LONG TERM CARE REGISTRY GUIDEBOOK

Operations Manual for QEDs/QEIs of Oregon's Long Term Care

Assisted Living, Residential Care, Nursing & Skilled Nursing Facilities, Staffing Agencies, most DD programs, all AFHs, all HCWs and PSWs

Preface

Abbreviations Used in this Guidebook

| AAA | Area Agency on Aging |
|-------|--|
| AFH | Adult Foster Home |
| APD | Aging & People with Disabilities |
| BCU | Background Check Unit |
| DD | Developmentally Disabled |
| CDDP | Community Developmental Disabilities Programs |
| CFH | Child Foster Home |
| СМНР | Community Mental Health Programs |
| CRIMS | Criminal Records Information Management System |
| LTCR | Long Term Care Registry |
| PSW | Personal Support Worker |
| QED | Qualified Entity Designee |
| QEI | Qualified Entity Initiator |
| SI | Subject Individual |
| | |

About the Registry

The Long Term Care Registry (LTCR) has been in operation since January 2015 through the Oregon Department of Human Services Background Check Unit (BCU).It is a vital tool for providing a ready-to-work registry of Subject Individuals (SIs) eligible to work in long term care positions throughout the State of Oregon.

Oregon is one of only five states in the nation to implement a Registry allowing portability between long term care positions. Providers can hire qualified workers immediately knowing they have passed a background check that has met high safety criteria.

Registry Data as of June 2017:

- 105,000+ Subject Individuals with an approved background check
- 30,500+ Subject Individuals have utilized portability (starting a new position immediately without a potentially lengthy delay of completing a new background check)
- 600+ Revoked from the Registry due to criminal history (BCU diligently monitors for any new history that could impacts a subject individual's eligibility)

The Registry is accessible to users of BCU's Criminal Records Information Management System (CRIMS) who are associated with long term care services for the elderly, individuals with disabilities, individuals with intellectual and developmental disabilities, and mental health clients hiring personal support workers. The Registry is only as effective as the CRIMS user wants it to be and can either speed up or slow down depending on the accuracy, completeness and patience of each person who plays a pivotal role in the background check process.

Please feel free to make notes in this Manual and feel free to provide feedback, questions or comments to <u>BCU.LTCR@state.or.us</u>. We appreciate your review of this manual and sincerely wish you best of endeavors as the Registry continues to be relevant in the world of Long Term Care.

LTCR Coordinator

Contacts

BCU maintains regular business hours of Monday through Friday 8am- 5pm, however, many employees have varying schedules and provide support for various programs within the BCU. Please always expect that your message will be returned within 24-48 hours, if not sooner, prior to calling back.

There may be instances where the State Help Desk is the appropriate number to call such as if you are not able to connect into CRIMS.

Your inquiry is very important to us and we appreciate your calls for the following types of questions. Email is often a more expedient way of having a question answered and we encourage you to use the following department emails whenever possible:

BCU.LTCR@state.or.us

- Reporting new adverse history
- Error corrections in LTCR
- Questions to receive guidance to Registry Users in policy, procedure and troubleshooting other types of problems

BCU.Info@state.or.us

- General questions for BCU
- Only in emergency staff need situations: request a background check request to be expedited

BCU.FP.Info@state.or.us

- General fingerprint questions
- Problems with timing out

| Main BCU Phone Line | Toll Free | Fax | State Help Desk |
|---------------------|--------------|--------------|-----------------|
| 503-378-5470 | 888-272-5545 | 503-378-6314 | 503-945-5623 |

Getting Started

Benefits of the LTCR

- SI has portability and is immediately hirable.
- You will receive notification of SI's approval in the LTCR.
- You can keep track of your SIs in CRIMS through "My LTCR".
- CRIMS will automatically notify you when the SI needs a new background check.

Roles for the LTCR

- Qualified Entity Designee (QED): Initiate and monitor the background check process. If your agency does not have adult foster home QEIs, you also initiate the AFH background check requests. See your training materials from when you become a QED or QEI.
- Qualified Entity Initiator (QEI): Initiate background checks for your adult foster home.
- BCU: Complete the background and abuse checks on SIs and to inform the QE anytime a new background check needs to occur.



The Background Check

BCU requires you to initiate a new background check for individuals offered a position:

- Employee (new to your agency, or a new position in your agency
- Volunteer
- (if adult foster home), household member, occupant or tenant (NOT a resident receiving services)
- For AAAs, Community Developmental Disability Programs, DD brokerages, and Community Mental Health Programs: Homecare Workers or Personal Support Workers

If the position is subject to the LTCR and the SI is already on the LTCR, the SI is immediately hirable and you will not need to wait for a complete new background check.

- Always begin with a background check request: Your new subject individual (SI) may be on the LTCR already, or this may be the first time for a background check through the BCU. Regardless, you must be ready with all the materials and information needed for a complete background check. Give the SI time and privacy to provide all information, including disclosures. The SI must always disclose entire history of charges and the outcomes for each new background check through BCU.
- 2. SI using paper form. If you plan to have the SI complete a new paper background check request form, please give the form to the SI and request that the SI return it to you in a timely manner (you may set a deadline if you wish). The background check request form is available at: http://www.oregon.gov/DHS/BUSINESS-SERVICES/CHC/Pages/Forms.aspx.
- 3. SI entering directly into CRIMS. If you plan on having the SI enter data directly into CRIMS, make sure you have the SI's correct email address. Once you start the background check process online, CRIMS will send an email to the SI and then send an email to you when the SI has completed data entry.
- 4. Confirm the SI's identity. Accuracy and completeness will ensure optimal use of the Registry. BCU requires use of the SI's name and date of birth as indicated on government-issued records (for example, driver's license, passport, etc.) for confirmation of SI's identity, history, and to search the Registry. Please use the SI's most current information from legal documents.

- 5. Verify Application Type with Job. The app type determines if the position is subject to the LTCR. Please use the App Type Chart provided below.
- 6. Log into CRIMS <u>https://crims.oregon.gov/</u>. For screen shots, see pages 10 14 in this Guide.
- 7. Select Create New. This is located on left side of face page, just below Search CRIMS Records.
- 8. Select Application Type from Application Type Drop Down Menu. If the chosen App type is subject to the LTCR, the Registry Search Page will appear. (If the chosen App type is not subject to the LTCR, you will continue the background check request as you normally would; if you believe that you should be getting the Registry search Page, check the App type you have chosen.)
- 9. Complete Data Entry Fields to Check Registry. Name, Date of Birth, SSN and/or driver's license. Note: you will need either the SSN or the SI's driver's license or both to search the LTCR.

Click on Check Registry button for RESULT:

- a. If *****SI not found in LTCR***** Complete the background check request as you normally would.
- b. If *******SI is on LTCR and Immediately Hirable******* Complete the Employment Information for the New Position section.
- 10. Follow CRIMS for Remaining Steps. After completion you will receive the following message: *Successfully Submitted*

Permanent Hire Date Needed

If your SI is new to the LTCR, the CRIMS/Registry will send you two emails once the SI has been approved:

- 1) BCU Fitness Determination of Approval
- 2) Permanent Hire Date Needed

If your SI is already on the LTCR, you will add the permanent hire date in the Employment Information for the new position.

Requirement: *Permanent Hire Date* is a requirement of the Centers for Medicare & Medicaid Services. It is the date the SI has completed a background check <u>and</u> been approved, <u>and</u> you are allowing the SI to work permanently (the SI's status is no longer provisional).

Instructions for Adding Permanent Hire Date

- 1. After CRIMS Login, click on My LTCR.
- 2. Search for SI (if you have more than 500 SIs, you may use the Filter Box by entering only the SI's last name).
- 3. Click on SI's Name; you will see the Job Detail page.
- 4. Add the permanent hire date and click on the box *Add Permanent Hire Date*.

| Permanent Hire Date |
|--|
| (mm/dd/yyyy) Add Permanent Hire Date |
| If you have any LTCR questions, please contact <u>bcu.ltcr@state.or.us</u> |
| |

Application Type Chart

The App type determines the types of checks necessary to ensure that all Oregon Administrative Rules, Oregon Statutes, and federal requirements are met when completing a background check and fitness determination of each SI. The App type also determines whether the background check will result in placement on the Long Term Care Registry if the SI is approved and meets the LTCR criteria.

Using the chart on the next page, choose the correct Application Type whenever starting a new background check in CRIMS.

Long Term Care Registry ~ BCU

| Type of Provider Agency | Type of subject individuals | On the LTCR? | Use this Application type ("app type"_ |
|-------------------------|--|--------------|---|
| Assisted Living | Employees | Yes | APD Paid 443.004 |
| Residential Care | Owners | Yes | APD Paid 443.004 |
| Nursing/Skilled Nursing | Contracted Workers | Yes | APD Paid 443.004 |
| | Volunteers | Yes | APD volunteer or student-facility |
| | Nonmedical Inters | Yes | APD volunteer or student-facility |
| | C.N.A. Students | Yes | APD volunteer or student-facility |
| Staffing Agencies | Caregivers | Yes | APD Paid 443.004 |
| AAAs/APD Branches | Employees | No | APD AAA/ADS Paid |
| | AAA/APD volunteers | No | APD AAA/ADS NonPaid |
| | HCWs | Yes | APD HCW 443.004 |
| | AFH Licensees | Yes | APD Paid 443.004 |
| | AFH Employees | Yes | APD Paid 443.004 |
| | AFH Volunteers | Yes | APD volunteer or student-facility |
| | AFH Household Members | No | APD occupant/tenant PROVIDES NO CARE |
| | AFH Tenants | No | APD occupant/tenant PROVIDES NO CARE |
| DD Brokerages | Employees | No | DD Brok/CDDP Employee Paid 443.004 |
| | Volunteers | No | DD Brok/CDDP Vol/student NonPaid |
| | Personal Support Workers | Yes | DD PSW 443.004 |
| | NonPSW independent providers | Yes | DD Paid 443.004 |
| CDDPs | Employees | No | DD Brok/CDDP Employee Paid 443.004 |
| | Volunteers/students | No | DD Brok/CDDP Vol/student NonPaid |
| | PSWs | Yes | DD PSW 443.004 |
| | NonPSW Independent providers | Yes | DD Paid 443.004 |
| | AFH licensees and employees | Yes | DD Paid 443.004 |
| | AFH Volunteers, students, and household members providing care | Yes | DD Nonpaid |
| | AFH occupants providing no care | No | DD AFH occupant/tenant PROVIDES NO CARE |
| | CFH licensees and employees living in the home | No | DD CFH paid 443.004 lives in home |
| | CFH Volunteers, students, and household members providing care | No | DD CFH non-paid lives in home |
| | CFH employees not living in the home | No | DD CFH AltCaregiverPaid 443.004 |
| Other DD Programs | Employees/contractors | Yes | DD Paid 443.004 |
| | Volunteers/students | Yes | DD NonPaid |
| | NonPSW Independent providers | Yes | DD Paid 443.004 |
| CMHPs | Employees | No | MH Paid 443.004 |
| | Volunteers | No | MH NonPaid |
| | Personal Care Attendants or PSWs | Yes | MH PCA/PSW443.004 |
| | AFH Licensees and Employees | Yes | MH AFH Paid 443.004 |
| | AFH Volunteers, students, and household members providing care | Yes | MH AFH Paid 443.004 |
| | AFH occupants providing no care | No | MH occupant/tenant PROVIDES NO CARE |

CRIMS & Registry Screen Shots

<u>Log In</u>

| partme | nt of Human Services - Background Check Unit Department of Human Services |
|--------|--|
| | Click on Field Headers for Help and Further Information |
| | By logging in you are acknowledging that you are an authorized recipient of the information for the Subject Individuals processed through the CRIMS system. You will only be provided access to the information on Subject Individuals that have been provided to you by DHS - Background Check Unit. Unauthorized access of this site or improper use of the information provided may result in a variety of penalties up to and including criminal prosecution. |
| | ** If you are a Subject Individual (Employment Applicant), please go to: https://crims.oregon.gov/Crims/CrimsWeb.dll/Main? cmd=SpecialEntryForm |
| | IMPORTANT NOTICES: 4/16/2015. For QEDs with access to the Long Term Care Registry: If you have an SI on the LTCR and the SI changes positition or QE, please start a new background check submission (as normal): you will get a hit on the LTCR and be able to hire immediately once you enter the new information. For licensing and safety puproses, you need to have documentation of a background check for all your SIs: you should be able to find all your SIs in the current position either by searching for your SIs in CRIMS, or seeing them listed in "My SIS on LTCR." |
| | It may help to clear your computer's cache before logging into CRIMS. Go to http://www.wikihow.com/Clear-Your-Browser%27s-Cache to find out how. |
| | User Name* |
| | Password* |

Once you log on, you will see Pending background checks if you are a QED, or the Create New screen if you are a QEI.

Links Available

| Search CRIMS Records |
|-------------------------------------|
| Create New |
| My LTCR |
| Recently Completed CRIMS Records |
| Pending CRIMS Records |
| Training |
| Contact Us |
| Help |
| Edit Your Account |
| Logout |
| |

QEDs may access all menu options

QEIs may access Create New & My LTCR only

Data Entry for Enrollment into Registry

1. Click on Create New

| Department of H | Iuman Services - Background Check Ui |
|--|---|
| Search CRIMS Records <u>Create New</u> My LTCP Click to create new Recembackground check CRIMS request or check the Pendir LTCR (if applicable Training Contact Us Help Edit Your Account Logout Iast updated: 8/17/2017 | Choose an application type to continue. |
| | |

2. Select Application Type – make sure to use App Type Chart for appropriate job types. If incorrect App type is used, it will not be a valid check for the job position.

| Search CRIMS Records Create New My LTCR Recently Completed CRIMS Records Pending CRIMS Records Training Contact Us Help Edit Your Account Logout | Choose an application type to continue. Application Type* Select | Y |
|--|--|---|
| last updated: 8/17/2017 | | |

3. Complete Create New Application

| Department of H | Juman Services - Background Check Unit Department of Human Services |
|---|---|
| | Click on Field Headers for Help and Further Information |
| Search CRIMS Records | Create New Application |
| My Sis on LTCR Recently Completed CRIMS Records | Application Type* QE Selection* QE Designee* APD paid 443.004 ZAPATO TRAINING CENTER KELLY.QED |
| Pending CRIMS Records Training | Long Term Care Registry Check |
| Contact Us Help | Based on the application type you chose, your SI (subject individual) may be subject to the Long Term Care Registry (LTCR). If on the LTCR, your SI may not need a new background check, and may be hirable immediately. |
| Edit Your Account Logout | Enter your SI's Last Name, First Name, and DOB. Middle name is optional. Do not enter a suffix. |
| | Last Name* First Name* Middle Name DOB* |
| | Enter either the SI's Social Security Number or both the Driver's License Number and the Driver's License State. You may enter all three fields if you wish. |
| | Social Security Number Drivers License/ID State Number Orivers License/ID State |
| | If your SI isn't found on the LTCR, you will be directed to the Create New screen for submitting a new background check request. |
| | eneor regar) |

If you have entered an application type subject to the LTCR, you will be directed to this screen.

Double check your work – for portability to occur the Name; Date of Birth; and Social Security Number or Driver's License (either or both) must match the information in the LTCR. An error in any of these fields will prevent an SI who is on the Registry to be immediately hirable.

If your SI is not on the LTCR, you will continue regular background check request data entry.

If your SI is already on the LTCR, you will see this screen. Complete data entry for the new position.

| | Create New | Applica | ation | |
|---|---|--------------|-----------------------------|--|
| Application Type* APD paid 443.004 | QE Selection* Background Check Unit | V | QE Designee* QED,KELLY | × |
| ***Your SI is on the LTCR and immediately hirable*** ***After completing this page, your new hire will be a | dded to "My LTCR" and you will receiv | ve e-mail co | nfirmation*** | |
| Full Name: TEST,JOHN Q DOB: 10/1/1962 SSN: 123-45-1234 Driver's License #: Driver's License State: OR Last LTCR Approval Date: 04/27/2017 | | | | |
| ***If you believe that the SI needs a new background to Enter Employment Information for New Positi | check due to new history since their l on | Last LTCR / | Approval Date, pleas | se contact BCU at <u>bcu.ltcr@state.or.us</u> or 888-272-5545.*** |
| Permanent Hire Date* (mm/dd/yyyy) 01/01/2015 | | | | |
| Position Title* Caregiver | Description of Duties* Assist with Client ADLS cooking, cleaning shopping | and grocery | \sim | |
| Requires direct contact with*: Children Adults Seniors (65 years and older) Confidential Information Secure Facilities Finances/Financial Records | Do the duties require driving?* ○Yes ●No | | Tyr app Ø1 Ø1 □ | pe(s) of documents checked to verify identity (check all that <u>aly</u>)* DL or State ID SSN Passport Other |
| Information Technology Systems Employment Category* Professional/Licensed Health Care Worksite Location* | | | <u>Init</u> | ial if you have checked the proper IDs* RDJ |
| Update Registry with Employment Information Cancel | | | | |

4. Click on Update Registry with Employment Information

This will provide the message Registry employment record successfully updated & will take you to MY LTCR.

| Department of H | Iuman Services - Bao | ckground Che | ck Unit | | | | | Department of Human Service |
|-------------------------------------|---------------------------|-------------------|-------------------|-----------------|---|--------------------------------------|--|--------------------------------|
| | | | F | Registry er | nployment record suc (4 records are being show | cessfully updated | | |
| Search CRIMS Records | | | | | | | | |
| Create New My LTCR | | | | | Export to Excel | | | |
| Recently Completed CRIMS Records | | | l ast Nan | ne [.] | | | Filter | |
| Pending CRIMS Records | Click on "Recertification | Required By" colu | imn heading to vi | iew Top 500 | Recertifications. Click on "F | ermanent Hire Date" co | lumn heading to view Top 50 | 00 Jobs missing a permanent |
| Contact Us | | , | | | hire date. | B | | |
| Help | | <u>Subject</u> | Position | DOB | <u>Agency</u> | <u>Permanent Hire</u> <u>Date</u> | <u>Recertification</u> <u>Required By</u> | |
| Edit Your Account Logout | | PANKEY,HARRY | BRANDNEW JOB | 12/25/1957 | JEFF APD REGISTRY ONLY AGENCY | 5/1/2017 | Click to Recertify | 1 |
| | | TEST, JOHN Q | asdf | 10/1/1962 | Background Check Unit | 8/1/2000 | 04/27/2019 | 0 |
| | | TEST, JOHN Q | Caregiver | 10/1/1962 | Background Check Unit | 1/1/2015 | 04/27/2019 | 0 |
| | | TEST, JOHN Q | adsf | 10/1/1962 | JEFF APD REGISTRY ONLY AGENCY | 1/1/2014 | 04/27/2019 | 0 |
| | | | | | Back to top | | | |
| last updated: 8/17/2017 | | | | | | | | |
| new sported, or mouth | | | | | | | | |
| | | | | | | | | |

To see an SI's Job Detail, click on the SI's name.

5. LTCR Job Detail

This is also the go-to place for the Permanent Hire Date or Termination Date.

| Department of I | Human Services - Background Che | eck Unit |
|-------------------------------------|---|--|
| | LTCR APPROVED Job Detail | |
| Search CRIMS Records | | |
| Create New | Subject Individual Information | Job Information |
| My LTCR | Name: TEST JOHN O | OE: Background Chock Unit |
| Recently Completed CRIMS Records | Date of Birth: 10/01/1962 | Application Type: APD paid 443 004 |
| Pending CRIMS Records | Gender: M | Position Title: Caregiver |
| Training | Email Address: | Worksite Location: Various |
| Contact Us | Drivers License ID#: | Job Description: Assist with Client ADLS |
| Help | Drivers License State: OR | cooking, cleaning and grocery shopping |
| Edit Your Account | Social Security Number: 123-45-1234 | Employment Category: Professional/Licensed |
| Logout | Status: Approved | Health Care |
| | Last Registry Approval Date: 04/27/2017 | Requires Driving: No |
| | Next Registry Approval Date: | Requires Contact With: Adults, Elderly |
| | 04/27/2019 | Termination Date: |
| | | Termination Date. |
| | | 1 |
| | Termination Date | |
| | Termination Date | |
| | (mm/dd/yyyy) Add Termin | nation Date |
| | If you have any I TCR questions, please contain | st beu lter@state or us |
| | in you have any er or questions, please contai | et weather w |