

\$1B+ Wealth Management RIAs

Firm Name	Approx. AUM(\$M)	City	State	Employees
CAPTRUST	389,151	Raleigh	NC	624
Edelman Financial Engines	229,000	Santa Clara	CA	1,530
SEI Investments Management Corp	195,175	Oaks	PA	402
Envestnet PMC	175,291	Chicago	IL	445
Fisher Investments	120,949	Camas	WA	3,072
Brown Advisory	70,636	Baltimore	MD	480
Creative Planning	60,441	Leawood	KS	582
HighTower Advisors	57,000	Chicago	IL	566
Morningstar Investment Management	44,599	Chicago	IL	260
Hall Capital Partners	41,600	San Francisco	CA	160
ICONIQ Capital	39,634	San Francisco	CA	260
AssetMark	38,785	Concord	CA	555
Brinker Capital Investments	34,868	Berwyn	PA	173
Kayne Anderson Rudnick Investment Management	33,006	Los Angeles	CA	92
Chevy Chase Trust Company	32,141	Bethesda	MD	90
Mariner Wealth Advisors	29,435	Leawood	KS	672
Envestnet Portfolio Solutions	28,374	Boston	MA	445
Goldman Sachs Personal Financial Management	27,644	Newport Beach	CA	769
CIBC Private Wealth Advisors	27,072	Chicago	IL	433
Silvercrest Asset Management Group	25,070	New York	NY	142
Innovest Portfolio Solutions	25,000	Denver	CO	57
Cerity Partners	24,683	New York	NY	210
Moneta Group Investment Advisors	23,734	Saint Louis	MO	330
SCS Capital Management	22,230	Boston	MA	87
Jasper Ridge Partners	22,018	Fort Worth	TX	89
Private Advisor Group	21,718	Morristown	NJ	635
Segall Bryant & Hamill	19,661	Chicago	IL	126
DiMeo Schneider & Associates	19,119	Chicago	IL	103
Rockefeller Capital Management	19,102	New York	NY	263
BBR Partners	17,887	New York	NY	125
Buckingham Strategic Partners	17,620	Saint Louis	MO	473
Plante Moran Financial Advisors	17,595	Southfield	MI	133
Veritable LP	17,349	Newtown Square	PA	88
Tiedemann Advisors	17,319	New York	NY	121
Comprehensive Financial Management	17,062	Los Gatos	CA	29
Buckingham Strategic Wealth	16,161	Saint Louis	MO	473
Bahl & Gaynor	16,070	Cincinnati	OH	25
Mercer Global Advisors	15,849	Denver	CO	403
Summit Rock Advisors	15,799	New York	NY	67
1919 Investment Counsel	15,117	Baltimore	MD	125
Offit Capital Advisors	13,861	New York	NY	56
Wealth Enhancement Group	13,822	Minneapolis	MN	355
Ehrenkranz Partners L.P.	13,428	New York	NY	40
Boston Private Wealth	13,316	Boston	MA	135
Johnson Investment Counsel	13,118	Cincinnati	OH	136

Firm Name	Approx. AUM(\$M)	City	State	Employees
Oxford Financial Group	13,097	Carmel	IN	113
Aspiriant	12,759	Los Angeles	CA	166
The Ayco Company	12,758	Saratoga Springs	NY	1,357
Sawtooth Solutions	12,484	Minneapolis	MN	28
Personal Capital Advisors Corporation	12,241	San Francisco	CA	247
Morningstar Investment Services	12,156	Chicago	IL	27
Guided Choice Asset Management	11,910	San Diego	CA	16
Carson Wealth	11,897	Omaha	NE	424
Jordan Park Group	11,684	San Francisco	CA	60
The Colony Group	11,551	Boston	MA	240
Appleton Partners	11,188	Boston	MA	51
Prime Capital Investment Advisors	10,872	Leawood	KS	179
Wealthspire Advisors	10,639	New York	NY	48
Pathstone	10,251	Englewood	NJ	183
Baker Street Advisors	10,200	San Francisco	CA	50
SMArtX Advisory Solutions	10,188	West Palm Beach	FL	37
Mairs & Power	10,104	Saint Paul	MN	44
Beacon Pointe Advisors	9,950	Newport Beach	CA	167
FCI Advisors	9,863	Leawood	KS	61
IEQ Capital	9,777	San Mateo	CA	63
Cresset Asset Management	9,520	Chicago	IL	123
Loring, Wolcott & Coolidge Fiduciary Advisors	9,017	Boston	MA	95
myCIO Wealth Partners	8,853	Philadelphia	PA	48
Summit Trail Advisors	8,842	New York	NY	55
WE Family Offices	8,835	Miami	FL	47
Orion Portfolio Solutions	8,739	Omaha	NE	125
RMB Capital Management	8,665	Chicago	IL	180
Allworth Financial	8,649	Sacramento	CA	203
AE Wealth Management	8,584	Topeka	KS	475
Lockwood Advisors	8,554	King of Prussia	PA	34
Avalon Investment & Advisory	8,441	Houston	TX	67
TAG Associates	8,350	New York	NY	67
Mason Investment Advisory Services	8,331	Reston	VA	68
SEIA	8,236	Los Angeles	CA	85
Williams Jones Wealth Management	8,158	New York	NY	40
Resources Investment Advisors	7,961	Leawood	KS	300
Ballentine Partners	7,928	Waltham	MA	79
Savant Wealth Management	7,905	Rockford	IL	174
Eaton Vance WaterOak Advisors	7,742	Boston	MA	58
Fiduciary Counselling	7,660	Saint Paul	MN	74
Steward Partners Investment Advisory	7,348	New York	NY	224
Johnson Wealth	7,322	Milwaukee	WI	105
MAI Capital Management	7,166	Cleveland	OH	128
Gresham Partners	7,062	Chicago	IL	44
Homrich Berg	6,985	Atlanta	GA	110
EP Wealth Advisors	6,930	Torrance	CA	160
CV Advisors	6,811	Miami	FL	45
Brighton Jones	6,800	Seattle	WA	140
Crawford Investment Counsel	6,779	Atlanta	GA	50
Shepherd Kaplan Krochuk	6,765	Boston	MA	48
Mill Creek Capital Advisors	6,729	Conshohocken	PA	32
GW & Wade	6,701	Wellesley Hills	MA	65
Tolleson Private Wealth Management	6,631	Dallas	TX	87
Churchill Management Group	6,552	Los Angeles	CA	57
Bartlett & Co. Wealth Management	6,505	Cincinnati	OH	67
Shepherd Kaplan	6,486	Boston	MA	42
Disciplined Growth Investors	6,377	Minneapolis	MN	20
Douglas C. Lane & Associates	6,300	New York	NY	34
Cornerstone Advisors Asset Management	6,259	Bethlehem	PA	28
Athena Capital Advisors	6,254	Lincoln	MA	47

Firm Name	Approx. AUM(\$M)	City	State	Employees
Pinnacle Associates	6,245	New York	NY	56
LSIA	6,030	Bloomfield Hills	MI	13
East End Advisors	6,011	New York	NY	16
Ferguson Wellman Capital Management	5,962	Portland	OR	51
Independent Advisor Alliance	5,871	Charlotte	NC	205
Adviser Investments	5,836	Newton Center	MA	91
Frontier Asset Management	5,829	Sheridan	WY	45
Clearstead Advisors	5,777	Cleveland	OH	80
Heck Capital Advisors	5,710	Rhinelanders	WI	18
Stratos Wealth Partners	5,707	Beachwood	OH	476
Symmetry Partners	5,625	Glastonbury	CT	107
Welch & Forbes	5,590	Boston	MA	54
RSM US Wealth Management	5,589	Minneapolis	MN	123
Brownson, Rehms & Foxworth	5,571	Chicago	IL	40
The Bollard Group	5,571	Boston	MA	32
Arlington Partners	5,422	Birmingham	AL	27
Lido Advisors	5,404	Los Angeles	CA	83
Freestone Capital Management	5,402	Seattle	WA	67
Riverbridge	5,378	Minneapolis	MN	38
Horizon Kinetics Asset Management	5,349	New York	NY	82
Wetherby Asset Management	5,341	San Francisco	CA	69
Independent Financial Partners	5,224	Tampa	FL	284
Seven Post Investment Office LP	5,215	San Francisco	CA	18
Coho Partners	5,195	Berwyn	PA	31
Kovitz Investment Group Partners	5,149	Chicago	IL	80
Choate Investment Advisors	5,147	Boston	MA	14
Seven Bridges Advisors	5,113	New York	NY	23
Altair Advisors	5,097	Chicago	IL	54
Orgel Wealth Management	5,068	Altoona	WI	50
Prairie Capital Management	5,027	Kansas City	MO	37
Ropes Wealth Advisors	5,014	Boston	MA	36
Greycourt & Co.	4,987	Pittsburgh	PA	37
Gofen and Glossberg	4,968	Chicago	IL	35
Valeo Financial Advisors	4,922	Carmel	IN	62
RegentAtlantic	4,901	Morristown	NJ	67
R M Davis	4,857	Portland	ME	47
Bridges Investment Management	4,833	Omaha	NE	43
Joel Isaacson & Co.	4,793	New York	NY	45
Northside Capital Management	4,734	Hood River	OR	12
Dowling & Yahnke	4,730	San Diego	CA	54
Finaccess Advisors	4,711	Miami	FL	15
The Mather Group	4,696	Chicago	IL	86
Greenspring Advisors	4,689	Towson	MD	21
Evoke ARIS	4,659	Los Angeles	CA	25
Adhesion Wealth Advisor Solutions	4,650	Charlotte	NC	39
Evanson Asset Management	4,640	Carmel	CA	6
BJO S	4,627	San Francisco	CA	44
Permanens Capital	4,626	New York	NY	15
Sequoia Financial Group	4,618	Akron	OH	89
Balasa Dinverno Foltz	4,595	Itasca	IL	45
H.M. Payson	4,584	Portland	ME	27
Clarfeld	4,575	Tarrytown	NY	135
Sigma Planning Corporation	4,558	Ann Arbor	MI	705
Forum Financial Management	4,548	Lombard	IL	107
Strategic Wealth Advisors Group	4,541	Sacramento	CA	250
Plancorp	4,539	Saint Louis	MO	65
Zacks Investment Management	4,531	Chicago	IL	100
Columbia Pacific Wealth Management	4,472	Seattle	WA	36
Portola Partners	4,468	Menlo Park	CA	25
Marcuard Family Office	4,449	Zurich	SUI	28

Firm Name	Approx. AUM(\$M)	City	State	Employees
Litman Gregory Asset Management	4,440	Walnut Creek	CA	59
First Foundation Advisors	4,438	Irvine	CA	69
Retirement Planners of America	4,432	Plano	TX	101
RGT Wealth Advisors	4,335	Dallas	TX	56
Provident Trust Company	4,318	Waukesha	WI	13
Sanctuary Advisors	4,309	Indianapolis	IN	114
Becker Capital Management	4,308	Portland	OR	32
Sullivan, Bruyette, Speros & Blayney	4,295	McLean	VA	66
GYL Financial Synergies	4,262	West Hartford	CT	38
HBKS Wealth Advisors	4,248	Erie	PA	93
Bradley Foster & Sargent	4,238	Hartford	CT	39
Goss Advisors	4,167	New Orleans	LA	177
Manchester Capital Management	4,164	Manchester	VT	39
Dimension Capital Management	4,149	Miami	FL	36
Coldstream Wealth Management	4,140	Bellevue	WA	61
Camden Capital	4,089	El Segundo	CA	26
Laird Norton Wealth Management	4,087	Seattle	WA	77
Klingenstein Fields Advisors	4,085	New York	NY	40
Edge Capital Group	4,070	Atlanta	GA	33
Honkamp Krueger Financial Services	4,052	Dubuque	IA	103
Index Fund Advisors	4,043	Irvine	CA	41
Brightworth	4,034	Atlanta	GA	64
Palisade Capital Management	3,995	Fort Lee	NJ	44
Focus Point Solutions	3,977	Portland	OR	31
Northwest Capital Management	3,976	Portland	OR	15
WMS Partners	3,957	Towson	MD	63
Meristem Family Wealth	3,943	Hopkins	MN	36
SignatureFD	3,911	Atlanta	GA	84
Arbor Point Advisors	3,898	La Vista	NE	129
Linscomb & Williams	3,845	Houston	TX	55
Aureus Asset Management	3,834	Boston	MA	12
QCI Asset Management	3,770	Pittsford	NY	26
Pacific Portfolio Consulting	3,766	Seattle	WA	17
Regis Management Company	3,720	Menlo Park	CA	15
BKD Wealth Advisors	3,704	Springfield	MO	70
The Caprock Group	3,635	Boise	ID	33
Trusted Capital Group	3,603	Austin	TX	103
CH Investment Partners	3,575	Dallas	TX	30
Kore Private Wealth	3,571	New York	NY	17
Cumberland Advisors	3,565	Sarasota	FL	35
Geller Advisors	3,560	New York	NY	168
Advisory Services Network	3,560	Atlanta	GA	162
Alesco Advisors	3,541	Pittsford	NY	21
Fort Pitt Capital Group	3,531	Pittsburgh	PA	50
Sepio Capital	3,527	Alamo	CA	22
Inverness Counsel	3,523	New York	NY	36
Miller Investment Management	3,518	Conshohocken	PA	24
Wilbanks, Smith & Thomas Asset Management	3,508	Norfolk	VA	43
Focus Financial	3,495	Minneapolis	MN	204
Integrated Wealth Concepts	3,495	Waltham	MA	298
Carroll Financial Associates	3,486	Charlotte	NC	52
AdvicePeriod	3,468	Los Angeles	CA	90
Bailard	3,447	San Mateo	CA	67
Summit Financial	3,436	Parsippany	NJ	185
Scharf Investments	3,417	Los Gatos	CA	22
Crescent Grove Advisors	3,414	Lake Forest	IL	29
TFO Phoenix	3,410	Phoenix	AZ	42
Simon Quick Advisors	3,403	Morristown	NJ	57
Beacon Trust Company	3,401	Morristown	NJ	46

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Wipfli Financial Advisors	3,393	Milwaukee	WI	75
AdvisorNet Wealth Management	3,382	Minneapolis	MN	164
Truepoint	3,377	Cincinnati	OH	64
R H Bluestein & Co	3,374	Birmingham	MI	25
Lakeview Capital Partners	3,356	Atlanta	GA	30
JMG Financial Group	3,327	Downers Grove	IL	53
Mozaic	3,327	Beverly Hills	CA	13
Northeast Financial	3,320	Westport	CT	8
Granger Management LLC	3,300	New York	NY	6
Crestwood Advisors	3,292	Boston	MA	36
Financial Advocates Investment Management	3,285	Olympia	WA	148
Anchor Capital Advisors	3,260	Boston	MA	47
Clifford Swan Investment Counselors	3,253	Pasadena	CA	28
Aspen Grove Capital	3,226	Avon	CO	8
Zhang Financial	3,218	Portage	MI	31
Advisors Capital Management	3,196	Ridgewood	NJ	28
Badgley Phelps Wealth Managers	3,196	Seattle	WA	20
Parallel Advisors	3,169	San Francisco	CA	58
Formula Folio Investments	3,135	Grand Rapids	MI	86
Exencial Wealth Advisors	3,128	Oklahoma City	OK	74
ARGI Investment Services	3,121	Louisville	KY	129
Southern Wealth Management LLP	3,119	Dallas	TX	52
Grimes & Company	3,104	Westborough	MA	36
Smith Shellnut Wilson	3,094	Ridgeland	MS	13
Prio Wealth Limited Partnership	3,089	Boston	MA	27
Triad Hybrid Solutions	3,082	Peachtree Corners	GA	88
Mainstay Capital Management	3,076	Grand Blanc	MI	28
Westmount Asset Management	3,063	Los Angeles	CA	30
Telemus Capital	3,060	Southfield	MI	44
Frontier Wealth Management	3,052	Kansas City	MO	60
David Vaughan Investments	3,045	Peoria	IL	33
Cassaday & Co	3,043	McLean	VA	63
Mission Wealth Management	3,022	Santa Barbara	CA	43
McCutchen Group	3,006	Seattle	WA	21
Stadion Money Management	2,993	Watkinsville	GA	58
Parsec Financial	2,992	Asheville	NC	64
TCI Wealth Advisors	2,973	Tucson	AZ	70
Southwestern Investment Advisory Services	2,959	Franklin	TN	60
Advance Capital Management	2,955	Southfield	MI	49
Brookstone Capital Management	2,950	Wheaton	IL	310
Crestone Capital	2,950	Boulder	CO	38
CM Wealth Advisors	2,938	Beachwood	OH	21
Matter Family Office	2,910	Saint Louis	MO	38
Kovack Advisors	2,896	Fort Lauderdale	FL	294
Capital Investment Advisors	2,895	Atlanta	GA	38
Twin Focus Capital Partners	2,889	Boston	MA	31
Boston Financial Management	2,847	Boston	MA	42
Capital Advisors	2,834	Tulsa	OK	35
Hamilton Capital	2,814	Columbus	OH	58
Level Four Advisory Services	2,812	Dallas	TX	153
Turtle Creek	2,810	Dallas	TX	9
Moss Adams Wealth Advisors	2,804	Seattle	WA	50
Heritage Investors Management Corp	2,792	Bethesda	MD	15
Capital Directions	2,789	Atlanta	GA	19
F L Putnam Investment Management Co.	2,785	Wellesley Hills	MA	47
Corient Capital Partners	2,780	Newport Beach	CA	21
SVA Wealth Management	2,773	Madison	WI	63
Balentine	2,770	Atlanta	GA	40
NorthRock Partners	2,761	Minneapolis	MN	66

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Destination Wealth Management	2,752	Walnut Creek	CA	50
Modera Wealth Management	2,746	Westwood	NJ	64
SYM Financial Advisors	2,743	Winona Lake	IN	57
Budros, Ruhlin & Roe	2,733	Columbus	OH	40
Abacus Wealth Partners	2,726	Santa Monica	CA	64
Berman Capital Advisors	2,719	Atlanta	GA	27
Merriman	2,715	Seattle	WA	53
Warren Averett Asset Management	2,712	Birmingham	AL	49
Sand Hill Global Advisors	2,709	Palo Alto	CA	29
Roosevelt Investments	2,697	New York	NY	33
Strategic Wealth Partners	2,680	Deerfield	IL	35
InterOcean Capital	2,670	Chicago	IL	18
Empirical Wealth Management	2,664	Seattle	WA	41
Annex Wealth Management	2,663	Elm Grove	WI	71
MRA Associates	2,644	Phoenix	AZ	56
Franklin Street Advisors	2,639	Chapel Hill	NC	38
BOK Financial Private Wealth	2,634	Denver	CO	39
Lake Street Advisors	2,608	Portsmouth	NH	29
JFS Wealth Advisors	2,579	Hermitage	PA	63
Evergreen Capital Management	2,561	Bellevue	WA	32
TDC Investment Advisory (TDC)	2,546	Maumee	OH	51
Southeast Asset Advisors	2,543	Thomasville	GA	14
Halbert Hargrove	2,539	Long Beach	CA	44
Palladium Registered Investment Advisors	2,532	Norfolk	VA	29
Rehmann Financial	2,524	Lansing	MI	35
Chicago Partners Investment Group	2,515	Chicago	IL	23
Waldron Private Wealth	2,509	Bridgeville	PA	28
Bordeaux Wealth Advisors	2,476	Menlo Park	CA	12
Reynders, McVeigh Capital Management	2,472	Boston	MA	29
Dyson Capital Advisors	2,465	Alexandria	VA	22
ZWJ Investment Counsel	2,449	Atlanta	GA	15
Carnegie Investment Counsel	2,445	Cleveland	OH	49
IHT Wealth Management	2,425	Chicago	IL	169
Vista Wealth Management Group	2,425	Palo Alto	CA	39
HoyleCohen	2,421	San Diego	CA	49
Boston Trust Walden Company	2,398	Boston	MA	28
Paul Comstock Partners	2,391	Houston	TX	9
Fairview Capital	2,352	Greenbrae	CA	19
Foster Group	2,349	West Des Moines	IA	56
Wharton Business Group	2,345	Malvern	PA	5
The Pacific Financial Group	2,336	Bellevue	WA	60
Saratoga Research & Investment Management	2,334	Saratoga	CA	20
Congress Wealth Management	2,332	Boston	MA	27
Cornerstone Wealth Management	2,325	Saint Peters	MO	148
Accredited Investors Wealth Management	2,298	Minneapolis	MN	46
Private Ocean	2,294	San Rafael	CA	53
6 Meridian	2,282	Wichita	KS	21
Carlson Capital Management	2,275	Northfield	MN	54
Essex Financial Services	2,273	Essex	CT	37
Merit Financial Advisors	2,270	Alpharetta	GA	123
Heritage Wealth Advisors	2,269	Richmond	VA	24
Highpoint Advisor Group	2,267	Downers Grove	IL	81
Pure Financial Advisors	2,267	San Diego	CA	71
Howland Capital Management	2,246	Boston	MA	27
Motley Fool Wealth Management	2,238	Alexandria	VA	34
Capital Asset Advisory Services	2,235	Haslett	MI	70
Bienville Capital Management	2,232	New York	NY	19
SOL Capital Management Co.	2,223	Rockville	MD	28
Beaumont Financial Partners	2,223	Needham Heights	MA	24

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SJS Investment Services	2,200	Sylvania	OH	15
Sage Financial Group	2,195	Conshohocken	PA	22
EPIQ Capital Group	2,185	San Francisco	CA	23
Sowell Management Services	2,176	North Little Rock	AR	161
Steele Capital Management	2,173	Dubuque	IA	11
Timucuan Asset Management	2,163	Jacksonville	FL	9
Genovese Burford & Brothers Wealth & Retirement Plan Management	2,156	Sacramento	CA	40
Montag	2,148	Atlanta	GA	28
TownSquare Capital	2,146	Provo	UT	72
Pinnacle Advisory Group	2,136	Columbia	MD	48
Barrett Asset Management	2,125	New York	NY	19
Klingman and Associates	2,125	New York	NY	17
New England Private Wealth Advisors	2,121	Wellesley Hills	MA	18
Wescott Financial Advisory Group	2,121	Philadelphia	PA	32
Dynamic Wealth Advisors	2,117	Phoenix	AZ	89
GM Advisory Group	2,108	Melville	NY	37
Henssler Financial	2,105	Kennesaw	GA	72
WealthPLAN Partners	2,101	Omaha	NE	84
Northcoast Asset Management	2,101	Greenwich	CT	29
Coastal Bridge Advisors	2,099	Westport	CT	20
Evensky & Katz/Foldes Financial Wealth Management	2,096	Miami	FL	30
Andersen Tax	2,088	McLean	VA	1,010
Vivaldi Capital Management	2,086	Chicago	IL	45
Cardinal Capital Management	2,079	Winnipeg, Manitoba	CANADA	35
Verdence Capital Advisors	2,072	Cockeysville	MD	29
Envoi	2,065	Minneapolis	MN	14
Logan Capital Management	2,060	Newtown Square	PA	37
Family Management Corporation	2,058	New York	NY	16
The Burney Company	2,056	Reston	VA	42
Sendero Wealth Management	2,055	San Antonio	TX	31
GeoWealth Management	2,048	Chicago	IL	29
Chicago Capital	2,044	Chicago	IL	8
The Clarius Group	2,039	Seattle	WA	21
Morton Capital Management	2,037	Agoura Hills	CA	40
Buckhead Capital Management	2,032	Atlanta	GA	10
IWP Wealth Management	2,032	Denver	CO	21
Resource Consulting Group	2,030	Orlando	FL	27
Zemenick & Walker	2,029	Saint Louis	MO	10
Team Hewins	2,023	Redwood City	CA	24
Retirement Wealth Advisors	2,005	Grand Rapids	MI	223
JNB Advisors	2,004	Albany	NY	32
Cardiff Park Advisors	1,995	San Marcos	CA	5
Aldrich Wealth LP	1,993	Lake Oswego	OR	29
Cobblestone Capital Advisors	1,993	Rochester	NY	35
Americana Partners	1,987	Houston	TX	19
Great Valley Advisor Group	1,975	Wilmington	DE	114
Proficio Capital Partners	1,971	Newton	MA	12
Retirement Income Solutions	1,967	Ann Arbor	MI	18
Battery Global Advisors	1,966	Boston	MA	21
Birch Hill Investment Advisors	1,963	Boston	MA	18
The Wealth Consulting Group	1,961	Las Vegas	NV	151
Leavell Investment Management	1,955	Mobile	AL	27
Rinet Company	1,945	Boston	MA	25
Chesley, Taft & Associates	1,944	Chicago	IL	13
Cary Street Partners	1,941	Richmond	VA	56
North Star Asset Management	1,937	Neenah	WI	19
Circle Wealth Management	1,920	Summit	NJ	13
Northwest Asset Management	1,913	Mercer Island	WA	40

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Yale Capital Corp.	1,912	Saint Petersburg	FL	8
Calamos Wealth Management	1,912	Naperville	IL	29
Stelac Advisory Services	1,911	New York	NY	29
Gratus Capital	1,907	Atlanta	GA	27
Hallmark Capital Management	1,900	Wayne	NJ	20
Goelzer Investment Management	1,885	Indianapolis	IN	29
Waters, Parkerson & Co.	1,882	New Orleans	LA	12
Cain, Watters & Associates	1,881	Plano	TX	151
RubinBrown Advisors	1,881	Saint Louis	MO	27
Northeast Investment Management	1,871	Boston	MA	23
Radnor Financial Advisors	1,871	Wayne	PA	18
MCF Advisors	1,868	Covington	KY	24
Farr Miller & Washington	1,864	Washington	DC	14
Soltis Investment Advisors	1,861	Saint George	UT	32
Barwick & Partners	1,861	Seattle	WA	2
Sentry Advisors	1,856	San Francisco	CA	4
The Portfolio Strategy Group	1,839	White Plains	NY	11
LVW Advisors	1,838	Pittsford	NY	23
Capital Counsel	1,830	New York	NY	14
Gibson Capital	1,824	Wexford	PA	16
ChangePath/Partnervest Advisory Services	1,818	Leawood	KS	131
Schneider Downs Wealth Management Advisors	1,818	Pittsburgh	PA	24
Covenant	1,814	San Antonio	TX	35
IFG Advisory	1,812	Atlanta	GA	141
Legacy Advisors	1,806	Plymouth Meeting	PA	35
Dunham & Associates Investment Counsel	1,797	San Diego	CA	30
Windrose Advisors	1,791	Waltham	MA	17
Pegasus Partners	1,790	Mequon	WI	16
Meritage Portfolio Management	1,790	Overland Park	KS	15
Fairway Wealth Management	1,787	Independence	OH	14
Brouwer & Janachowski	1,782	Mill Valley	CA	20
Perigon Wealth Management	1,780	San Francisco	CA	32
Bragg Financial Advisors	1,775	Charlotte	NC	25
Vicus Capital	1,775	State College	PA	81
Colony Family Offices	1,774	Charlotte	NC	12
Shufro, Rose & Co.	1,770	New York	NY	22
Summitry	1,769	San Mateo	CA	33
Cadinha & Co	1,766	Honolulu	HI	20
Beirne Wealth Consulting Services	1,764	Shelton	CT	12
The Welch Group	1,759	Birmingham	AL	17
Wellspring Financial Advisors	1,752	Cleveland	OH	19
GenTrust, LLC	1,746	Miami	FL	26
Wealthcare Capital Management	1,738	Richmond	VA	57
BakerAvenue	1,736	San Francisco	CA	24
Roffman Miller Associates	1,735	Philadelphia	PA	9
STA Wealth Management	1,734	Houston	TX	45
Belpointe Asset Management	1,734	Reno	NV	117
The Advisor Resource Council	1,722	Dallas	TX	124
Nachman Norwood & Parrott	1,721	Greenville	SC	16
West Financial Services	1,717	McLean	VA	30
Ancora Family Wealth Advisors	1,710	Cleveland	OH	53
S & Co., Inc.	1,706	Boston	MA	15
Dixon Hughes Goodman Wealth Advisors	1,692	Asheville	NC	35
Smith, Salley & Associates	1,691	Greensboro	NC	15
Berkshire Asset Management	1,683	Wilkes Barre	PA	10
Howard Capital Management	1,680	Los Angeles	CA	17
Hollencrest Capital Management	1,678	Newport Beach	CA	15
Norris Perne & French	1,661	Grand Rapids	MI	11
Wealthcare Advisory Partners	1,660	West Chester	PA	143

Firm Name	Approx. AUM(\$M)	City	State	Employees
Bridgewater Advisors	1,656	New York	NY	19
One Capital Management	1,649	Westlake Village	CA	34
Capstone Financial Advisors	1,643	Downers Grove	IL	27
Salem Investment Counselors	1,641	Winston Salem	NC	16
EQIS Capital Management	1,633	San Rafael	CA	57
Osborne Partners	1,632	San Francisco	CA	22
TrustCore Financial Services	1,631	Brentwood	TN	44
RTD Financial Advisors	1,628	Philadelphia	PA	37
Flagship Harbor Advisors	1,628	Boston	MA	52
Vista Capital Partners	1,621	Portland	OR	25
Venturi Private Wealth	1,620	Austin	TX	22
Valley Forge Financial Group	1,619	Berwyn	PA	14
Oxbow Advisors	1,615	Austin	TX	13
Altium Wealth Management	1,614	Purchase	NY	46
North Star Investment Management Corporation	1,614	Chicago	IL	26
Reilly Financial Advisors	1,602	La Mesa	CA	45
True North Advisors	1,601	Dallas	TX	31
Heritage Financial Services	1,601	Westwood	MA	32
Spears Abacus	1,596	New York	NY	15
Chilton Capital Management	1,595	Houston	TX	23
Lenox Wealth Advisors	1,591	New York	NY	30
MASECO Private Wealth	1,590	London	UK	62
Ulrich Investment Consultants	1,589	Albuquerque	NM	13
Apexium Financial LP	1,582	New York	NY	15
Magnus Financial Group	1,579	New York	NY	17
Rembert Pendleton Jackson	1,579	Falls Church	VA	14
Colorado Financial Management	1,577	Boulder	CO	24
Flexible Plan Investments	1,568	Bloomfield Hills	MI	87
CWA Asset Management Group	1,565	Naples	FL	45
North American Management Corp	1,564	Boston	MA	22
Gamble Jones Investment Counsel	1,559	Pasadena	CA	18
Laurel Wealth Advisors	1,557	Solana Beach	CA	42
Connecticut Wealth Management	1,553	Farmington	CT	26
SFMG Wealth Advisors	1,547	Plano	TX	28
GHP Investment Advisors	1,539	Denver	CO	20
Wealth Management LLC	1,537	Norfolk	NE	45
Obermeyer Wood Investment Counsel	1,536	Aspen	CO	18
Foster & Motley	1,536	Cincinnati	OH	36
The Forum Finance Group SA	1,533	Geneva	SUI	22
CPS Investment Advisors	1,533	Lakeland	FL	14
Monograph Wealth Advisors	1,532	El Segundo	CA	9
Good Life Advisors	1,531	Reading	PA	113
Parsons Capital Management	1,527	Providence	RI	18
Alpha Cubed Investments	1,527	Irvine	CA	30
Paratus Financial	1,525	Dallas	TX	8
Stoker Ostler, a part of BMO Financial Group	1,520	Scottsdale	AZ	20
Check Capital Management	1,517	Costa Mesa	CA	11
Tectonic Advisors	1,515	Plano	TX	13
Certified Advisory Corp	1,511	Altamonte Springs	FL	35
RFG Advisory	1,507	Birmingham	AL	64
Veris Wealth Partners	1,506	San Francisco	CA	22
Fragasso Financial Advisors	1,505	Pittsburgh	PA	33
Western Wealth Management	1,497	Golden	CO	81
Resource Management	1,495	Metairie	LA	4
Deroy & Devereaux Private Investment Counsel	1,491	Southfield	MI	16
Foster Dykema Cabot & Partners	1,491	Waltham	MA	15
Legacy Wealth Management	1,488	Memphis	TN	23
Boulay Financial Advisors	1,485	Eden Prairie	MN	22
Minot Deblois Advisors	1,485	Boston	MA	4

Firm Name	Approx. AUM(\$M)	City	State	Employees
AJ Wealth	1,483	New York	NY	24
The Cabana Group	1,482	Fayetteville	AR	23
Carolinas Wealth Consulting	1,481	Charlotte	NC	20
Integrated Advisors Network	1,479	Palos Verdes Peninsula	CA	83
Constellation Wealth Advisors	1,476	Cincinnati	OH	18
Cozad Asset Management	1,476	Champaign	IL	20
Miracle Mile Advisors	1,476	Los Angeles	CA	20
Martin & Company Investment Counsel	1,475	Knoxville	TN	8
Stonebridge Capital Advisors	1,475	Saint Paul	MN	27
StratWealth	1,471	Fulton	MD	28
Foundations Investment Advisors	1,470	Scottsdale	AZ	136
Mount Yale Investment Advisors	1,466	Denver	CO	30
Regal Investment Advisors	1,465	Grand Rapids	MI	140
CFS Investment Advisory Services	1,463	Totowa	NJ	11
Kingsview Wealth Management	1,460	Grants Pass	OR	76
Sadoff Investment Management	1,460	Milwaukee	WI	6
Glassman Wealth Services	1,454	Vienna	VA	11
Bridgeworth	1,451	Birmingham	AL	50
Windsor Wealth Management	1,451	Indianapolis	IN	16
Mountain Pacific Investment Advisers	1,450	Boise	ID	5
Portfolio Solutions LLC	1,445	Troy	MI	14
Acropolis Investment Management	1,445	Chesterfield	MO	40
CapTrust Financial Advisors	1,444	Holland	MI	21
Boston Family Office	1,440	Boston	MA	13
Punch & Associates Investment Management	1,433	Minneapolis	MN	15
Bedel Financial Consulting	1,429	Indianapolis	IN	14
Citrin Cooperman Wealth Management	1,426	New York	NY	3
Aptus Capital Advisors	1,426	Fairhope	AL	24
NWF Advisory Services	1,423	Los Angeles	CA	7
BLB&B Advisors	1,421	Souderton	PA	25
Financial Advisory Service	1,421	Overland Park	KS	21
Greenwich Wealth Management	1,420	Greenwich	CT	9
Emery Howard	1,413	Burlingame	CA	6
Tom Johnson Investment Management	1,412	Oklahoma City	OK	13
Breeds Hill Capital	1,410	Charlestown	MA	4
Eton Advisors	1,408	Chapel Hill	NC	11
Cedar Brook Group	1,405	Cleveland	OH	63
CKW Financial Group	1,405	Honolulu	HI	8
Guyasuta Investment Advisors Incorporated	1,405	Pittsburgh	PA	13
ICON Wealth Partners	1,400	Houston	TX	11
Frank, Rimerman Advisors	1,398	Palo Alto	CA	4
Arcus Capital Partners	1,393	Atlanta	GA	12
Vigilant Capital Management	1,389	Portland	ME	24
Maryland Capital Management	1,382	Baltimore	MD	18
LGL Partners	1,381	Conshohocken	PA	26
Principal Street Partners	1,380	Memphis	TN	12
Moody, Lynn & Lieberman	1,373	Boston	MA	13
Summit Financial Strategies	1,367	Columbus	OH	28
Pinnacle Wealth Planning Services	1,362	Mansfield	OH	24
Strategic Financial Services	1,360	Utica	NY	32
ACG Wealth	1,355	Atlanta	GA	20
Heritage Investment Group	1,355	Pompano Beach	FL	21
DT Investment Partners	1,352	Chadds Ford	PA	11
Artemis Wealth Advisors	1,352	New York	NY	10
V Wealth Advisors	1,348	Overland Park	KS	51
Howe and Rusling	1,344	Rochester	NY	18
BerganKDV Wealth Management	1,343	Minneapolis	MN	24
Oakmont Corporation	1,339	Los Angeles	CA	44
Axia Advisory	1,336	Indianapolis	IN	6

Firm Name	Approx. AUM(\$M)	City	State	Employees
Bristlecone Advisors	1,334	Bellevue	WA	19
Cornerstone Advisory	1,332	Cockeysville	MD	13
Legacy One Financial Advisors	1,331	Austin	TX	25
Financial Dimensions Group	1,327	Saint Paul	MN	44
Weston Financial Group	1,325	Wellesley Hills	MA	16
Nelson, Van Denburg & Campbell Wealth Management Group	1,322	Omaha	NE	20
Principle Wealth Partners	1,321	Madison	CT	11
Conservest Capital Advisors	1,315	Wynnewood	PA	7
Altfest Personal Wealth Management	1,315	New York	NY	37
Larson Financial Group	1,314	Chesterfield	MO	133
Domani Wealth	1,314	Lancaster	PA	29
Forbes Family Trust	1,313	Conshohocken	PA	26
Baystate Wealth Management	1,310	Boston	MA	11
BIP Wealth	1,306	Atlanta	GA	26
Mitchell, McLeod, Pugh & Williams	1,305	Mobile	AL	12
Colonial Trust Advisors	1,300	Spartanburg	SC	15
Cornerstone Wealth Group	1,300	Huntersville	NC	35
Ritholtz Wealth Management	1,299	New York	NY	30
Windsor Advisory Group	1,296	Columbus	OH	13
First Long Island Investors	1,296	Jericho	NY	20
California Financial Advisors	1,290	San Ramon	CA	9
Lindbrook Capital	1,290	Calabasas	CA	4
Palisades Hudson Asset Management	1,289	Fort Lauderdale	FL	16
Standard Family Office	1,288	Sioux Falls	SD	7
Abacus Planning Group	1,287	Columbia	SC	17
Efficient Advisors	1,287	Philadelphia	PA	15
Axxcess Wealth Management	1,287	Carlsbad	CA	15
Krilogy Financial	1,287	Saint Louis	MO	46
Winthrop Wealth	1,284	Boston	MA	18
Winthrop Capital Management	1,281	Indianapolis	IN	12
Trumbower Financial Advisors	1,280	Bethesda	MD	11
IFAM Capital	1,274	Fort Collins	CO	13
Pillar Pacific Capital Management	1,274	Pacifica	CA	10
Lee Danner & Bass	1,273	Nashville	TN	12
McKinley Carter Wealth Services	1,272	Wheeling	WV	37
Nottingham Advisors	1,270	Buffalo	NY	10
Ironview Capital Management	1,266	King of Prussia	PA	17
FBB Capital Partners	1,258	Bethesda	MD	17
Gemmer Asset Management	1,258	Walnut Creek	CA	10
Cookson Peirce Wealth Management	1,255	Pittsburgh	PA	18
Barber Financial Group	1,253	Lenexa	KS	44
Diversified Management	1,252	Milwaukee	WI	8
Retirement Management Systems	1,244	Severna Park	MD	7
BSW Wealth Partners	1,237	Boulder	CO	26
Asset Dedication	1,235	San Francisco	CA	11
Paracle Advisors	1,234	Mercer Island	WA	22
Transform Wealth	1,233	Englewood	CO	28
Key Financial	1,232	West Chester	PA	26
Private Capital Management	1,226	Denver	CO	18
Embree Financial Group	1,224	Chicago	IL	11
UMA Financial Services	1,224	Salt Lake City	UT	13
Prosperity Capital Advisors	1,224	Westlake	OH	84
Sigma Investment Counselors	1,222	Northville	MI	15
Durbin Bennett Private Wealth Management	1,210	Austin	TX	17
Ashfield Capital Partners	1,209	San Francisco	CA	12
True Capital Management	1,207	San Francisco	CA	34
Integrated Wealth Management	1,206	Palm Desert	CA	27
Transcend Wealth Collective	1,205	Short Hills	NJ	10
Relative Value Partners	1,204	Northbrook	IL	13

Firm Name	Approx. AUM(\$M)	City	State	Employees
Cyndeo Wealth Partners	1,200	Saint Petersburg	FL	13
Robertson Stephens Wealth Management	1,199	San Francisco	CA	34
Albion Financial Group	1,197	Salt Lake City	UT	26
WealthSource Partners	1,192	San Luis Obispo	CA	46
Bloom Advisors	1,192	Farmington	MI	20
Lutz Financial	1,189	Omaha	NE	15
John G. Ullman & Associates	1,186	Horseheads	NY	49
The Harbor Group	1,185	Bedford	NH	17
MG Financial	1,184	Braintree	MA	7
Baldwin Brothers	1,182	Marion	MA	19
Young Richard C & Co.	1,182	Newport	RI	13
Gryphon Financial Partners	1,178	Columbus	OH	10
Kathmere Capital Management	1,177	King of Prussia	PA	12
CFO4Life	1,175	Coppell	TX	17
Wealth Architects	1,174	Mountain View	CA	18
Ironwood Investment Counsel	1,174	Scottsdale	AZ	15
Total Investment Management	1,173	Scottsdale	AZ	11
Sound Income Strategies	1,173	Fort Lauderdale	FL	54
CapWealth Advisors	1,171	Franklin	TN	14
Beaird Harris	1,168	Dallas	TX	17
Planning Alternatives	1,168	Bloomfield Hills	MI	25
Condor Capital Wealth Management	1,167	Martinsville	NJ	17
Novare Capital Management	1,163	Charlotte	NC	17
Trilogy Capital	1,161	Huntington Beach	CA	98
Corda Investment Management	1,159	Houston	TX	19
Hoover Financial Advisors	1,155	Malvern	PA	20
Polaris Wealth Advisory Group	1,154	San Rafael	CA	24
Daintree Advisors	1,151	Boston	MA	26
The Fiduciary Group	1,151	Savannah	GA	14
Alexandria Capital	1,151	Arlington	VA	24
Private Capital Group	1,147	West Hartford	CT	14
Cornerstone Capital	1,145	New York	NY	19
Quest Capital Management	1,145	Dallas	TX	23
Dakota Wealth Management	1,143	Palm Beach Gardens	FL	31
West Coast Financial	1,139	Santa Barbara	CA	30
Visionary Wealth Advisors	1,139	Saint Louis	MO	44
RZH Advisors	1,137	Stamford	CT	8
Round Table Wealth Management	1,131	Westfield	NJ	21
True Private Wealth Advisors	1,130	Salem	OR	15
Taurus Asset Management	1,129	New York	NY	9
Gerber Kawasaki Wealth & Investment Management	1,127	Santa Monica	CA	30
Nicholas Hoffman & Company	1,126	Atlanta	GA	16
Lee Financial Company	1,125	Dallas	TX	35
Johnson Financial Group	1,122	Denver	CO	27
Reliant Investment Management	1,117	Memphis	TN	10
Redhawk Wealth Advisors	1,112	Minneapolis	MN	38
HCR Wealth Advisors	1,110	Los Angeles	CA	16
Stokes Family Office	1,105	New Orleans	LA	4
ML&R Wealth Management	1,105	Austin	TX	15
Schechter Investment Advisors	1,103	Birmingham	MI	18
Prudent Management Associates	1,101	Philadelphia	PA	13
Jackson Thornton Investment Management	1,092	Montgomery	AL	15
XML Financial Group	1,092	Rockville	MD	27
Main Street Research	1,091	Sausalito	CA	13
Human Investing	1,089	Lake Oswego	OR	17
Doyle Wealth Management	1,088	Saint Petersburg	FL	22
TFC Financial Management	1,082	Boston	MA	19
Inscription Capital	1,081	Houston	TX	12
Cornerstone Management	1,080	Peachtree Corners	GA	16

Firm Name	Approx. AUM(\$M)	City	State	Employees
Patriot Investment Management Group	1,078	Knoxville	TN	23
Garde Capital	1,076	Seattle	WA	13
Kings Point Capital Management	1,073	Great Neck	NY	15
Willow Creek Wealth Management	1,073	Sebastopol	CA	15
Tortoise Investment Management	1,071	West Harrison	NY	20
Capital Performance Advisors LLP	1,070	Walnut Creek	CA	17
Marks Group Wealth Management	1,069	Hopkins	MN	13
Main Street Financial Solutions	1,068	Newtown	PA	24
JNBA Financial Advisors	1,068	Minneapolis	MN	27
Galvin, Gaustad & Stein	1,068	Scottsdale	AZ	12
Equius Partners	1,068	Novato	CA	14
Edgemoor Investment Advisors	1,067	Bethesda	MD	10
Endurance Wealth Management	1,063	Providence	RI	9
AUTUS Asset Management	1,054	Scottsdale	AZ	9
Pine Ridge Advisers	1,053	New York	NY	7
Parcion Private Wealth	1,053	Bellevue	WA	18
Hardy Reed	1,052	Tupelo	MS	11
Rodgers & Associates	1,050	Lancaster	PA	19
Schaper Benz & Wise Investment Counsel	1,048	Neenah	WI	14
Godsey & Gibb Wealth Management	1,048	Richmond	VA	21
Sapient Private Wealth Management	1,043	Eugene	OR	15
RKL Wealth Management	1,043	Lancaster	PA	24
WESPAC Advisors	1,042	Oakland	CA	10
Per Stirling	1,041	Austin	TX	34
SlateStone Wealth	1,041	Jupiter	FL	27
Austin Asset	1,040	Austin	TX	21
Sheaff Brock Investment Advisors	1,038	Indianapolis	IN	26
Tanglewood Total Wealth Management	1,037	Houston	TX	12
Diversified Portfolios	1,035	Bloomfield Hills	MI	7
D'Orazio & Associates	1,034	Falls Church	VA	7
Vernal Point Advisors	1,033	San Francisco	CA	10
Nadler Financial Group	1,031	Deerfield	IL	19
Wealthstreet Investment Advisors	1,030	Dallas	TX	9
Bainco International Investors	1,028	Boston	MA	16
BT Wealth Management	1,026	Atlanta	GA	18
Northstar Wealth Partners	1,023	West Hartford	CT	21
Nicolet Advisory Services	1,022	Green Bay	WI	26
Adell Harriman & Carpenter	1,019	Houston	TX	12
Priority Financial Group	1,018	Phoenix	AZ	99
BCJ Capital Management	1,017	Scottsdale	AZ	38
Warwick Partners	1,017	Bryan	TX	6
Almanack Investment Partners	1,014	Wayne	PA	22
Column Capital Advisors	1,014	Indianapolis	IN	21
Beese Fulmer Private Wealth Management	1,012	Canton	OH	7
Enso Wealth Management	1,012	Petaluma	CA	9
Baltimore-Washington Financial Advisors	1,012	Columbia	MD	17
Ensemble Capital Management	1,011	Burlingame	CA	14
Phillips Financial	1,007	Fort Wayne	IN	26
Keebeck Wealth Management	1,007	Chicago	IL	10
Aurum Wealth Management Group	1,006	Cleveland	OH	29
Meyer Capital Group	1,006	Marlton	NJ	18
Sax Wealth Advisors	1,004	Parsippany	NJ	10
Summit Financial Wealth Advisors	1,003	Lafayette	LA	15
Atlas Private Wealth Management	1,002	Albany	NY	24



Methodology: The list was derived from an examination of those SEC registered Investment Adviser firms, utilizing the Discovery Data database, with greater than \$1B in assets under management (either discretionary or non-discretionary) and which offer financial planning, discerned primarily from Form ADV Part I Advisory Services. This list attempts to exclude those firms whose primary business is not retail investor wealth management advisory as an Investment Advisory firm (e.g., asset managers, banks, insurer, TAMPs). This list may not be exhaustive of all the possible firms.

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