CHAPTER 6 Organic Reactions and Their Mechanisms

6-1 SUBSTITUTION REACTION

In a substitution reaction, a functional group in a particular chemical compound is replaced by another group.

Reagent	Substrate	Reactive intermediate	Type of organic substitution
Nucleophilic	Aliphatic	Carbocation	Aliphatic nucleophilic substitution
Electrophilic	Aromatic	Carbanion	Aromatic electrophilic substitution
		Free radical	Free radical substitution

> The electrophilic and nucleophilic substitution reactions are of prime importance.

> Detailed understanding of a reaction type helps to predict the product outcome in a reaction. It also is helpful for optimizing a reaction with regard to variables such as temperature and choice of solvent.

I. ALIPHATIC NUCLEOPHILIC SUBSTITUTION

A. General description

$R_L + Nu: \rightarrow R_Nu + L:$

Nucleophilic substitution reactions can carry out at a saturated aliphatic carbon or at other unsaturated carbon centre.

Charge type:

Type a
$$R-I + OH \rightarrow R-OH + I^{-}$$
Type b $R-I + NMe_{3} \rightarrow R^{-}NMe_{3} + I^{-}$ Type c $R^{-}NMe_{3} + OH^{-} \rightarrow R^{-}OH + NMe_{3}$ Type d $R^{-}NMe_{3} + H_{2}S \rightarrow R^{-}SH_{2} + NMe_{3}$

All necleophiles are Lewis bases.

Solvolysis: solvent used as a necleophile. Alkylattion: nucleophilic substitution at an alkyl carbon. Acylation: nucleophilic substitution at an acyl carbon.

- **B.** NUCLEOPHILIC SUBSTITUTION MECHANISMS AT SATURATED CARBON CENTRES
- Bimolecular Nucleophilic Substitution $(S_N 2)$



✓ The kinetic evidence: Rate = k [RX][Nu] the S_N2 transition state





Philips (1923)



ESCHENMOSER ET AL.



• The negatively charged carbon attacks the methyl group of another molecule rather than the nearby one in the same molecule, that is, the reaction is intermolecular and not intramolecular. The transition state in an SN2 reaction must be linear.

Unimolecular Nucleophilic Substitution (SN1)



Reaction kinetics: rate = k [RX]

Salt effect and common-ion effect: An increase in ionic strength of the 3 solution usually increases the rate of an SN1 reaction. A common ion will depress the SN1 rate.

Steric factor: The reactions run under SN1 conditions fail or proceed very slowly at the bridgehead position of [2,2,1](norbornyl) systems.

30% KOH in 80% C₂H₅OH, 21h

or: aqueous ethanolic AgNO₃, 48h

→ X



1-chloroapocamphane

Stereochemistry: An excess of inversion is usually observed, as the leaving group can remain in proximity to the carbocation intermediate for a short time and block nucleophilic attack.



Ion Pairs in the SN1 Mechanism



A complete picture of the possibilities for solvolysis in a solvent SH (ignoring the possibilities of elimination or rearrangement). RS and SR represent enantiomers; x = some fraction.

i. SN2 process: a complete inversion

- ii. Intimate ion pair R+X-: total inversion if (a) does not take place or to a combination of inversion and racemization if there is competition between (a) and (b).
- iii. Solvent-separated
 R⁺ || X⁻: more
 racemization (perhaps total)
- iv. Free R⁺: complete racemization
- v. The difference: SN1 and SN2 mechanisms is in the timing of the steps.

• The Neighboring-Group Mechanism

OBSERVATION with certain substrates:

- i. The rate of reaction is greater than expected,
- ii. The configuration at a chiral carbon is retained and not inverted or racemerized.

The *neighboring-group mechanism* consists essentially of two S_N2 substitutions, each causing an inversion so that the net result is retention of configuration.



EVIDENCE:

(i) Configurational retention. Note that both products are optically inactive and so cannot be told apart by differences in rotation. The *meso* and *dl* dibromides have different boiling points and indexes of refraction and were identified by these properties.







(iii) Acetolysis of both 4-methoxy-pentyl brosylate **1** and 5-methoxy-2-pentyl brosylate **2**: the same mixture of products. In this case the intermediate **3** is common to both substrates.



✓ Important neighboring groups: COO⁻, COOR, COAr, OCOR, OR, OH, O⁻, NH₂, NHR, NR₂, NHCOR, SH, SR, S⁻, I, Br, Cl.

The effectiveness: I > Br > Cl.



C. NUCLEOPHILIC SUBSTITUTION AT AN ALIPHATIC TRIGONAL CARBON. THE TETRAHEDRAL MECHANISM

Acyl substitution is basically a two-step nucleophilic addition and elimination reaction. Both reaction steps are reversible reactions.



D. Reactivity

• The Effect of Substrate Structure

> For the SN2 mechanism, branching at either the α or the β carbon decreases the rate.

Table 1. Average relative SN2 rates for some alkyl substrates				^	Primary and secondary substrates generally react by the SN2 mechanism and		
R	Relative rate	R	Relative rate	iction}	tertiary by the SN1 mechanism.		
Methyl	30	Isobutyl	0.03	of rea	SHI		
Ethyl	1	Neopentyl	10^{-5}	ate c	Siz		
Propyl	0.4	Ally	10	og (R			
Butyl	0.4	Benzy1	120	Ľ	Which was		
Isopropy	0.025				Methyl Ethy Isoprop, Tentians		

Elimination is always a possible side reaction of nucleophilic substitutions of tertiary substrates (wherever a hydrogen is present).

Substrates of the type RCOX are usually much *more* reactive than the corresponding RCH_2X . The mechanism here is always the tetrahedral one. Explanation:

i. The carbonyl carbon has a sizable partial positive charge.

ii. In an SN1 reaction a σ bond must break in the rate-determining step, which requires more energy than the shift of a pair of π electrons, which is what happens in a tetrahedral mechanism.

iii. A trigonal carbon offers less steric hindrance to a nucleophile than a tetrahedral carbon.

> Unsturation at the β -carbon.

Table 2. Relative rates for the SN1 reaction between ROTs and ethanol at $25^{\circ}\mathrm{C}$				
CH ₃ CH ₂ -	0.26	PhCH ₂ -	100	
(CH ₃) ₂ CH-	0.69	Ph ₂ CH-	$^{\sim}~10^{5}$	
CH ₂ =CHCH ₂ -	8.6	Ph ₃ C-	~ 10 ¹⁰	

NOTE

rate:

In general, SN1 rates at allylic substrate are increased by any substituent in the 1 or 3 position that can stabilized the carbocation by resonance or hyperconjugation. Among these are alkyl, aryl, and halo groups.

SN2 rates for allylic and benzylic systems are also increased (See Tab.1), probably owing to resonance possibilities in the transition state.

 $\succ \alpha$ -Substitution — resonance effect, field effect

10^{7.3}



rate (*k*) 4x10⁻¹⁷/s

 $3x10^{6/s}$

Table 3. List of groups in approximately descending order of reactivity toward SN1 and SN2 reactions. (Z = RCO, HCO, ROCO, NC, or a similar group)

SN1 re	eactivity	SN2 rea	uctivity
Ar ₃ CX	RCHDX	Ar ₃ CX	R ₃ CX
Ar ₂ CHX	RCHDCH ₂ X	Ar ₂ CHX	ZCH ₂ CH ₂ X
ROCH ₂ X, RSCH ₂ X, R ₂ NCH ₂ X	C=CX	ArCH ₂ X	R ₃ CCH ₂ X
R ₃ CX	ZCH ₂ X	ZCH ₂ X	C=CX
C=CCH ₂ X	ZCH ₂ CH ₂ X	C=CCH ₂ X	ArX
R ₂ CHX	ArX	$ m RCH_2X \sim m RCHDX \sim m RCHDCH_2X$	Bridgehead-X
$\mathrm{RCH}_2\mathrm{X} \stackrel{\sim}{\sim} \mathrm{R}_3\mathrm{CCH}_2\mathrm{X}$	[2,2,1]bridgehea d-X	R ₂ CHX	

• The Effect of the Attacking Nucleophile

SN1 rate: are independent of the identity of the nucleophile, since it does not appear in the rate-determining step.

 \succ For SN2 reactions in solution there are four principles that govern the effect of the nucleophile on the rate.

- i. A nucleophile with a negative charge > its conjugate acid. $OH^- > H_2O, NH_2^- > NH_3$
- ii. In comparing nucleophiles whose attacking atom is in the same row of the periodic table, nucleophilicity is approximately in order of basicity. $NH_2^- > RO^- > OH^- > R_2NH > ArO^- > NH_3 > C_6H_5N > F^-$ > H_2O > CIO_4^- ; R_3C^- > R_2N^- > RO^- > F^- .
- iii. Going down the periodic table, nucleophilicity increases, though basicity decreases. $I^- > Br^- > Cl^- > F^-$ (solvation, HSAB principle)
- iv. The freer the nucleophile, the greater the rate.
- Ex.: The rate of nucleophilic attack by (EtOOC)₂CBu⁻Na⁺ in benzene was increased by the addition of 1,2-dimethoxyethane.

NOTE:

- i. The four rules given above do not always hold. One reason is that steric influences often play a part.
 Basicity: Me₃CO⁻ > OH⁻ or OEt⁻
 Nucleophilicity: Me₃CO⁻ < OH⁻ or OEt⁻
- ii. Nucleophilicity order for SN2 mechanism (in protonic solvents): $RS^- > Ar^- > I^- > CN^- > OH^- > N_3^- > Br^- > ArO^- > Cl^- > C_6H_5N$ $> ^-OAc > H_2O.$
- iii. For substitution at a carbonyl carbon, the nucleophilicity order is not the same as it is at a saturated carbon, but follows the basicity order more closely.

 $EtO^- > MeO^- > OH^- > ArO^- > N_3^- > F^- > H_2O > Br^- \sim I^-$

• The Effect of the Leaving Group

➤ At a saturated carbon. The leaving group comes off more easily the more stable it is as a free entity. This is usually inverse to its basicity, and the best leaving groups are the weakest bases. Thus iodide is the best leaving group among the halides and fluoride the poorest.



ROTs

p-Toluenesulfonates, Tosylates

ROBs *p*-Bromobenzenesulfonates, Brosylates

RONs *p*-Nitrobenzenesulfonates, Nosylates

ROMs Methanesulfonates, Mesylates

- At a carbonyl carbon. In the tetrahedral mechanism at a carbonyl carbon, the bond between the substrate and leaving group is still intact during the slow step. Nevertheless, the nature of the leaving group still affects the reactivity in two ways:
- i. The greater the electron-withdrawing character of X, the greater the partial positive charge on carbonyl carbon and the more rapid the attack by a nucleophile.
- ii. The nature of the leaving group affects the position of equilibrium. There is competition between X and Y as to which group leave:



 $RCOCI > RCOOCOR' > RCOOAr > RCOOR' > RCONH_2 > RCOO^{-}$. 23

• The Effect of the Reaction Medium

Table 3. Transition states for SN1 and for SN2 reactions of the four charge types $% \left({{\left[{{{\rm{SN}}} \right]_{\rm{SN}}}} \right)$

Reactants and transition states	Charge in the transition state relative to starting materials	How an increase in solvent polarity affects the rate
Type a $RX + Y^- \rightarrow Y^{\delta-} R^{-} X^{\delta-}$	Dispersed	Small decrease
Type b $RX + Y \rightarrow Y^{\delta + \cdots}R^{\cdots}X^{\delta -}$	Increased	Large increase
Type c $RX^+ + Y^- \rightarrow Y^{\delta-} R^{-} X^{\delta+}$	Decreased	Large decrease
Type d $RX^+ + Y \rightarrow Y^{\delta + \cdots} R^{\cdots} X^{\delta +}$	Dispersed	Small decrease
$RX \rightarrow R^{\delta + \cdots \cdot X^{\delta +}}$	Increased	Large increase
$RX^+ \rightarrow R^{\delta + \cdots} X^{\delta +}$	Dispersed	Small decrease

When there is a greater charge in the transition state than in the starting compound, the more polar the solvent, the faster the reaction.
 Even for solvents with about the same polarity, there is a difference between protonic and aprotonic solvents. In *type a* and *b*, TS solvation: polar aprotonic solvents > prontonic solvents.
 It is quite possible for the same reaction to go by the SN1 in ²the solvent and the SN2 in another. (see: *J. Am. Chem. Soc.* 1961, 83, 618)

• Phase Transfer Catalysis

A difficulty that occasionally arises when carrying out nucleophilic substitution reactions is that the reactants do not mix.



Ambident Nucleophiles / Substrates. Regioselectivity

Ambident nucleophiles: Some nucleophiles have a pair of electrons on each of two or more atoms, or canonical forms can be drawn in which two or more atoms bear an unshared pair.

Ambident substrates: Some substrates (e.g., 1,3-dichlrorbutane) can be attacked at two or more positions.



E. Reactions



II. Aromatic Electrophilic Substitution

• Most substitution at an aliphatic carbon are nucleophilic. In aromatic systems the situation is reversed, because the high electron density at the aromatic ring attracts positive species and not negative ones. In electrophilic substitutions the attacking species is a positive ion or the positive end of a dipole or induced dipole. The leaving group must necessarily depart without its electron pair.

◆ In nucleophilic substitutions, the chief leaving groups are those best able to carry the unshared pair: Br -, H_20 , 0Ts -, etc., that is, the weakest bases. In electrophilic substitutions the most important leaving groups are those that can best exist without the pair of electrons necessary to fill the outer shell, that is, the weakest Lewis acids. The most common leaving group in electrophilic aromatic substitutions is the proton (H⁺).

A. The Arenium Ion Mechanism

➤ In the arenium ion mechanism the attacking species may be produced in various ways, but what happens to the aromatic ring is basically the same in all cases. For this reason most attention in the study of this mechanism centers around the identity of the attacking entity and how it is produced.



> Evidence:

i. No isotope effects

If the hydrogen ion departs before the arrival of the electrophile or if the arrival and departure are simultaneous, there should be a substantial isotope effect (i.e., deuterated substrates should undergo substitution more slowly than nondeuterated compounds) because, in each case, the C—H bond is broken in the rate-determining step.

However, in the arenium ion mechanism, the C—H bond is not broken in the rate-determining step, so no isotope effect should be found.

ii. Isolation of arenium ion intermediates



B. Orientation and Reactivity

Monosubstituted Benzene Rings



□ Any group Z that has an electron donating field effect should stabilize all three ions.

Electron-withdrawing groups will increase the positive charge on the ring, and destabilize the arenium ion.

□ Field effects should taper off with distance and are strongest at the 31 carbon connected to the group Z. +*I* groups should stabilize all three ions but mostly the ortho and para



Some substituents have a pair of electrons (usually unshared) that may be contributed toward the ring. Not only to direct ortho and para, but also to activate these positions for electrophilic attack²

Three Types of Groups:

i. Groups that contain an unshared pair of electrons on the atom connected to the ring.

O-, NR₂, NHR, NH₂, OH, OR, NHCOR, OCOR, SR, the four halogens, and SH (except for the case of thiophenols electrophiles usually attack the sulfur rather than the ring). \sim Cl, Br, and I deactivate the ring, but they direct orthopara.

ii. Groups that lack an unshared pair on the atom connected to the ring and that are -I.

Approximate deactivating ability: $NR_3^+ > NO_2 > CF_3 > CN > SO_3H > CHO > COR > COOH > COOR > CONH_2 > CC1_3 > NH_3^+$.

The NH_3^+ group is an anomaly, since this group directs para about as much as or a little more than it directs *meta*.

iii. Groups that lack an unshared pair on the atom connected to the ring and that are *ortho-para*-directing.

-R, -Ar, -COO⁻ groups, which active the ring.



> Orientation in Benzene Rings with More than One Substituent

Generalization

i. If a strong activating group competes with a weaker one or with a deactivating group, the former controls.

Directing order: NH_2 , OH, NR_2 , $O^- > OR$, OCOR, NHCOR >, R, Ar > halogen > meta-directing groups.



ii. All other things being equal, a third group is least likely to enter between two groups in the *meta* relationship (steric hindrance).

iii. When a *meta*-directing group is *meta* to an *ortho-para*-directing group, the incoming group primarily goes *ortho* to the *meta*-directing group rather than *para*.



Reactions



III. Aliphatic Electrophilic Substitution

MECHANISMS

Aliphatic electrophilic substitution can be distinguish unimolecular (SE1) and bimolecular (SE2). The bimolecular mechanisms are analogous to the SN2 mechanism in that the new bond forms as the old one breaks.

Halogenation of Aldehydes and Ketones:


IV. Aromatic Nucleophilic Substitution



i. Reactions activated by electron-withdrawing groups *ortho* and *para* to the leaving group;

ii. Reactions catalyzed by very strong bases and proceeding through aryne intermediates; 苯炔

iii. Reactions initiated by electron donor;

iv. Reactions in which the nitrogen of a diazonium salt is replaced by a nucleophile. 重氮盐

${\rm o}$ the $S_{N}Ar$ (addition-elimination) mechanism



The Benzyne Mechanism 0

can

turn



VI. Free-Radical Substitution

Some general characteristics:

- 1. Reactions are fairly similar whether they are occurring in the vapor or liquid phase, though solvation of free radicals in solution does cause some differences.
- 2. They are largely unaffected by the presence of acids or bases or by changes in the polarity of solvents, except that nonpolar solvents may suppress competing ionic reactions.
- 3. They are initiated or accelerated by typical freeradical sources, such as the peroxides referred to, or by light.
- 4. Their rates are decreased or the reactions are suppressed entirely by substrates that scavenge free radicals, e.g., nitric oxide, molecular oxygen, of benzoquinone. These substances are called inhibitors.

Mechanisms at an Aromatic Substrate

In the first step, the radical attracts the ring in much the same way as would an electrophile or a nucleophile:



REACTIVITY IN ALIPHATIC SUBSTRATES

In a chain reaction, the step that determines what the product will be is most often an abstraction step. What is abstracted by a radical is nearly always univalent, it is hydrogen or halogen for organic compounds.

 $CH_{3}CH_{3} + CI \bullet \checkmark H - CI + CH_{3}CH_{2} \bullet \Delta H = -13 \text{ kJ/mol}$ $CH_{3}CH_{2} - CI + H \bullet \Delta H = +76 \text{ kJ/mol}$

- i. A univalent atom is much more exposed to attack by the incoming radical;
- ii. In many cases abstraction of a univalent atom is energetically more favored.

Table 5. Relative susceptibility to attack by Cl. of promary, secondary, and tertiary hydrogen in the gas phase

Temp./ °C	Primary	Secondary	Tertiary
100	1	4.3	7.0
600	1	2.1	2.6
Tar		an na 1	4

Temperature \uparrow selectivity \downarrow

Tabl	e 6.	Relative	substitution rates					
	CH ₃ H	CH ₃ CH ₂ − <mark>H</mark>	(CH ₃) ₂ CH– <mark>H</mark>	(CH ₃) ₃ C –H	PhCH ₂ –H	Ph ₂ CH– <mark>H</mark>	Ph ₃ C– <mark>H</mark>	
Br•	0.0007	7 1	220	19400	64000	1. 1×10^{6}	6. 4×10^{6}	
C1·	0.004	. 1	4.3	6.0	1.3	2.6	9.5	

Compounds containing electron-withdrawing substituents

	СН ₃ —	– CH ₂ —	СООН			
CH₃•	1	7.8				
C1·	1	0.03				
Electrophilic radical: halogen atoms Nucleophilic radical: Me•, t-butyl, benzyl, cyclopropyl						

Government with chlorine or bromine leads to addition rather
than substitution.

Vinylic hydrogens are practically never abstracted, allyli3 hydrogens are greatly preferred to other position of the molecule.

REACTIVITY IN AROMATIC SUBSTRATES

Generalizations:

i. All substituents increase reactivity at *ortho* and *para* positions over that of benzene. There is no great difference between electron-donating and electron-withdrawing groups.

ii. Reactivity at *meta* positions is usually similar to that of benzene, perhaps slightly higher or lower. This fact, coupled with the preceding one, means that all substituents are activating and *ortho-para*-directing; none are deactivating or (chiefly) meta-directing.

iii. Reactivity at *ortho* position is usually somewhat greater than at *para* positions, except where a large group decreases *ortho* reactivity for steric reasons.

REACTIONS

Allylic Halogenation

Olefins can be halogenated in the allylic position by a number of reagents, of which N-bromosuccinimide (NBS) is by far the most common.



The mechanism of the reaction probably begins with loss of a proton, then carbanion becomes oxidized to the radical.

6-2 Addition to Multiple Bonds

There are basically four ways in which addition to a double or triple bond can take place.

• A two-step process, with initial attack by a nucleophile, an electrophile, or a radical, and then second step consists of combination of the resulting intermediate with, respectively, a positive species, a negative species, or a neutral entity.

• A one-step mechanism, attack at the two carbon atoms of the double or triple bond is simultaneous.

Which of the four mechanisms is operating in any given case is determined by the nature of the substrate, the reagent, and the reaction conditions.

I. Stereochemistry



II. Electrophilic Addition

There is much evidence that when the attack is by Br^+ (or a carrier of it), the bromonium ion is often an intermediate and the addition is anti.



The atom is electrophilic at this time and attacks the negatively charged, high energy π -bond portion of the alkene's C=C bond. It forms for an instant a single σ -bond to *both* of the carbon atoms involved.



A bromide ion attacks the C-Br $\sigma *$ antibonding molecular orbital of a bromonium ion.

The two halogens add in an anti addition fashion, and when the alkene is part of a cycle the dibromide adopts the trans configuration. • Brominations of maleic acid and fumaric acid: stereospecific *trans*-addition:





> When the electrophile is a proton:

i. The reaction is general-acid, implying rate-determining proton transfer from the acid to the double bond.
ii. The existence of alkyl substituent effects.
iii. Open carbocations are prone to rearrange.



The Reactivity Toward Electrophilic Addition:

 $CC1_{3}CH=CH_{2} < CHC1_{2}CH=CH_{2} < CH_{2}C1CH=CH_{2} < CH_{3}CH=CH_{2}$ $(CH_{3})_{2}C=C(CH_{3})_{2} > (CH_{3})_{2}C=CHCH_{3} > (CH_{3})_{2}C=CH_{2} > CH_{3}CH=CH_{2} > CH_{2}=CH_{2}$ > Orientation — Markovnikov's rule: For electrophilic attack, the positive portion of the reagent goes to the side of the double or triple bond that has more hydrogens.





Markovnikov' s rule also applies for halogen substituents or the case where bromonium ions or other three-membered rings are intermediates.



• Stereochemical Orientation Many electrophilic additions to norbornene and similar strained bicycloalkenes are syn addition, in these cases attack is always from the exo side. unless the exo side is blocked by substituents in the 7 position, in which case endo attack may predominate.



III. NUCLEOPHILIC ADDITION

A. Addition to Carbon-Hetero Multiple bonds



- \succ With a carbonyl compound electrophiles, the Nu can be:
- an alcohol in Acetalisation
- an amine in Mannich reaction
- a ylides in Wittig reaction
- an enolate ion an Aldol reaction
- a hydride in reduction
- an organometallic nucleophile (RMgX) in the Grignard reaction

Acetalisation





The acid often used to catalyze acetal formation is p-toluenesulfonic acid H_3C Toluene is usually the solvent, and water is removed azeotropically by distillation 55

SO₃H

Mannich reaction



Schiff base

 $R_2C=O + R'NH_2 = R'NH-(R_2)C-O-H = R_2C=NR' + H_2O$



Wittig reaction



Grignard reaction



B. Addition to Carbon-Carbon Double Bonds

Ordinary alkenes are not susceptible to a nucleophilic attack (apolar bond).



• Michael reaction (1,4-addition)



IV. FREE-RADICAL ADDITION

initiation



V. CYCLOADDITION: CLICK CHEMISTRY

• The Huisgen 1,3-dipolar cycloaddition using a Cu(I) catalyst at room temperature — the "cream of the crop" of click reactions.



6-3 Elimination Reactions



I. E2 and E1 Mechanism





- i. The proper second-order kinetics;
- ii. An isotope effect: $k_D/k_H = 3 \sim 8$;
- iii. Stereochemistry the E2 mechanism is stereospecific: the five atoms involved (including the base) in the transition state must be in one plane.

➤ Anti elimination is usually greatly favored over syn elimination, probably because a is a staggered conformation and the molecule requires less energy to reach this transition state than it does to reach the eclipsed transition state b.



For a six-membered ring, anti-periplanarity of the leaving groups requires that they be diaxial even if this is the conformation of higher energy.



syn-Elimination







cis-isomer: HCl elimination is much slower than from corresponding nonbridged compounds. trans-isomer: Syn elimination can take place (dihedral angle about 0°); reached about eight times faster than cis-isomer.

- a) Anti elimination requires a dihedral angle of 180°. When this angle cannot be achieved, anti elimination is greatly slowed or prevented entirely.
- b) Anti elimination is generally favored in the E2 mechanism, but that steric (inability to form the anti-periplanar transition state), conformational, ion-pairing, and other factors cause syn elimination to intervene (and even predominate) in some cases.

The E1 Mechanism

The E1 mechanism is a two-step process, ionization of the substrate to give a carbocation that rapidly loses a β proton to a base, usually the solvent:



i. Tertiary and some secondary substituted alkyl halides. ii. First order kinetics iii. Reaction mostly occurs in complete absence of base or presence of only a weak base iv. E1 are in competition with $S_N 1$ v. No deuterium isotope effect vi. No antiperiplanar requirement:



II. E1CB MECHANISM (CARBANION MECHANISM)



The E1cB reaction mechanism. Dehydration of 1-methyl-2-(2-fluoroethyl)pyridinium iodide).



Solvolysis at 65.3°C in 80% aqueous ethanol.

If this reaction had taken place by a second-order mechanism, the nucleophile would not be excepted to have the same ratio of preference for attack at the β hydrogen compared to attack at a *neutral* chloride as for attack at the β hydrogen compared to attack at a *positive* SMe₂ group.



III. Orientation of the Double Bond

i. No matter what the mechanism, a double bond does not go to a bridgehead carbon unless the ring sizes are large enough (Bredt's rule).



ii. Zaitsev's rule: the double bond goes mainly toward the most highly substituted carbon.



iii. Elimination from compounds with charged nucleofuges, e.g., NR_3^+ , SR_2^+ (those that come off as neutral mlecules), follow Hofmann's rule if the substrate is acyclic: *the double bond goes mainly toward the least highly substituted carbon*, but Zaitsev's rule if the leaving group is attached to a six-membered ring.



iv. No matter what the mechanism, if there is a double bond (C=C or C=O) or an aromatic ring already in the molecule that can be in conjugation with the new double bond, the conjugated product usually predominates, sometimes even when the stereochemistry is unfavorable.
IV. REACTIVITY

- > Factors influencing the elimination reactivity:
- Substrate structure
- The attacking base
- The leaving group
- The medium
- Elimination Reaction vs Nucleophilic Substitution:

Substitution generally predominates and elimination occurs only during precise circumstances. Generally, elimination is favored over substitution when

- steric hindrance increases
- basicity increases
- temperature increases
- the steric bulk of the base increases (KOBu^t)
- the nucleophile is poor.

Competition between E and Sn

Steric hindrance

CH₃(CH₂)₁₅CH₂CH₂Br $\xrightarrow{\text{RO}^-, \text{ ROH}}$ CH₃(CH₂)₁₅CH=CH₂+CH₃(CH₂)₁₅CH₂CH₂OR □ \hat{n}

CH ₃ O ⁻ , CH ₃ OH	~1% (E2)	99%(S _N 2)
Me ₃ CO ⁻ , Me ₃ COH	~85%(E2)	$\sim 15\%(S_N 2)$

Basicity

 $CH_3COO^-+(CH_3)_2CHBr \longrightarrow CH_3COOCH(CH_3)_2+Br^-$

 $C_2H_5O^-+(CH_3)_2CHBr \longrightarrow CH_3CH_2O-CH(CH_3)_2+CH_2=CHCH_3$

碱性 C₂H₅O⁻>CH₃COO⁻ 21% 79%

Temperature

 $(CH_3)C-Br+C_2H_5ONa \xrightarrow{C_2H_5OH} Me_3C-OEt+CH_2=CMe_2$

25℃	9% (S _N 1)	91% (E1+E2)
55℃	0%	100%(E1+E2)





1°RX 2° RX 3° RX

E2比例增加, S_N 2比例降低

6-4 Rearrangement Reaction

In a rearrangement reaction a group moves from one atom to another in the same molecule. Most are migrations from an atom to an adjacent one (called 1,2 shift), but some are over longer distances.



➤ A rearrangement is not well represented by simple and discrete electron transfers. The actual mechanism of alkyl groups moving probably involves transfer of the moving alkyl group fluidly along a bond, not ionic bond-breaking and forming.

- > Some key rearrangement reactions:
 - 1,2-rearrangements
 - pericyclic reactions
 - olefin metathesis

Wagner-Meerwein rearrangement

A Wagner-Meerwein rearrangement is a class of carbocation 1,2rearrangement reactions in which a hydrogen, alky<u>l</u> or ary<u>l</u> group migrates from one carbon to a neighboring carbon.

The rearrangement was first discovered in bicyclic terpenes:



Beckmann rearrangement

This is an acid-catalyzed rearrangement of an oxime to an amide. Cyclic oximes yield lactams.

The mechanism is generally believed to consist of an alkyl migration with expulsion of the hydroxyl group to form a nitrilium ion followed by hydrolysis:



Claisen rearrangement

The Claisen rearrangement is a powerful carbon-carbon bondforming chemical reaction. The heating of an allyl vinyl ether will initiate a [3,3] rearrangement to give a γ , δ -unsaturated carbonyl.



o Pinacol Rearrangement



Hofmann rearrangement



6-5 Organic Redox Reaction

In organic chemistry oxidations and reductions are different from ordinary redox reactions because many reactions carry the name but do not actually involve electron transfer in the electrochemical sense of the word.

Categories or simple functional groups arranged according to

oxidation state



I. Organic reductions

Several reaction mechanisms exist for organic reductions:

- Direct electron transfer in **Birch reduction**
- Hydride transfer in reductions, LiAlH₄
- Hydrogen reduction with a catalyst such as <u>Lindlar catalyst</u>
- Disproportionation reaction such as the Cannizzaro reaction





II. ORGANIC OXIDATIONS

Several reaction mechanisms exist for organic oxidations:

- Single electron transfer;
- Oxidations through ester intermediates with chromic acid;
- Hydrogen atom transfer as in Free radical halogenation;
- Oxidation with <u>oxygen</u> (combustion);
- Oxidation involving <u>ozone</u> (O₃) in ozonolysis;
- Oxidations involving an <u>elimination reaction</u> mechanism such as the <u>Swern oxidation</u>;
- oxidation by <u>nitroso radicals</u>, <u>fremy's salt</u> or <u>TEMPO</u>.







Oxidation by TEMPO



