Insurance Account Product Detail by Holding Company-Active Distributors

Selected Params :

Insurance Account Product Detail by Holding Company-Active Distributors

Holding Company	Account Type	Account Number	Account Name	Applications	Asset Pricing (I&RS)	Commissions (I&RS)	Financial Activity Reporting	IFT Access	In-Force Transactions	Licensing & Appointments	LNA Access	Positions & Valuations Focused Refresh	Positions & Valuations Full Refresh	Positions & Valuations New Business	Subsequent Premiums
ADVANCED ADVISOR GROUP, LLC	NSCPRT	00006015	ADVANCED ADVISOR GROUP, LLC			L	L			L		L	L		
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000556	SAGEPOINT FINANCIAL, INC./FA2		L	L	L						L		
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000169	FSC SECURITIES CORPORATION	L	L	L	L			L		L	L	L	
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000144	SAGEPOINT FINANCIAL, INC.	L	L	L	L		L	L		L	L	L	
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000089	ROYAL ALLIANCE ASSOCIATES, INC.	L	L	L	L			L		L	L	L	
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000247	SECURITIES AMERICA, INC./ SECURITIES SERVICE NETWORK		L	L	L					L	L	L	
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00004835	SECURITIES AMERICA, INC./ KMS FINANCIAL SERVICES		L	L	L					L	L		
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000191	FSC SECURITIES CORPORATION/ ADVANTAGE CAPITAL CORPORATION			L	L			L		L	L		
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000510	WOODBURY FINANCIAL SERVICES, INC.	L	L	L	L			L		L	L	L	
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000372	ROYAL ALLIANCE ASSOCIATES, INC./SIGNATOR INVESTORS			L			L	L					
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000182	SAGEPOINT FINANCIAL, INC./FA1		L	L	L						L		
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00006430	TRIAD ADVISORS, LLC			L	L			L			L		
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000427	ROYAL ALLIANCE ASSOCIATES, INC./SIGNATOR FINANCIAL			L								L	
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000590	SECURITIES AMERICA, INC.		L	L	L			L		L	L	L	
ADVISOR GROUP HOLDINGS, INC.	NSCPRT	00000522	SAGEPOINT FINANCIAL, INC./FAB			L	L					L	L		
ADVISORS EXCEL, LLC	NSCPRT	00003027	DTCC SOLUTIONS, LLC/ ADVISORS EXCEL, LLC(Z)									L	L	L	
ADVISORS EXCEL, LLC	NSCPRT	00008398	DTCC SOLUTIONS, LLC/ADVISORS EXCEL, LLC			L	L					L	L	L	
AEGON N.V.	NSCPRT	00000224	TRANSAMERICA FINANCIAL ADVISORS, INC./II		L	L	L			L		L	L		
AEGON N.V.	NSCPRT	00000285	TRANSAMERICA FINANCIAL ADVISORS, INC.	L	L	L	L					L	L	L	
AEGON N.V.	NSCPRT	00000729	TRANSAMERICA FINANCIAL ADVISORS, INC./ WORLD GROUP SECURITIES, INC.			L	L					L	L	L	
AIMCOR GROUP LLC	NSCPRT	00003200	AIMCOR GROUP LLC				L					L	L	L	
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00000542	VALIC FINANCIAL ADVISORS, INC.			L	L			L			L	L	
AMERICAN INTERNATIONAL GROUP, INC.	NSCPRT	00003373	VALIC FINANCIAL ADVISORS INC./ AMERICAN GENERAL INSURANCE AGENCY			L	L					L	L	L	
AMERICAN PORTFOLIOS HOLDINGS, INC.	NSCPRT	00000555	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.		L	L	L		L			L	L	L	
AMERICAN UNITED MUTUAL INSURANCE HOLDING COMPANY	NSCPRT	00005315	ONE AMERICA SECURITIES, INC.			L	L			L		L	L	L	
AMERIPRISE FINANCIAL, INC.	NSCPRT	00004618	AMERICAN ENTERPRISE INVESTMENT SRVCS, INC/ANTY BUS		L	L	L					L	L	L	
AMERIPRISE FINANCIAL, INC.	NSCPRT	00000756	AMERICAN ENTERPRISE INVESTMENT SERVICES INC.	L	L	L	L		L	L		L	L	L	L
AMERITAS MUTUAL HOLDING COMPANY	NSCPRT	00000249	AMERITAS INVESTMENT COMPANY, LLC		L	L	L			L		L	L	L	
AMERITAS MUTUAL HOLDING COMPANY	NSCPRT	00002938	AMERITAS INVESTMENT COMPANY, LLC/ VARIABLE CONTRACT AGENCY			L	L						L		
ANNEXUS MANAGEMENT COMPANY, LLC	NSCPRT	00008201	DTCC SOLUTIONS, LLC/ ANNEXUS MANAGEMENT COMPANY, LLC	L		L	L			L		L	L		
APW CAPITAL, INC.	NSCPRT	00000360	APW CAPITAL, INC.		L	L	L					L	L	L	
ARIA RETIREMENT SOLUTIONS INC.	NSCPRT	00008389	ARIA RETIREMENT SOLUTIONS, INC.	L		L	L					L	L	L	
ARVEST BANK GROUP, INC.	NSCPRT	00004988	ARVEST INVESTMENTS, INC.		L	L	L					L	L	L	
ASH BROKERAGE CORPORATION	NSCPRT	00008912	DTCC SOLUTIONS, LLC/ASH BROKERAGE LLC										L	L	
ASSET MARKETING SYSTEMS INSURANCE SERVICES, LLC	NSCPRT	00006428	MADISON AVENUE SECURITIES, INC			L	L			L		L	L	L	
ASSOCIATED BANC-CORP	NSCPRT	00007192	ASSOCIATED INVESTMENT SERVICES, INC.			L	L					L	L	L	
ATRIA WEALTH SOLUTIONS, INC.	NSCPRT	00000346	SORRENTO PACIFIC FINANCIAL, LLC		L	L	L		L	L		L	L	L	
ATRIA WEALTH SOLUTIONS, INC.	NSCPRT	00000635	WESTERN INTERNATIONAL SECURITIES, INC.			L						L	L		
ATRIA WEALTH SOLUTIONS, INC.	NSCPRT	00000329	CUSO FINANCIAL SERVICES, L.P.	L	L	L	L		L	L		L	L	L	
AUSDAL FINANCIAL PARTNERS, INC.	NSCPRT	00006905	AUSDAL FINANCIAL PARTNERS, INC.		L	L	L			L		L	L	L	
B. RILEY FINANCIAL, INC.	NSCPRT	00006472	NATIONAL SECURITIES CORPORATION			L	L			L		L	L	L	
B. RILEY FINANCIAL, INC.	NSCPRT	00000926	B. RILEY WEALTH MANAGEMENT, INC.			L	L					L	L	L	
BAIRD FINANCIAL GROUP, INC.	NSCPRT	00000768	ROBERT W. BAIRD & CO. INCORPORATED/ HILLIARD LYONS		L	L									
BAIRD FINANCIAL GROUP, INC.	NSCPRT	00000547	ROBERT W. BAIRD & CO. INCORPORATED	L	L	L	L		L	L		L	L	L	L
BANCO BILBAO VIZCAYA ARGENTARIA, S.A.	NSCPRT	00007227	BBVA SECURITIES INC.			L	L			L		L	L	L	
BANK OF AMERICA CORPORATION	NSCPRT	00000671	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	L		L	L		L	L		L	L	L	L
BANK OF AMERICA CORPORATION	NSCPRT	00002395	FIRST REPUBLIC SECURITIES COMPANY, LLC									L	L		
BANK OF AMERICA CORPORATION	NSCPRT	00000424	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED/BAI	L	L	L	L			L		L	L	L	L
BANK OF MONTREAL	NSCPRT	00002697	BMO HARRIS BANK NA										L		
BNP PARIBAS SA	NSCPRT	00006130	BANCWEST INVESTMENT SERVICES			L	L		L	L		L	L	L	
BOLTON GLOBAL CAPITAL, INC.	NSCPRT	00005870	BOLTON GLOBAL CAPITAL INC.			L	L						L		
BROADRIDGE FINANCIAL SOLUTIONS, INC.	NSCPRT	00005954	MATRIX TRUST COMPANY		L		L						L		
BROKERS INTERNATIONAL FINANCIAL SERVICES, LLC	NSCPRT	00008239	DTCC SOLUTIONS, LLC/ BROKERS INTERNATIONAL FINANCIAL SERVICES, LLC			L	L					L	L	L	
BROKERS INTERNATIONAL LTD	NSCPRT	00004025	BROKERS INTERNATIONAL, LTD.			L	L					L	L	L	
CADARET, GRANT & CO., INC.	NSCPRT	00000241	CADARET, GRANT & CO., INC.		L	L	L		L	L		L	L	L	
CALTON & ASSOCIATES, INC.	NSCPRT	00007024	CALTON & ASSOCIATES, INC.			L	L					L	L	L	
CAMBRIDGE INVESTMENT GROUP, INC.	NSCPRT	00000742	CAMBRIDGE INVESTMENT RESEARCH, INC.			L	L					L	L	L	
CANTELLA MANAGEMENT CORP.	NSCPRT	00000699	CANTELLA & CO., INC.			L	L		L	L		L	L	L	
CAPITAL INVESTMENT BROKERAGE, INC.	NSCPRT	00000588	CAPITAL INVESTMENT BROKERAGE, INC.			L	L					L	L		
CAPITAL INVESTMENT GROUP, INC.	NSCPRT	00000545	CAPITAL INVESTMENT GROUP, INC.			L	L					L	L	L	
CAPITAS FINANCIAL, INC.	NSCPRT	00001615	CAPITAS FINANCIAL, INC.									L	L		
CBIZ, INC.	NSCPRT	00000682	CBIZ FINANCIAL SOLUTIONS, INC.		L	L	L						L		
CBIZ, INC.	NSCPRT	00004193	CBIZ FINANCIAL SOLUTIONS, INC./ CBIZ LIFE INSURANCE SOLUTIONS INC.									L	L		
CFD INVESTMENTS, INC.	NSCPRT	00008255	CFD INVESTMENTS, INC.			L	L					L	L	L	
COMMONWEALTH EQUITY SERVICES, LLC	NSCPRT	00000177	COMMONWEALTH EQUITY SERVICES LLC	L	L	L	L		L			L	L	L	
COMMUNITYAMERICA CREDIT UNION	NSCPRT	00008386	COPPER FINANCIAL NETWORK, LLC		L	L	L					L	L	L	
CONCORDE HOLDINGS, INC.	NSCPRT	00003773	CONCORDE INVESTMENT SERVICES, LLC			L	L					L	L		
COPIAHOLDING AG	NSCPRT	00005331	CEROS FINANCIAL SERVICES, INC.			L						L	L		
COUNTRY LIFE INSURANCE COMPANY	NSCPRT	00007937	COUNTRY CAPITAL MANAGEMENT COMPANY			L							L		
COVR FINANCIAL TECHNOLOGIES LLC	NSCPRT	00007487	DTCC SOLUTIONS, LLC/ COVR FINANCIAL TECHNOLOGIES LLC				L					L	L	L	
CREATIVE ONE MARKETING CORPORATION	NSCPRT	00008872	DTCC SOLUTIONS LLC/ CREATIVE ONE MARKETING CORPORATION				L					L	L	L	
CS FINANCIAL GROUP, INC.	NSCPRT	00008435	DTCC SOLUTIONS, LLC/ CAPITOL SECURITIES MANAGEMENT, INC				L					L	L		
CUNA MUTUAL HOLDING COMPANY	NSCPRT	00000239	CUNA BROKERAGE SERVICES, INC.			L	L					L	L	L	
D.A. DAVIDSON COMPANIES	NSCPRT	00000361	D. A. DAVIDSON & CO.	L		L	L					L	L	L	
D.L. HARRIS & ASSOCIATES INSURANCE SERVICES, INC.	NSCPRT	00003956	D.L. HARRIS & ASSOCIATES INSURANCE SERVICES, INC.		L								L		
DAI-ICHI LIFE HOLDINGS, INC.	NSCPRT	00007007	PROEQUITIES, INC./ INSURANCE PROCESSING DIVISION		L		L					L	L		
DAI-ICHI LIFE HOLDINGS, INC.	NSCPRT	00006326	PROEQUITIES, INC.		L	L	L			L		L	L	L	
DAVENPORT & COMPANY LLC	NSCPRT	00000715	DAVENPORT & COMPANY LLC			L	L					L	L	L	
DAVID LERNER ASSOCIATES INC.	NSCPRT	00005144	DAVID LERNER ASSOCIATES, INC.										L		
DEMPSEY LORD SMITH, LLC	NSCPRT	00009458	DEMPSEY LORD SMITH, LLC			L	L					L	L		
DEUTSCHE BANK AKTIENGESELLSCHAFT	NSCPRT	00000573	DEUTSCHE BANK SECURITIES INC.		L							L	L		
EBIX, INC.	NSCPRT	00006317	EBIX, INC.	L			L					L	L		L
EDGECO HOLDINGS, L.P.	NSCPRT	00000684	MID ATLANTIC CAPITAL CORPORATION/ INSURANCE		L	L	L					L	L	L	
EMPIRE STATE ASSET MANAGEMENT, LLC	NSCPRT	00000471	CETERA FINANCIAL SPECIALISTS LLC	L	L	L	L			L		L	L	L	L
EQUITABLE HOLDINGS, INC.	NSCPRT	00003565	AXA DISTRIBUTORS, LLC			L									
EQUITABLE HOLDINGS, INC.	NSCPRT	00007123	AXA NETWORK, LLC	L	L	L	L		L	L		L	L	L	
FEDERATION OF FINANCIAL SERVICES, INC.	NSCPRT	00006941	CENTAURUS FINANCIAL, INC.		L		L					L	L		
FIFTH THIRD BANCORP	NSCPRT	00000322	FIFTH THIRD SECURITIES, INC.	L		L	L			L			L		
FINANCIAL INDEPENDENCE GROUP, LLC	NSCPRT	00003643	DTCC SOLUTIONS, LLC/ FINANCIAL INDEPENDENCE GROUP, LLC			L				L					
FIRST CITIZENS BANCSHARES, INC.	NSCPRT	00006347	FIRST CITIZENS INVESTOR SERVICES, INC.			L	L		L	L		L	L	L	
FIRST COMMAND FINANCIAL SERVICES, INC.	NSCPRT	00000502	FIRST COMMAND BROKERAGE SERVICES, INC.			L	L					L	L		
FIRST FINANCIAL EQUITY CORPORATION	NSCPRT	00001333	FIRST FINANCIAL EQUITY CORPORATION			L	L								
FIRST HEARTLAND CAPITAL, INC.	NSCPRT	00000336	FIRST HEARTLAND CAPITAL, INC.	L	L	L	L			L		L	L	L	
FIRST HORIZON CORPORATION	NSCPRT	00000192	FIRST HORIZON ADVISORS, INC.		L	L	L		L	L			L	L	
FLEXIBLE PLAN INVESTMENTS, LTD	NSCPRT	00006819	FLEXIBLE PLAN INVESTMENTS, LTD		L										
FMR LLC	NSCPRT	00000226	NATIONAL FINANCIAL SERVICES LLC	L	L	L	L		L	L		L	L	L	L
FOLIO FINANCIAL, INC.	NSCPRT	00000728	FOLIO INVESTMENTS, INC.	L											L
FOUNDERS FINANCIAL, INC.	NSCPRT	00007044	FOUNDERS FINANCIAL SECURITIES, LLC			L			L						
GC TWO HOLDINGS, INC.	NSCPRT	00000343	CETERA ADVISOR NETWORKS LLC	L	L	L	L					L	L	L	
GC TWO HOLDINGS, INC.	NSCPRT	00000701	CETERA INVESTMENT SERVICES LLC	L	L	L	L		L	L		L	L	L	
GC TWO HOLDINGS, INC.	NSCPRT	00000146	FIRST ALLIED SECURITIES, INC.		L	L	L					L	L	L	
GC TWO HOLDINGS, INC.	NSCPRT	00000466	CETERA ADVISORS LLC	L	L	L	L					L	L	L	L
GC TWO HOLDINGS, INC.	NSCPRT	00005912	FIRST ALLIED SECURITIES INC / FFP SECURITIES			L	L						L		
GLEN EAGLE ADVISORS, LLC	NSCPRT	00006954	GLEN EAGLE WEALTH, LLC			L	L					L	L	L	
GLOBAL FINANCIAL PRIVATE CAPITAL, LLC	NSCPRT	00006934	DTCC SOLUTIONS, LLC/ GLOBAL FINANCIAL PRIVATE CAPITAL, LLC				L					L	L		
GRADIENT FINANCIAL GROUP LLC	NSCPRT	00003149	GRADIENT SECURITIES, LLC			L						L	L	L	
GWM HOLDINGS, INC.	NSCPRT	00000244	GENEOS WEALTH MANAGEMENT, INC.		L	L	L		L	L		L	L	L	
HANCOCK WHITNEY CORPORATION	NSCPRT	00003096	HANCOCK WHITNEY INVESTMENT SERVICES, INC.				L		L			L	L		
HANTZ GROUP, INC.	NSCPRT	00000614	HANTZ FINANCIAL SERVICES, INC.	L		L	L					L	L	L	
HEIM, YOUNG & ASSOCIATES, INC.	NSCPRT	00006021	HEIM, YOUNG & ASSOCIATES, INC.			L							L		
HIGHTOWER HOLDING, LLC	NSCPRT	00006017	HIGHTOWER SECURITIES, LLC			L	L			L		L	L	L	
HILLTOP HOLDINGS INC.	NSCPRT	00000279	HILLTOP SECURITIES INC.	L		L	L		L			L	L	L	L
HSBC HOLDINGS PLC	NSCPRT	00002049	HSBC BANK USA, N.A./ BUFFALO IPS	L		L	L			L		L	L	L	
HSBC HOLDINGS PLC	NSCPRT	00002165	HSBC BANK USA, NATIONAL ASSOCIATION				L						L		
HUNTINGTON BANCSHARES INCORPORATED	NSCPRT	00002305	THE HUNTINGTON NATIONAL BANK		L	L	L		L	L		L	L		
HUNTINGTON BANCSHARES INCORPORATED	NSCPRT	00005383	HUNTINGTON NATIONAL BANK/ TRADITIONAL INSURANCE			L	L						L		
HUNTLEIGH FINANCIAL SERVICES, INC.	NSCPRT	00006822	HUNTLEIGH SECURITIES CORPORATION			L						L	L		
IC FINANCIAL, INC.	NSCPRT	00000318	THE INVESTMENT CENTER, INC.			L	L			L		L	L		
IMPACT PARTNERSHIP, LLC	NSCPRT	00004063	THE IMPACT PARTNERSHIP, LLC										L		
INDEPENDENT FINANCIAL GROUP, INC.	NSCPRT	00003061	INDEPENDENT FINANCIAL GROUP, LLC			L	L					L	L		
INDEPENDENT FINANCIAL GROUP, INC.	NSCPRT	00008265	DTCC SOLUTIONS, LLC/ INDEPENDENT FINANCIAL GROUP, INC	L			L					L	L	L	
INFINEX INVESTMENTS, INC.	NSCPRT	00000113	INFINEX INVESTMENTS, INC.	L	L	L	L		L	L		L	L	L	L
ING GROEP N.V.	NSCPRT	00000465	VOYA FINANCIAL ADVISORS, INC./LSSI		L	L	L		L			L	L		
ING GROEP N.V.	NSCPRT	00000492	VOYA FINANCIAL ADVISORS, INC.		L	L	L		L			L	L	L	
INSURANCE DESIGNERS OF KANSAS CITY, LLC	NSCPRT	00002376	INSURANCE DESIGNERS OF KANSAS CITY, LLC										L		
INSURANCE TECHNOLOGIES, LLC	NSCPRT	00006407	INSURANCE TECHNOLOGIES, LLC	L			L						L		
J.W. COLE FINANCIAL, INC.	NSCPRT	00005293	J.W. COLE FINANCIAL, INC.			L	L					L	L	L	
JPMORGAN CHASE & CO.	NSCPRT	00000432	J.P. MORGAN SECURITIES LLC/CISC	L		L	L		L	L		L	L	L	L
JPMORGAN CHASE & CO.	NSCPRT	00000470	J.P. MORGAN SECURITIES LLC/ CHASE INSURANCE GROUP			L	L						L		
JPMORGAN CHASE & CO.	NSCPRT	00000406	J.P. MORGAN SECURITIES LLC/PRIVATE CLIENT SERVICES DIV			L	L						L		
JPMORGAN CHASE & CO.	NSCPRT	00000352	J.P. MORGAN SECURITIES LLC/JPMC	L		L	L					L	L		
JPMORGAN CHASE & CO.	NSCPRT	00000060	J.P. MORGAN SECURITIES LLC/NYSE			L	L			L			L		
K. W. CHAMBERS & CO.	NSCPRT	00008234	K.W. CHAMBERS & CO.			L						L	L		
KALOS CAPITAL, INC.	NSCPRT	00006926	KALOS CAPITAL, INC		L		L						L		
KESTRA ADVISOR SERVICES HOLDINGS C, INC.	NSCPRT	00000731	GROVE POINT INVESTMENTS, LLC		L	L	L		L	L		L	L	L	
KESTRA ADVISOR SERVICES HOLDINGS C, INC.	NSCPRT	00000481	KESTRA INVESTMENT SERVICES, LLC	L	L	L	L		L	L		L	L	L	L
KOVACK SECURITIES, INC.	NSCPRT	00000105	KOVACK SECURITIES INC.		L	L	L					L	L	L	
L. M. KOHN & COMPANY	NSCPRT	00000194	L.M. KOHN & COMPANY			L							L		
LASALLE ST. SECURITIES, LLC	NSCPRT	00000232	LASALLE ST. SECURITIES, L.L.C.			L	L					L	L		
LEIGH BALDWIN & CO., LLC	NSCPRT	00006013	LEIGH BALDWIN & CO., LLC		L	L	L					L	L	L	
LINCOLN INVESTMENT PLANNING, LLC	NSCPRT	00000145	LINCOLN INVESTMENT PLANNING, LLC	L	L	L	L					L	L	L	L
LINCOLN NATIONAL CORPORATION	NSCPRT	00000478	LINCOLN FINANCIAL ADVISORS CORPORATION	L	L	L	L					L	L	L	
LINCOLN NATIONAL CORPORATION	NSCPRT	00000211	LINCOLN FINANCIAL SECURITIES CORPORATION	L	L	L	L					L	L	L	
LION STREET, INC.	NSCPRT	00007019	LION STREET FINANCIAL, LLC			L	L					L	L	L	
LLK INSURANCE BROKERAGE SERVICES, LLC	NSCPRT	00006009	LLK INSURANCE BROKERAGE SERVICES, LLC		L	L	L						L		
LPL FINANCIAL HOLDINGS INC.	NSCPRT	00000075	LPL FINANCIAL LLC	L	L	L	L		L	L		L	L	L	L
LPL FINANCIAL HOLDINGS INC.	NSCPRT	00000392	LPL FINANCIAL LLC/WS GRIFFITH SECS INC.			L									
LPL FINANCIAL HOLDINGS INC.	NSCPRT	00004747	LPL FINANCIAL LLC/LPL INSURANCE			L									
M FINANCIAL HOLDINGS INCORPORATED	NSCPRT	00000757	M HOLDINGS SECURITIES, INC.		L	L	L					L	L	L	
M&T BANK CORPORATION	NSCPRT	00000040	M&T SECURITIES, INC.			L	L					L	L		
MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY	NSCPRT	00000255	MML INVESTORS SERVICES, LLC	L		L	L			L		L	L	L	
MCDONALD PARTNERS, LLC	NSCPRT	00008425	DTCC SOLUTIONS, LLC/MCDONALD PARTNERS, LLC			L						L	L		
MINNESOTA MUTUAL COMPANIES, INC.	NSCPRT	00008411	SECURIAN FINANCIAL SERVICES, INC.			L									
MITSUBISHI UFJ FINANCIAL GROUP, INC.	NSCPRT	00003121	MUFG UNION BANK NA/UBIS			L	L		L	L			L	L	
MONEY CONCEPTS CAPITAL CORP.	NSCPRT	00006925	DTCC SOLUTIONS, LLC/ MONEY CONCEPTS CAPITAL CORP.				L					L	L	L	
MORGAN STANLEY	NSCPRT	00000015	MORGAN STANLEY SMITH BARNEY LLC	L	L	L	L		L	L		L	L	L	L
MUTUAL OF OMAHA HOLDINGS, INC	NSCPRT	00000398	MUTUAL OF OMAHA INVESTOR SERVICES, INC.			L	L		L	L		L	L		
NATIONWIDE MUTUAL INSURANCE COMPANY	NSCPRT	00000605	NATIONWIDE SECURITIES, LLC	L		L	L					L	L		
NEW YORK LIFE INSURANCE COMPANY	NSCPRT	00000368	NYLIFE SECURITIES LLC			L	L					L	L		
NLV FINANCIAL CORPORATION	NSCPRT	00000560	EQUITY SERVICES, INC.			L	L					L	L	L	
NORTHSTAR EYBA, LLC	NSCPRT	00003913	CLS INVESTMENT FIRM, LLC		L		L					L	L		
NOYES GROUP, LLC	NSCPRT	00007552	SANCTUARY SECURITIES, INC.			L	L					L	L	L	
OHIO NATIONAL MUTUAL HOLDINGS, INC.	NSCPRT	00004753	THE O.N. EQUITY SALES COMPANY/ OHIO NATIONAL		L	L	L					L	L	L	
OHIO NATIONAL MUTUAL HOLDINGS, INC.	NSCPRT	00000092	THE O.N. EQUITY SALES COMPANY		L	L	L			L		L	L	L	
ONE RESOURCE GROUP CORPORATION	NSCPRT	00001159	ONE RESOURCE GROUP CORPORATION				L						L		
OPPENHEIMER HOLDINGS INC.	NSCPRT	00000571	OPPENHEIMER & CO. INC.		L	L	L					L	L	L	
OPUS BANK	NSCPRT	00000579	PENSCO TRUST COMPANY LLC				L						L		
PARKLAND SECURITIES, LLC	NSCPRT	00005347	PARKLAND SECURITIES, LLC			L	L					L	L	L	
PARSONEX INSURANCE AGENCY, INC.	NSCPRT	00008440	DTCC SOLUTIONS LLC/ PARSONEX INSURANCE AGENCY, INC.			L									
PAULSON CAPITAL CORP	NSCPRT	00006906	P.J. ROBB VARIABLE CORPORATION				L						L		
PEACHCAP SECURITIES, INC.	NSCPRT	00001396	PEACHCAP SECURITIES, INC.			L									
PEAK BROKERAGE SERVICES, LLC	NSCPRT	00008467	DTCC SOLUTIONS LLC/ PEAK BROKERAGE SERVICES, LLC			L						L	L	L	
PEAK6, LLC	NSCPRT	00000158	APEX CLEARING CORPORATION		L	L						L	L	L	
PECUNIA MANAGEMENT, LLC	NSCPRT	00003280	INTERNATIONAL ASSETS ADVISORY, LLC			L						L	L	L	
PEOPLE'S UNITED FINANCIAL, INC.	NSCPRT	00000220	PEOPLE'S SECURITIES, INC.	L		L	L		L			L	L	L	L
PFS INVESTMENTS INC.	NSCPRT	00000468	PFS INVESTMENTS INC.		L	L	L					L	L	L	
PLANMEMBER FINANCIAL CORPORATION	NSCPRT	00000489	PLANMEMBER SECURITIES CORPORATION		L	L	L			L		L	L	L	
PRINCIPAL FINANCIAL GROUP, INC.	NSCPRT	00004744	PREFERRED PRODUCT NETWORK, INC.			L	L					L	L	L	
PRINCIPAL FINANCIAL GROUP, INC.	NSCPRT	00000375	PRINCIPAL SECURITIES, INC.			L	L					L	L	L	
PRIVATE CLIENT SERVICES, LLC	NSCPRT	00006011	PRIVATE CLIENT SERVICES, LLC			L	L			L		L	L	L	
PROSPERA FINANCIAL SERVICES, INC.	NSCPRT	00003650	PROSPERA FINANCIAL SERVICES, INC.			L	L					L	L	L	
RAYMOND JAMES FINANCIAL, INC.	NSCPRT	00000725	RAYMOND JAMES & ASSOCIATES, INC.	L	L	L	L	L	L	L	L	L	L	L	L
REGIONS FINANCIAL CORPORATION	NSCPRT	00006359	REGIONS INVESTMENT SERVICES, INC.	L		L	L					L	L	L	L
REGULUS ADVISORS, LLC	NSCPRT	00003214	REGULUS ADVISORS, LLC.			L							L		
ROPER TECHNOLOGIES, INC.	NSCPRT	00006358	APLIFI, INC.	L		L	L					L	L	L	L
ROYAL BANK OF CANADA	NSCPRT	00000235	RBC CAPITAL MARKETS, LLC	L	L	L	L		L	L		L	L	L	L
SAXONY HOLDINGS	NSCPRT	00003358	SAXONY SECURITIES, INC.		L	L	L					L	L		
SIGMA FINANCIAL CORPORATION	NSCPRT	00005346	SIGMA FINANCIAL CORPORATION			L	L					L	L	L	
SS&C TECHNOLOGIES HOLDINGS, INC.	NSCPRT	00000626	DST MARKET SERVICES, LLC						L				L		
STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY	NSCPRT	00004283	STATE FARM VP MANAGEMENT CORP.		L		L			L			L		
STIFEL FINANCIAL CORP.	NSCPRT	00000793	STIFEL, NICOLAUS & COMPANY, INCORPORATED	L	L	L	L		L	L		L	L	L	L
STONEX GROUP INC.	NSCPRT	00000750	STONEX FINANCIAL INC.	L	L	L	L		L			L	L	L	L
SYNOVUS FINANCIAL CORP.	NSCPRT	00007978	SYNOVUS SECURITIES, INC.			L	L		L	L		L	L	L	
THE ALLSTATE CORPORATION	NSCPRT	00005341	ALLSTATE FINANCIAL SERVICES, LLC/ALLSTATE FINANCIAL ANNUITIES			L	L						L		
THE ALLSTATE CORPORATION	NSCPRT	00000222	ALLSTATE FINANCIAL SERVICES, LLC			L	L			L		L			
THE BANK OF NEW YORK MELLON CORPORATION	NSCPRT	00006322	BNY MELLON INSURANCE AGENCY, INC.			L							L		
THE BANK OF NEW YORK MELLON CORPORATION	NSCPRT	00000443	PERSHING LLC	L	L	L	L		L	L		L	L	L	L
THE CHARLES SCHWAB CORPORATION	NSCPRT	00000164	CHARLES SCHWAB & CO., INC.	L	L	L	L					L	L	L	L
THE CHARLES SCHWAB CORPORATION	NSCPRT	00000188	TD AMERITRADE CLEARING, INC.	L		L	L					L	L		
THE GOVERNMENT OF THE UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND	NSCPRT	00006313	CITIZENS SECURITIES, INC.			L	L		L			L	L	L	
THE GUARDIAN LIFE INSURANCE COMPANY OF AMERICA	NSCPRT	00000496	PARK AVENUE SECURITIES, LLC			L	L					L	L	L	
THE JONES FINANCIAL COMPANIES, L.L.L.P.	NSCPRT	00000057	EDWARD D. JONES & CO.	L	L	L	L		L	L		L	L	L	L
THE LEADERS GROUP, INC	NSCPRT	00008289	DTCC SOLUTIONS, LLC/ THE LEADERS GROUP, INC			L	L					L	L	L	
THE NORTHWESTERN MUTUAL LIFE INSURANCE COMPANY	NSCPRT	00000171	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC			L	L					L	L	L	
THE PENN MUTUAL LIFE INSURANCE COMPANY	NSCPRT	00000374	JANNEY MONTGOMERY SCOTT LLC	L		L	L		L	L		L	L	L	L
THE PENN MUTUAL LIFE INSURANCE COMPANY	NSCPRT	00000326	HORNOR, TOWNSEND & KENT, LLC		L	L	L		L			L	L	L	
THE PNC FINANCIAL SERVICES GROUP, INC.	NSCPRT	00002317	PNC BANK N.A./I