 of 5



CB&T CUST IRA  
JULIE S O'DONNELL



### Looking for Ways to Simplify in 2017?

We have 4 options to help you reduce paper mailings and still get quick, secure access to important American Funds documents. Visit [americanfunds.com/reducepaper](http://americanfunds.com/reducepaper).

### Is Your Email Address Up to Date?

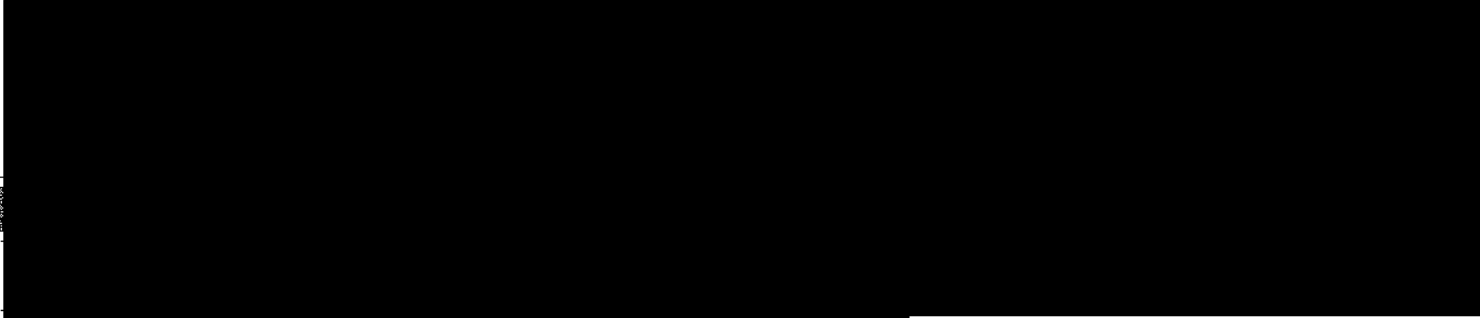
Take a moment to verify your current information on file. Log in to your account at [americanfunds.com](http://americanfunds.com). In the right column under "Your Profile," select "Update" or "Add an email address."

Portfolio value of all accounts **\$50,800.36**

	Year-to-date since 1/1/2017
[Redacted]	[Redacted]

Ending value as of 6/30/2017 **\$50,800.36** **\$50,800.36**

Need help reading your statement or defining key terms? For a description of what's included



528 BELAIR RD  
BALTIMORE MD 21236-1508

[americanfunds.com](http://americanfunds.com)

**FundsLine® 24-hour automated service**  
(800) 325-3590

**Shareholder Services Representative**  
(800) 421-4225 (M-F 8 a.m. to 7 p.m. Eastern time)

**Mail**  
Capital Bank and Trust Company  
PO Box 2560  
Norfolk VA 23501-2560

**Overnight courier**  
Capital Bank and Trust Company  
800 Robln Hood Rd  
Norfolk, VA 23513-2430

**Questions about your statement**  
[americanfunds.com/statement](http://americanfunds.com/statement)



Checking 

**\$22,195.73**

Available ?

Date ◀	Description	Deposits	Withdrawals	Balance
07/13/2017				\$22,195.73
				







Savings 

**\$34,767.34**

Available ?

Date	Description	Deposits	Withdrawals	Balance
07/10/2017				\$34,767.34



June 1, 2017 - June 30, 2017

Account Type: INDIVIDUAL

E\*TRADE Securities LLC  
P.O. Box 484  
Jersey City, NJ 07303-0484  
1-800-ETRADE-1 (1-800-387-2331)  
etrade.com Member FINRA/SIPC

**Customer Update:**

Customers can take advantage of the Online Customer Service Center to efficiently complete a number of transactions and interactions. Please visit [etrade.com/servicecenter](http://etrade.com/servicecenter) to learn more.

**E\*TRADE Securities**  
Investment Account

**IMPORTANT INFORMATION:**

No one wants to spend their summer inside, tethered to their desktop. That's why the E\*TRADE Mobile app is such a powerful tool. It has features you want, with the mobility you need. And it fits right in a beach bag. Visit [etrade.com/mobile](http://etrade.com/mobile).

HUGH D. O'DONNELL



**Account At A Glance**

\$158,999.84

As of 06/30/17

**E\*TRADE**  
**FINANCIAL**  
Trading • Investing • Banking

▲ DETACH HERE

HUGH D O'DONNELL

Make checks payable to E\*TRADE Securities LLC

Mail deposits to:

E\*TRADE SECURITIES LLC  
P.O. Box 484  
Jersey City, NJ 07303-0484