

# IIA Tri-State Chapter Audit Trails



## 2017 – 2018 Chapter Officers

### President

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### Vice President

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### Vice President of Finance

**Terry Gontarek**

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### Secretary

**Josh Moore**

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### Social Coordinator

**Ami Slaubaugh**

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## Upcoming Chapter Event

|                   |  |
|-------------------|--|
| <b>Date:</b>      | Thursday, January 18th, 2018                                     |
| <b>Time:</b>      | 11:30 AM – 1:00 PM   |
| <b>Topic:</b>     | “Long-Term Personal Growth Plans”                                |
| <b>Presenter:</b> | Patrick Edwards, VP & Treasurer, Vectren                         |
| <b>Place:</b>     | OneMain Financial – Exploration Room<br>(601 Bldg., lower level) |
| <b>Cost:</b>      | \$20/Member and \$25/Non-member                                  |
| <b>Food:</b>      | TBD  |

## Patrick Edwards

Patrick Edwards joined Vectren in 2001 and is the Vice President & Treasurer. In his 16 year career at Vectren, his primary responsibilities were in the Controller and Internal Audit groups. He graduated from Southern Methodist University with an accounting degree and worked for PricewaterhouseCoopers prior to joining Vectren.

Mr. Edward’s professional career started in Dallas and involved three years in Louisville before moving to Evansville in 1999. He is a Texan at heart living in Southern Indiana with his wife and two daughters (17 and 14). He is on the boards of Youth First and Junior Achievement and is a past treasurer and president of Rolling Hills Country Club.

## 2018 Chapter Meeting Calendar

| Date              | Speaker              | Topic                           | Location          | CPE |
|-------------------|----------------------|---------------------------------|-------------------|-----|
| February 15, 2018 | Protiviti            | Cybersecurity & Data Protection | OneMain Financial | 4   |
| March 15, 2018    | TBD                  | CAE Roundtable                  | OneMain Financial | TBD |
| April 19, 2018 ** | TBD - 8 HR. TRAINING | TBD                             | OneMain Financial | 8   |
| May 17, 2018      | Eric Williams        | Security                        | OneMain Financial | 1   |

\*\* Date subject to change

## 2017-2018 Tri-State Chapter Board

| <u>Name</u>    | <u>Organization</u>                        |
|----------------|--|
| Karen Cain     | Ziemer, Stayman, Weitzel, & Shoulders, LLP |
| Tony Mattingly | CHAN Healthcare                            |
| Linda Sartore  | Deaconess Health Systems                   |
| Blair Brown    | Old National Bancorp                       |
| Tarrah Logsdon | Old National Bancorp                       |

## January 2018 Tri-State IIA Membership Anniversaries

| <u>Name</u>       | <u>Date Joined</u> |
|-------------------|--------------------|
| Tim Lukomski      | 1/14/2002          |
| Carrie Holley     | 1/7/2009           |
| Kendra Groeninger | 1/27/2016          |
| Dalia Boulanger   | 1/19/2017          |

## Tri-State Chapter News

### Did You Miss the CPE Reporting Deadline?



If you missed the Dec. 31 deadline for reporting your 2017 CPE/CPD, you may now be in Inactive (Grace Period) status. If you are, you may not use the IIA credentials after your name until the issue is corrected.

[You worked hard for your certification. Report now and keep it!](#)

### Take Advantage of IIA Membership Benefits in 2018



Your membership can pay for itself with discounts on IIA conferences, IIA Bookstore purchases, professional certifications, and training.

[Take advantage of these exclusive member benefits.](#)

### Get the Tools You Need at Every Level



The IIA has revised and renamed its best-selling courses to align with IPPF<sup>®</sup>. Reintroducing Tools & Techniques: I: New Internal Auditor, II: Lead Auditor, and III: Audit Manager.

[Learn more.](#)

## [NEW! Changes Coming to the CIA Exam Syllabi](#)



A recent job analysis confirmed the need to update the CIA exam syllabi to more closely align with the evolving responsibilities of today's leading internal auditors. Changes to the exam take effect in [January 2019](#).

[Learn more about how the CIA exam will be changing.](#)

## [Change Coming to CPE Requirements for IIA Certifications](#)



**NEW!** Beginning in 2018, two of every IIA-certified individual's CPE/CPD credits must be earned in Ethics. While this is not a requirement for 2017, we recommend [planning now](#) to attain those credits in the coming year. The IIA offers a [Registry of CPE Providers](#) for those who still need to earn credits as well as a variety of online options and options from local affiliates.

## [Vision University](#)



*"One of the most valuable training courses of your career..."*

Join a select group of C-level executives who lead their internal audit practice by attending The IIA's premier chief audit executive (CAE) development program, Vision University (VU). Three sessions are planned for 2018.

[Learn more now.](#)

## [General Audit Management Conference](#)



**Save \$200: Register Before December 31st**

Today's audit executives will experience an evolution of ideas and leading practices at this leader-driven dynamic program. With 5 general and 35 concurrent sessions, you will get practical strategies and tools and techniques for innovation and impact.

March 12-14, 2018 / Aria Resort & Casino / Las Vegas, NV

[Register today.](#)

## [Audit Intelligence Suite](#)



### A New Way to Look at Internal Audit

Through years of research and refinement, a smart and sophisticated yet simple to apply intelligence suite is now available for every internal audit function. Simply put, the Audit Intelligence Suite gives the CAE the ability to acquire valuable information and make it actionable.

[Get the decision support you've been looking for.](#)

## [Get the Essential Tools for Lead Auditors](#)



Tools & Techniques II: Lead Auditor has been updated to align with The IIA's 2017 IPPF. It provides all the elements and practices to lead an audit, and is designed for those with three to six years of experience.

[See how the revised course remains a leader.](#)

## [NEW! Liquidity Risk Practice Guide Available](#)



From historical perspectives to present-day implications, the new Practice Guide: Auditing Liquidity Risk outlines why internal audit's approach to liquidity risk must be updated in line with international standards. Created specifically for financial services audit professionals.

[Add this new Practice Guide to your library today.](#)

## [New Tools to Assess Fraud Risk](#)



This practice guide describes fraud characteristics, and how to identify and assess risks when planning individual audit engagements. It discusses how to brainstorm fraud scenarios and evaluate fraud risks to determine which ones should be evaluated further.

[Download now.](#)

## Book Collection

The Tri-State IIA Chapter has purchased approximately 30 audit/business related books for the public's viewing. The book collection is located in the Central Library (Evansville Vanderburgh Public Library) on the 2nd floor in the Business Collection. The book collection includes a wide variety of topics including: risk management, fraud, IT auditing, audit sampling, strategic auditing, etc. The chapter officers are very proud of this book collection and would encourage our members to go check some of the books out!

## Tri-State IIA Website

The IIA has moved all chapter websites to a Microsoft SharePoint platform. Please update your favorite bookmarks to the new address for the Tri-State IIA website <https://chapters.theiia.org/Tri-State/Pages/default.aspx>.

## 2018 IIA Conferences

| Date              | Conference   | Location                              |
|-------------------|--|---------------------------------------|
| March 12–14, 2018 | <a href="#">General Audit Management Conference</a>      | Aria Resort & Casino / Las Vegas, NV  |
| May 6–9, 2018     | <a href="#">International Conference</a>                 | Dubai World Trade Centre / Dubai, UAE |
| Aug. 13–15, 2018  | <a href="#">Governance, Risk, and Control Conference</a> | Omni Hotel / Nashville, TN            |
| Oct. 22-24, 2018  | <a href="#">All Star Conference</a>                      | Aria Resort & Casino / Las Vegas, NV  |

**\*\*Register early for IIA conferences and save!**

## 2017 IIA Online Learning Seminars

IIA Learning Online Seminars are real-time, facilitator-led courses offered online in short sessions over multiple days.

Check out [a complete list of offerings](#). Explore courses and dates to reserve your spot.

## Upcoming IIA Webinars

The IIA's complimentary Members-only Webinar Series is an IIA Membership benefit. These monthly educational webinars are a cost-effective and convenient way to immediately boost business know-how and are developed specifically with IIA members in mind.

**\*\*For Members-only Webinars: Registration will close 24 hours prior to the beginning of the webinar\*\***

- January 16, 2018 - [Members-only Webinar: Realistic Security: Counter Advanced Threats With Basic Controls](#)
- February 20, 2018 - [Members-only Webinar: Preparing for the Future: What Internal Auditors Can Expect in 2018](#)

## On-demand 24/7 Webinar Playback

One benefit of being an IIA member is having access to our extensive library of archived webinars ([Webinar Archives](#)).

NOTE: On-demand webinars do not qualify for NASBA CPEs.

## Take Your Career to the Next Level as a CIA.

Earning the Certified Internal Auditor<sup>®</sup> (CIA<sup>®</sup>) designation not only proves your competence and credibility, it can earn you respect, promotions, and \$38k more annually.\* Do the SMART thing and take advantage of your STRONG members-only savings:



Members Save  
Up To

**\$580**

On CIA Designation\*\*



Members Save  
Up To

**\$100**

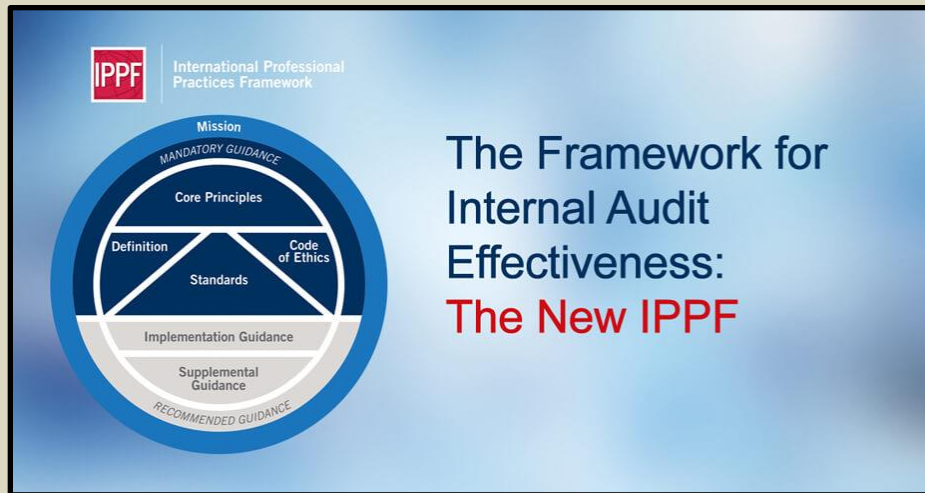
On CIA Learning  
System

\*According to The IIA's 2017 Internal Audit Compensation Study (based on U.S. responses).

\*\*CIA designation savings vary.

**Stay focused on preparing and passing the Certified Internal Auditor exam.**

**[Get all the smart details here.](#)**



The Framework for  
Internal Audit  
Effectiveness:  
The New IPPF

<https://na.theiia.org/standards-guidance/Pages/New-IPPF.aspx>

**Congratulations on reaching  
Platinum!!!**





## [About The Institute of Internal Auditors](#)

Established in 1941, The Institute of Internal Auditors (The IIA) is the international professional association serving the Internal Audit profession globally. As the internal audit profession's global voice, recognized authority, acknowledged leader, chief advocate, and principal educator, the IIA's International Standards and Code of Ethics unite a global community of over 180,000 internal auditors in 190 countries working in myriad areas including internal auditing, risk management, governance, internal control, information technology audit, education, and security. Our mission is to provide dynamic leadership for the global profession of internal auditing. To learn more, visit The IIA's website, [www.theiia.org](http://www.theiia.org).



Visit the new [75th Anniversary website](#) to learn about our history and participate in our bright future.