# Lincoln Financial Network®

### ACCOUNT AND SERVICE FEES

Fee type	Fee	
Account Fee	\$150 (annually)	
Cash Debit Balance Interest Charge	NFBLR + 3.00% <sup>1</sup>	
Check Reorders	Waived	
Fed Wires	\$15	
Legal Return	\$75	
Legal Transfer	\$100	
Mutual Fund Ticket Charges	\$15 <sup>2</sup>	
No-Load Mutual Fund Transaction Fee	Waived	
Overnight Checks	\$7-25 <sup>3</sup>	
Physical Reorganizations	\$50	
Returned Checks	\$25	
Securities Safekeeping Fee	\$5 Monthly Per Certificate	
Service and Handling (per Trade)	\$5	
Stop Payments	\$15	
Transfer and Ship (DRS* Eligible)	\$25	
Transfer and Ship (Non-DRS* Eligible)	\$250	-
Transfer Delivery/Account Termination Fee	\$75	
Unit Investment Trust Sale Transaction Fee	\$35	

\*Direct Registration of Securities

#### **COMMISSION SCHEDULE – Stocks**

Transaction Amount	Commission Rate	Additional Fee Information For Stocks
Less than \$2,500	\$36 + .023 of Amount	The maximum charge is \$.70 per share.
\$2,501 - \$7,500	\$71 + .008 of Amount	The minimum charge is \$.09 per share on the first 1,000 shares
\$7,501 - \$20,000	\$98 + .0045 of Amount	plus \$.05 per share thereafter.
\$20,001 - \$50,000	\$118 + .0035 of Amount	The overriding minimum is \$50 per transaction.
\$50,001 - \$500,000	\$193 + .002 of Amount	The foregoing minimum charges also apply to penny stock
\$500,001 and Greater	\$318 + .00175 of Amount	transactions (stocks priced under \$5 per share).

#### **COMMISSION SCHEDULE – Options**

Transaction Amount	Commission Rate	Additional Fee Information For Options
Less than \$2,500	\$35 + .019 of Amount	The maximum charge is \$40 per contract on the first two
\$2,501 - \$10,000	\$59 + .01 of Amount	contracts plus \$5 per contract thereafter per transaction.
\$10,001 and Greater	\$119 + .004 of Amount	The minimum charge is \$50 per transaction.

#### **COMMISSION SCHEDULE – Bonds<sup>4</sup>**

Transaction Amount	Commission Rate	Additional Fee Information For Bonds
Per \$1,000 Face Value	\$5	The overriding minimum is \$50 per transaction.

#### **ALTERNATIVE INVESTMENTS**

Transaction	Fee
Subscription Fee Per Purchase	\$50
Redemption Fee Per Position	\$50
Annual Custody and Valuation Fees	\$35

Lincoln Financial Network (LFN) reserves the right to amend this Fee and Commission Schedule, in its sole discretion, upon written notice to the Account Holder. The Account
Holder will be deemed to have consented to the changes if the Account Holder continues to maintain the account(s) and/or request any of the services referenced herein.

<sup>1</sup> The National Financial Base Lending Rate (NFBLR) is set at the discretion of National Financial Services LLC, and can change without notice.

<sup>2</sup> Normally LFN waives the transactional fees for mutual fund transactions beyond a service and handling fee and any fund company charges outlined in the product prospectus. However, we do reserve the right to charge a mutual fund transaction ticket charge. This fee may be charged by the registered representative or registered investment advisor assigned to your account. Discuss this and all charges with your registered representative or registered investment advisor.

<sup>3</sup> Fee contingent upon timing of delivery and shipping carrier.

<sup>4</sup> LFN may act as principal. Therefore, securities may be subject to a mark-up or mark-down rather than to a commission charge.

Lincoln Financial Network is the marketing name for the retail sales and financial planning affiliates, Lincoln Financial Securities Corporation and Lincoln Financial Advisors Corporation, both dually registered broker-dealer and investment adviser entities. Page 1 of 8 LFN11114 12/15



### ACCOUNT AND SERVICE FEES

Fee type	Fee	
Account Fee	\$100 (annually)	-
Cash Debit Balance Interest Charge	NFBLR + 3.00% <sup>1</sup>	-
Check Reorders	Waived	
Fed Wires	\$15	
Legal Return	\$75	
Legal Transfer	\$100	
Mutual Fund Ticket Charges	\$15 <sup>2</sup>	
No-Load Mutual Fund Transaction Fee	Waived	
Overnight Checks	\$7-25 <sup>3</sup>	
Physical Reorganizations	\$50	
Returned Checks	\$25	
Securities Safekeeping Fee	\$5 Monthly Per Certificate	
Service and Handling (per Trade)	\$5	
Stop Payments	\$15	
Transfer and Ship (DRS* Eligible)	\$25	
Transfer and Ship (Non-DRS* Eligible)	\$250	
Transfer Delivery/Account Termination Fee	\$75	
Unit Investment Trust Sale Transaction Fee	\$35	

\*Direct Registration of Securities

#### **COMMISSION SCHEDULE – Stocks**

Transaction Amount	Commission Rate	Additional Fee Information For Stocks
Less than \$2,500	\$36 + .023 of Amount	The maximum charge is \$.70 per share.
\$2,501 - \$7,500	\$71 + .008 of Amount	The minimum charge is \$.09 per share on the first 1,000 shares
\$7,501 - \$20,000	\$98 + .0045 of Amount	plus \$.05 per share thereafter.
\$20,001 - \$50,000	\$118 + .0035 of Amount	The overriding minimum is \$50 per transaction.
\$50,001 - \$500,000	\$193 + .002 of Amount	The foregoing minimum charges also apply to penny stock
\$500,001 and Greater	\$318 + .00175 of Amount	transactions (stocks priced under \$5 per share).

### **COMMISSION SCHEDULE – Options**

Transaction Amount	Commission Rate	Additional Fee Information For Options
Less than \$2,500	\$35 + .019 of Amount	The maximum charge is \$40 per contract on the first two
\$2,501 - \$10,000	\$59 + .01 of Amount	contracts plus \$5 per contract thereafter per transaction.
\$10,001 and Greater	\$119 + .004 of Amount	The minimum charge is \$50 per transaction.

#### **COMMISSION SCHEDULE – Bonds<sup>4</sup>**

Transaction Amount	Commission Rate	Additional Fee Information For Bonds
Per \$1,000 Face Value	\$5	The overriding minimum is \$50 per transaction.

#### **ALTERNATIVE INVESTMENTS**

Transaction	Fee
Subscription Fee Per Purchase	\$50
Redemption Fee Per Position	\$50
Annual Custody and Valuation Fees	\$35

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Holder will be deemed to have consented to the changes if the Account Holder continues to maintain the account(s) and/or request any of the services referenced herein.

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<sup>3</sup> Fee contingent upon timing of delivery and shipping carrier.

<sup>4</sup> LFN may act as principal. Therefore, securities may be subject to a mark-up or mark-down rather than to a commission charge.



# ACCOUNT AND SERVICE FEES

Fee type	Fee
Annual Account Maintenance Fee	\$50 (Fee waived on accounts generating certain account activity from July 1 to June 30)
Cash Debit Balance Interest Charge	NFBLR + 3.00% <sup>1</sup>
Fed Wires	\$15
Legal Return	\$75
Legal Transfer	\$100
Mutual Fund Ticket Charges	\$15 <sup>2</sup>
No-Load Mutual Fund Transaction Fee	\$35
Overnight Checks	\$7-25 <sup>3</sup>
Physical Reorganizations	\$50
Returned Checks	\$25
Securities Safekeeping Fee	\$5 Monthly Per Certificate
Service and Handling (per Trade)	\$5
Stop Payments	\$15
Transfer and Ship (DRS* Eligible)	\$25
Transfer and Ship (Non-DRS* Eligible)	\$250
Transfer Delivery/Account Termination Fee	\$75
Unit Investment Trust Sale Transaction Fee	\$35

\*Direct Registration of Securities

### **COMMISSION SCHEDULE – Stocks**

Transaction Amount	Commission Rate	Additional Fee Information For Stocks
Less than \$2,500	\$36 + .023 of Amount	The maximum charge is \$.70 per share.
\$2,501 - \$7,500	\$71 + .008 of Amount	The minimum charge is \$.09 per share on the first 1,000 shares
\$7,501 - \$20,000	\$98 + .0045 of Amount	plus \$.05 per share thereafter.
\$20,001 - \$50,000	\$118 + .0035 of Amount	The overriding minimum is \$50 per transaction.
\$50,001 - \$500,000	\$193 + .002 of Amount	The foregoing minimum charges also apply to penny stock
\$500,001 and Greater	\$318 + .00175 of Amount	transactions (stocks priced under \$5 per share).

### **COMMISSION SCHEDULE – Options**

Transaction Amount	Commission Rate	Additional Fee Information For Options
Less than \$2,500	\$35 + .019 of Amount	The maximum charge is \$40 per contract on the first two
\$2,501 - \$10,000	\$59 + .01 of Amount	contracts plus \$5 per contract thereafter per transaction.
\$10,001 and Greater	\$119 + .004 of Amount	The minimum charge is \$50 per transaction.

#### **COMMISSION SCHEDULE – Bonds<sup>4</sup>**

Transaction Amount	Commission Rate	Additional Fee Information For Bonds
Per \$1,000 Face Value	\$5	The overriding minimum is \$50 per transaction.

#### ALTERNATIVE INVESTMENTS

Transaction	Fee	
Subscription Fee Per Purchase	\$50	
Redemption Fee Per Position	\$50	
Annual Custody and Valuation Fees	\$35	

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Holder will be deemed to have consented to the changes if the Account Holder continues to maintain the account(s) and/or request any of the services referenced herein.

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 <sup>3</sup> Fee contingent upon timing of delivery and shipping carrier.

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# PREMIERE SELECT® RETIREMENT ACCOUNT AND SERVICE FEES

Fee
\$5
\$15
\$75
\$100
\$5
\$35
\$15 <sup>1</sup>
\$35
\$7-25 <sup>2</sup>
\$50
\$95
\$25
\$5 Monthly Per Certificate
\$5
\$15
\$25
\$250
\$35

\*Direct Registration of Securities

# **COMMISSION SCHEDULE – Stocks**

Transaction Amount	Commission Rate	
Less than \$2,500	\$36 + .023 of Amount	The maximum charge is \$.70 per share.
\$2,501 - \$7,500	\$71 + .008 of Amount	The minimum charge is \$.09 per share on the first 1,000 shares
\$7,501 - \$20,000	\$98 + .0045 of Amount	plus \$.05 per share thereafter.
\$20,001 - \$50,000	\$118 + .0035 of Amount	The overriding minimum is \$50 per transaction.
\$50,001 - \$500,000	\$193 + .002 of Amount	The foregoing minimum charges also apply to penny stock
\$500,001 and Greater	\$318 + .00175 of Amount	transactions (stocks priced under \$5 per share).

#### **COMMISSION SCHEDULE – Options**

Transaction Amount	Commission Rate	Additional Fee Information For Options
Less than \$2,500	\$35 + .019 of Amount	The maximum charge is \$40 per contract on the first two
\$2,501 - \$10,000	\$59 + .01 of Amount	contracts plus \$5 per contract thereafter per transaction.
\$10,001 and Greater	\$119 + .004 of Amount	The minimum charge is \$50 per transaction.

#### **COMMISSION SCHEDULE – Bonds<sup>3</sup>**

Transaction Amount	Commission Rate	Additional Fee Information For Bonds
Per \$1,000 Face Value	\$5	The overriding minimum is \$50 per transaction.

#### **ALTERNATIVE INVESTMENTS**

Transaction	Fee
Subscription Fee Per Purchase	\$50
Redemption Fee Per Position	\$50
Annual Custody and Valuation Fees	\$35

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Holder will be deemed to have consented to the changes if the Account Holder continues to maintain the account(s) and/or request any of the services referenced herein.

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<sup>2</sup> Fee contingent upon timing of delivery and shipping carrier.

<sup>3</sup> LFN may act as principal. Therefore, securities may be subject to a mark-up or mark-down rather than to a commission charge.



# PREMIERE SELECT® RETIREMENT PLAN AND SERVICE FEES

Fee type	Fee
Fed Wires	\$15
National Financial Services LLC Annual Maintenance Fee	\$35
Legal Return	\$75
Legal Transfer	\$100
Mutual Fund Ticket Charges	\$15 <sup>1</sup>
No-Load Mutual Fund Transaction Fee	\$35
Overnight Checks	\$7-25 <sup>2</sup>
Physical Reorganizations	\$50
Retirement Account Full Distribution/Account Termination Fee	\$95
Returned Checks	\$25
Securities Safekeeping Fee	\$5 Monthly Per Certificate
Service and Handling (per Trade)	\$5
Stop Payments	\$15
Transfer and Ship (DRS* Eligible)	\$25
Transfer and Ship (Non-DRS* Eligible)	\$250
Unit Investment Trust Sale Transaction Fee	\$35
*Direct Registration of Securities	

#### **COMMISSION SCHEDULE – Stocks**

Transaction Amount	Commission Rate	Additional Fee Information For Stocks
Less than \$2,500	\$36 + .023 of Amount	The maximum charge is \$.70 per share.
\$2,501 - \$7,500	\$71 + .008 of Amount	The minimum charge is \$.09 per share on the first 1,000 shares
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\$20,001 - \$50,000	\$118 + .0035 of Amount	The overriding minimum is \$50 per transaction.
\$50,001 - \$500,000	\$193 + .002 of Amount	The foregoing minimum charges also apply to penny stock
\$500,001 and Greater	\$318 + .00175 of Amount	transactions (stocks priced under \$5 per share).

#### **COMMISSION SCHEDULE – Options**

Transaction Amount	Commission Rate	Additional Fee Information For Options
Less than \$2,500	\$35 + .019 of Amount	The maximum charge is \$40 per contract on the first two
\$2,501 - \$10,000	\$59 + .01 of Amount	contracts plus \$5 per contract thereafter per transaction.
\$10,001 and Greater	\$119 + .004 of Amount	The minimum charge is \$50 per transaction.

#### **COMMISSION SCHEDULE – Bonds<sup>3</sup>**

Transaction Amount	Commission Rate	Additional Fee Information For Bonds
Per \$1,000 Face Value	\$5	The overriding minimum is \$50 per transaction.

#### **ALTERNATIVE INVESTMENTS**

Transaction	Fee
Subscription Fee Per Purchase	\$50
Redemption Fee Per Position	\$50
Annual Custody and Valuation Fees	\$35

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# FEE AND COMMISSION SCHEDULE – LincSolutions® Program – Universal Account

# ACCOUNT AND SERVICE FEES

 The asset-based fee is calculated as a percentage of the market value of all assets in the Portfolio(s) as of the close of the last trading day of each calender quarter prior to the billing date in accordance with Exhibit A of the LincSolutions<sup>®</sup> Advisory Services Agreement. The fee shall be payable quarterly in advance to Lincoln Financial Network.

Fee
NFBLR + 3.00% <sup>1</sup>
\$15
\$75
\$100
Waived
Waived
\$7-25 <sup>2</sup>
\$50
\$95
\$25
\$5 Monthly Per Certificate
\$5
\$15
\$25
\$250
\$35

\*Direct Registration of Securities

# **TRANSACTION SCHEDULE – Trades**

Security Type	Transaction Fee
Order for Stocks of One Issue	
First 1,000 Shares	\$24.95
Exceeding 1,000 Shares	3 Cents per Share thereafter
Order for Mutual Funds	\$0
Order for Bonds of One Issue	\$50
Order for Options	\$29.95 + \$1.50 per Contract

#### **ALTERNATIVE INVESTMENTS**

Transaction	Fee
Subscription Fee Per Purchase	\$50
Redemption Fee Per Position	\$50
Annual Custody and Valuation Fees	\$35

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Holder will be deemed to have consented to the changes if the Account Holder continues to maintain the account(s) and/or request any of the services referenced herein.

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<sup>2</sup> Fee contingent upon timing of delivery and shipping carrier.



# ACCOUNT AND SERVICE FEES

 The asset-based fee is calculated as a percentage of the market value of all assets in the Portfolio(s) as of the close of the last trading day of each calender quarter prior to the billing date in accordance with Exhibit A of the LincSolutions<sup>®</sup> Advisory Services Agreement. The fee shall be payable quarterly in advance to Lincoln Financial Network.

Fee type	Fee
Cash Debit Balance Interest Charge (Non-Retirement Accounts)	NFBLR + 3.00% <sup>1</sup>
Fed Wires	\$15
Legal Return	\$75
Legal Transfer	\$100
Lincoln Financial Advisors Retirement Account	
Administration Fee	Waived
National Financial Services LLC Retirement Account	
Annual Maintenance Fee	Waived
Overnight Checks	\$7-25 <sup>2</sup>
Physical Reorganizations	\$50
Retirement Account Full Distribution/Account Termination Fee	\$95
Returned Checks	\$25
Securities Safekeeping Fee	\$5 Monthly Per Certificate
Service and Handling (per Trade)	\$5
Stop Payments	\$15
Transfer and Ship (DRS* Eligible)	\$25
Transfer and Ship (Non-DRS* Eligible)	\$250
Unit Investment Trust Sale Transaction Fee	\$35

#### ALTERNATIVE INVESTMENTS

Transaction	Fee
Subscription Fee Per Purchase	N/A
Redemption Fee Per Position	\$50
Annual Custody and Valuation Fees	\$35

\*Direct Registration of Securities

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<sup>2</sup> Fee contingent upon timing of delivery and shipping carrier.



# ACCOUNT AND SERVICE FEES LINCOLN PREMIER SERIES

The asset-based fee is an annualized fee, as set forth in the Premier Series fees section of the Statement of Investment Selection. The fee is negotiable and is payable quarterly.

<b>Fee Туре</b>	Fee
Check Reorders	Waived
Non Qualified - Checkwriting/Debit Card (Premier Plus Only)	\$100/Portfolio Gold \$150/Portfolio Platinum <sup>1</sup>
Fed Wires - Non-Qualified	\$15
Fed Wires - Qualified	\$15
Legal Transfer	\$100
Overnight Checks	<b>\$7 - \$25</b> <sup>2</sup>
Returned Checks	\$25
Stop Payments	\$15
Account Termination Fee - Retirement Accounts Only	\$95
Outgoing Account Transfer - Non-Qualified Accounts Only	\$75

#### TRADING FEES LINCOLN PREMIER PLUS

Security (Purchase & Liquidation)	Fee
Transaction Fee Mutual Funds	\$15 <sup>3</sup>
No Transaction Fee (NTF) Mutual Funds	\$0
Listed Equities	\$15 for the first 1,000 shares, \$0.01 per additional share
OTC Equities	\$15
Options (only covered call writing is available)	\$25 + \$1.50 per contract
Unit Investment Trust (UIT)	\$25
Transaction Fee Mutual Funds (PIP/SWP)	\$0
No Transaction Fee (NTF) Mutual Funds (PIP/SWP)	\$0
Bonds	Fee
Corporate & Municipal Bonds	\$40
GNMA Bonds, Treasury Bills, Government Agencies & other Government	\$40
Bonds	

# **ALTERNATIVE INVESTMENTS**

Transaction	Fee
Subscription Fee Per Purchase	N/A
Redemption Fee Per Position	\$50
Annual Custody and Valuation Fees	\$35

Notes:

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- Class C Mutual Fund shares are not eligible for purchase or transfer into the program.
- <sup>1</sup> The account fee for Brokerage Portfolio Services (Platinum/Gold) would apply for Lincoln Premier Plus accounts with checkwriting/debit card prvileges.
- <sup>2</sup> Fee contingent upon timing of delivery and shipping carrier.
- <sup>3</sup> A \$10 Transaction Surcharge is assessed on buys, sells and exchanges-roundtrip within those fund families not participating in the Fidelity Partner Program. Those fund families are Alliance Bernstein Offshore Funds, CGM, Dimensional Fund Advisors (DFA), Dodge & Cox, Longleaf Partners, Meridian, Sequoia and Vanguard. This list is subject to change.